Page 1

BEFORE THE ILLINOIS POLLUTION CONTROL BOARD

IN THE MATTER OF:		RECEIVED CLERKS OFFICE
WATER QUALITY STANDARDS AND)	MAY 20 2009 STATE OF ILLINOIS Pollution Control Board
EFFLUENT LIMITATIONS FOR THE CHICAGO AREA WATERWAY)	
SYSTEM AND THE LOWER)	
DES PLAINES RIVER:)	No. R08-9
PROPOSED AMENDMENTS TO)	
35 Ill. Adm. Code Parts)	
301, 302, 303 and 304)	

REPORT OF PROCEEDINGS had before the ILLINOIS POLLUTION CONTROL BOARD held on May 5, 2009, at 1:15 o'clock p.m. at the Thompson Center, Room-9-40, Chicago, Illinois.

Page 2 1 APPEARANCES: ILLINOIS POLLUTION CONTROL BOARD: MS. MARIE TIPSORD, Hearing Officer MR. THOMAS E. JOHNSON, Member MR. ANAD RAO, Senior Environmental Scientist LIN SHUNDAR ALISA LIU ILLINOIS ENVIRONMENTAL PROTECTION AGENCY: Ms. Stefanie Diers 10 11 Ms. Deborah Williams 12 13 ENVIRONMENTAL LAW AND POLICY CENTER 14 33 East Wacker Drive, Suite 1300 Chicago, Illinois 60601 15 16 (312) 795-3707BY: MR. ALBERT ETTINGER and JESSICA DEXTER 18 Appeared on behalf of ELPC, Prairie Rivers 19 Network and Sierra Club; 20 21 22

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Page 3 APPEARANCE CONTINUED: BARNES & THORNBURG LLP One North Wacker Drive, Suite 4400 Chicago, Illinois 60606-2833 (312 357-1313 BY: MR. FREDERIC P. ANDES Appeared on behalf of the MWRDGC.

1	CHAIRMAN TIPSORD: Good afternoon. We
2	will take a break for half an hour to close
3	deliberating session. Mr. Andes, I believe,
4	we were on question number eight.
5	MS. ALEXANDER: Before we start, I
6	just wanted to mention we had an opportunity
7	during the break to find some information
8	concerning just some outbreaks that came up
9	earlier. We can present it now or
10	subsequently as people prefer.
11	CHAIRMAN TIPSORD: Why don't you go
12	ahead now.
13	DR. YATES: There is a report by the
14	Centers for Disease Control in the Morbidity
15	& Weekly Report, May 26, 2000, Volume 49,
16	number SS-34, and this is the entitled
17	"Surveillance For Waterborne Disease
18	Outbreaks-United States 1997 to 1998." And
19	there was an outbreak in July of 1997 in
20	Oregon in which individuals recreating in a
21	lake did contract infection caused by_
22	schistosoma.
23	MS. ALEXANDER: I would add that

currently we have this as a PDF on our

1	computer access via wireless. We can
2	present it to the tribunal in whatever way
3	is most convenient as a public comment
4	subsequently.
5	CHAIRMAN TIPSORD: Yes, I would do
6	that. Sounds good.
7	MR. ANDES: Do we have any other
8	information since then, since 12 years ago
9	indicating outbreaks of schistosoma in the
10	U.S.?
11	DR. YATES: I have not had an
12	opportunity to review all of the waterborne
13	disease outbreaks in the United States just
14	during the lunch break.
15	MR. ANDES: And since you've got
16	involved in this matter, you have not seen
17	any information indicating torrents of
18	schistosoma in Illinois and the U.S., and
19	particularly not the CAWS?
20	DR. YATES: I have not seen any
21	outbreaks of schistosoma in the CAWS, no.
22	MR. ANDES: Do you have any
23	information as to what extent this infection
24	limit of 400 would address possible

	
1	Shistosoma present in the CAWS?
2	DR. YATES: No, I do not.
3	MR. ANDES: Okay. Before moving on
4	to question eight, I want to follow-up on a
5	couple of questions that we've talked about
6	before.
7	One of them was, I think there's
8	some confusion concerning the sampling
9	method used in the risk assessment, and this
10	is a Figure 2-3 from the Risk Assessment
11	Document.
12	CHAIRMAN TIPSORD: Which, again,
13	since this is a new transcript, that's
14	Exhibit 71, The Risk Assessment.
15	We just need one. I'll mark
16	this as an exhibit since it's all Risk
17	Assessment.
18	MR. ANDES: You might want to look
19	at it. Dr. Yates, you talked about the
20	small sizes of the samples that were
21	analyzed for purposes of risk assessment,
22	and I copied this table because I want to go
23	through with you the process and tell me is
24	it consistent with your understanding. But

I believe as noted in the Risk Assessment Document, which this is a part of, that a 300 liter sample is taken and put through this filter that is shown on the chart, that the material that remains on the membrane in the filter is then -- the membrane is removed, the material on the membrane is alluded, a sample is produced from that. So in essence what we've done is concentrate the 300 liter sample down to a smaller sample. It's not just taking a little piece of the 300 liters. It's concentrating the 300 sample to a smaller size, and that's the sample that is actually taken off to be analyzed. Is that consistent with your understanding?

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DR. YATES: Yes, sir.

MR. ANDES: So is there any reason to believe that that concentrated sample would be unrepresentative of the larger sample it was concentrated from? Let me ask it another way. Isn't that an EPA approved sampling method?

DR. YATES: This is the EPA sampling

1	method. The point I was making is that you
2	have taken the large sample, couple hundred
3	liters, depending on the varying sample
4	you take a couple hundred liters, you
5	concentrate it down to some amount, which I
6	do not know, but then you take a small
7	fraction of that concentrated sample, and in
8	the case of Norovirus, it was equivalent to
9	analyzing approximately a tenth of the
10	percent of the original sample, and you only
11	so the point is, you analyzed a very,
12	very small fraction of the original sample
13	in the form of a sub sample of the
14	concentrated sample and you analyzed a small
15	fraction of that and then extrapolate those
16	results to the entire sample. My point was
17	that small sample, that small fraction of
18	the concentrated sample that you analyzed
19	may or may not have been representative of
20	the entire sample.
21	MR. ANDES: Well, let me ask you, so
22	you are saying that there is a small
23	fraction of the concentrated sample?

DR. YATES: Correct, correct.

MR. ANDES: And can you show me where in the Risk Assessment it causes that process and what fraction is it of the concentrated sample?

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DR. YATES: If you look at the -here I'm referring -- the point I was making with the small fraction, specifically where I have information as to the volume that was analyzed is the Norovirus analysis. So I believe this information would be in Appendix D, the report, I believe from Dr. Gerba's laboratory. I believe was Appendix D, which they indicated that ten milliliters of the concentrated sample was sent to their laboratory. I don't know what fraction of the entire concentrated sample that entire sample represents, but ten milliliters of the concentrated sample, which is the sample that has been taken to the membrane sample was sent to Dr. Gerba's laboratory, and if I remember correctly 8.3 of that ten mils of concentrate was analyzed in cell culture using the NPM method for adenoviruses, and then a fraction of the

1	remainder of that ten milliliters of
2	concentrate was analyzed for Noroviruses,
3	and as you and then as you have reported
4	your results in The Risk Assessment for
5	Noroviruses and here I'm referring
6	specifically to Table 3.7, and this is in
7	The Risk Assessment, so that's Exhibit 71
8	there is a column in that table entitled
9	"Equivalent Volume Assay" so of the
10	200-ish, 300-ish, whatever, volume of sample
11	that was collected and then concentrated,
12	they analyzed an equivalent volume of
13	somewhere around .2, .18, .23 liters.
14	MR. ANDES: But initially you said
15	it was a small fraction of the concentrated
16	sample, but then I think you said you
17	weren't sure how much the total amount of

sample, but then I think you said you
weren't sure how much the total amount of
the concentrated samples were. If it was a
hundred milliliters and they took ten,
that's ten percent of the sample?

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DR. YATES: Ten milliliters of sample concentrate was sent to Dr. Gerba's laboratory, and 8.3, if I remember correctly, milliliters of that 10 was

1 analyzed for adenoviruses in cell culture. 2 And then -- I wrote it down somewhere -- a small proportion of the remainder of that ten milliliters was then processed and a 5 portion of that was analyzed for the Norovirus. And I have the exact numbers written down here if you want, but the point 8 is that the -- of the total sample that was 9 collected, and it's a very -- and if you 10 assume that -- you said it -- if 300 liters 11 were collected and they analyzed, say, 12 .2 liters, that's equivalent to less than 13 .1 percent of the total sample volume that 14 was collected. 15 MR. ANDES: Before concentration? 16 DR. YATES: No, sir. No, sir. 17 no, no. 18 MR. ANDES: You are talking as a 19 percent of the 300? 20 DR. YATES: Correct, correct. 21 MR. ANDES: But the 300 is the 22 sample before concentration. 23 DR. YATES: Correct. And they 24 reported it as an equivalent volume assay,

which refers back to that original
liters of 2.4 liters.

MR. ANDES: Isn't one of the purposes of the filtration process to give you a homogenous sample that then you could take through the process and know that you can, as is done here and is done generally, split it off into pieces to conduct different analytical exercises and know that you are basically taking different portions of that homogenous sample which had all the

stuff concentrated into it?

DR. YATES: Let's look at an example. Let's say I take this large sample and concentrate it. One of the reasons for concentrating it is for ease of analysis. It would be difficult, if I could only analyze a couple liters at a time and take 300 and have enough to analyze the whole thing. One of the purposes of the concentration method is to get the sample into a volume that is easily analyzed in the laboratory. So let's say that I ended up, after I concentrate that 300 liters, let's

- say I ended up with 30 milliliters. Okay?
- Let's say just for the purposes of argument,
- let's say I ended up with 30 milliliters.
- 4 Let's say that there were two Noroviruses in
- 5 that 30 milliliters, and I send 10
- 6 milliliters of that 30 to the University of
- 7 Arizona where that sample is analyzed.
- 8 There is a probability that in that 10 ml
- sample that I took, there were no
- Noroviruses, even though the other 20
- milliliters did have Norovirus. Okay? So
- there's one place that you can miss
- something that's present in a sample.
- Let's assume that of those 30
- milliliters where there were two
- Noroviruses, the 10 ml subsample that I sent
- to the University of Arizona did have a
- Norovirus in it, for the sake of argument.
- Okay? I took that 10 mls of concentrated
- sample, and let's assume it had a Norovirus
- in it, I then took 8.3 mls out of that 10 --
- I need a chalkboard -- I'm a professor. I
- use a chalkboard. I talk with chalk in my
- hand. Let's envision this. I've got 10

mls. I have got one Noroviruses in that 10 mls. I take 8.3 milliliters out of it for adenoviruses on cell culture. There is a very high probability because 8.3 out of 10, there is a very high probability that that Norovirus ended up in the part of the sample that I analyzed for adenoviruses --

MR. ANDES: And we are talking about levels of one or two, aren't we, concentrating the samples? So we are talking about the chance of getting one or two. We are talking about the fact of significant amounts --

DR. YATES: I'm using this as an illustration, how you can by analyzing a portion of a sample, there is a probability that you can miss an organism that's there. And having one organism, one Norovirus, especially, is extraordinarily significant because as has been reported by Dr. Tounes and Christine Moe, and a number of others, in this article from the Journal of Medical Virology --

MR. ANDES: I'm sorry, what article?

DR. YATES: We can and will have to present it into evidence. Norovirus is especially significant because as they -but as they report in this article, we estimate that the average probability of infection for a single one Norovirus particle is close to 0.56789. In other words, the probability of infection from exposure to one Norovirus particle is 50 percent, which is higher than that reported for any virus study to date. finding even a single Norovirus particle has huge public health consequences. And the point is, the point is if I may finish, the point is, by analyzing a very, very tiny fraction of the sample that was collected, .2 liters out of 300 liters, you could miss large numbers of Norovirus particles, not just one. MR. ANDES: How could you miss large

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numbers if you said if there were one or two you might miss them in a sample? The question is, if there are more large samples, why isn't it you are going to have

them in the other part of the sample and not in ours?

DR. YATES: As I mentioned, you analyzed .2 liters out of 300. That's a very, very small amount, a very small amount, less than a tenth of a percent. So even if there were hundreds of Noroviruses in that entire 3 liters, by taking out such a tiny, tiny amount, it was -- you could easily miss viruses in the samples.

MR. ANDES: Doesn't The Risk
Assessment address those issues using
probabilistic methods?

DR. YATES: I don't believe that, assuming that because the tiny fraction of sample that you analyzed contained zero

Noroviruses, meaning that the entire sample was devoid of Noroviruses, I don't believe that was accounted for in the Risk

Assessment. Not according to anything I could read. You assumed if the fraction you analyzed didn't contain any, the whole sample was negative.

MR. ANDES: If you do multiple

samples, you do the probabilistic sample, based on that, you are not taking one data point and making conclusions based on that. You are taking a range of data points over a period of time in wet and dry weather, and 125 samples, not one. You are saying that that still, because the sample is small relative to the 300 originally taken, 300 which is a large amount, that this renders this invalid.

DR. YATES: What I'm saying is that there is a very good chance that you have underestimated the public health risk of the presence of Noroviruses in the water. Eave if you took 100 samples or 125, which I don't believe is an extraordinarily high number when making a decision of this magnitude, but that's a different subject -- even if you took 125 samples, if you analyze such a small fraction of each of those samples and don't find anything in that tiny fraction, and then you just discount that entire sample as negative, that is going to buy us the results.

1	MR. ANDES: If one compares, let's
2	take a look, at the moment, the 300 liters
3	used to concentrate down for purposes of
4	this sampling the kind of numbers we have
5	talked about, in terms of ingestion are
6	actually 30 milliliters for swimming,
7	correct?
8	DR. YATES: I believe that's what
9	you said, yes.
10	MR. ANDES: So the .02 milliliters
11	is actually not a miniscule percentage
12	amount one might ingest during swimming?
13	DR. YATES: I don't believe that the
14	two are related. The point is you assume
15	that that entire 300 liters contained
16	nothing. You only analyzed .2 liters of it
17	I may have what if I ingested one of
18	those 299.8 liters, what if I ingested 30
19	mills out of that 299.8 liters that you
20	didn't analyze? Guess what? I could have
21	gotten the Norovirus.
22	MR. ANDES: So do we have to analyze
23	the 300 liters?
24	DR. YATES: You are making a

decision -- someone -- not you personally --1 2 a decision is being made whether or not there is a public health risk associated 3 with continuing the practice of putting nondisinfected effluent into a water body 5 6 where you know that recreation occurs. Tt. certainly seems to me that the way that you 8 would want to approach this would be to do a 9 very, very thorough job of assessing the 10 potential health risks. You know that these 11 organisms are present in waste water. 12 know that these organisms cause disease. There's plenty of evidence. We've known for 13 14 years and years and years that these cause 15 disease. We know they are present in waste 16 water. We know we can reduce concentrations 17 by disinfecting the waste water. It's as 18 simple as that. 19 MR. ANDES: Let me ask a couple questions. Is the method that was followed 20 21 consistent with the EPA methods? 22 DR. YATES: Which methods, I'm 23 sorry?

MR. ANDES:

The way that the

sampling was done, is that consistent with the EPA protocols?

DR. YATES: You've asked two different questions. The way in which the sample was collected and the way in which the sample was concentrated, collected and then desorbed from the membranes and then concentrated. According to the Risk Assessment, the U.S. EPA protocols were followed, yes, sir.

CHAIRMAN TIPSORD: Excuse me.

DR. YATES: Now, the part about the analysis of the sample is a whole different question. There's not, to my knowledge, any EPA protocol that says how, what fraction of that sample do you need to analyze, and as we've already discussed, there's no EPA standard method for analyzing samples for some of the pathogens that were done for this study.

CHAIRMAN TIPSORD: Before we go any further, we haven't entered this article in as an exhibit. It's "Norovirus-How Infectious Is It?" Journal of Medical

1	Virology from 2008. If there's no
2	objection, we will mark this as Exhibit 255.
3	Seeing none, it's Exhibit 255.

MR. ANDES: The report, the study
that you've provided on Noroviruses, you
indicated indicates that even one Norovirus
creates a 50 percent risk of infection.
Have you looked at the presence of
Noroviruses in the wet weather sources,
including combined sewer overflows, in the
CAWS?

DR. YATES: As I said, my focus was on the dry weather because that was when the effluent from the waste water treatment plant was known to be the major source of pathogens in the CAWS.

MR. ANDES: So if it rains every few days and if the effects can last four days or even weeks, would you agree that it would be relevant to assessing the risk and the total risk since people don't swim or people don't canoe or kayak when there hasn't been rain in a few days, would you agree that one might also assess and put in context the

1	levels of Norovirus in combined with sewer
2	overflows which are untreated sewage as
3	compared to the secondary treatment treated
4	effluent from the treatment plants?
5	MS. ALEXANDER: Can we clarify that?
6	Is there any evidence in the record to
7	support any substantial numbers of pathogens
8	or indicators lingering a week or two weeks
9	after wet weather? I think the benchmark is
10	about two days. If you want to ask it as a
11	hypothetical
12	MR. ANDES: I wouldn't agree with
13	your characterization.
14	MS. ALEXANDER: I wouldn't agree
15	with your characterization. If you want to
16	ask this as a hypothetical, you can go ahead
17	and do that.
18	DR. YATES: The point is, you know,
19	based on your own sampling that you are
20	putting human disease causing pathogens into
21	the water. You know that you can reduce the
22	concentrations of those disease causing
23	pathogens through disinfection. You can

reduce, therefore, the risk to public health

1 by implementing that disinfection treatment. So it seems to me that it would be your 3 responsibility to do it. You know you can have an impact. 5 MR. ANDES: If the conclusion of the 6 Risk Assessment were that in fact, A, the risk is low even with the combined sewers 8 and secondary, that it would not be infected 9 by disinfection, would you still agree with 10 that? 11 DR. YATES: If you can have an 12 impact, a positive impact by reducing the 13 risk to public health through treatment, 14 then I believe personally that is the 15 responsible thing to do. 16 MR. ANDES: No matter how small the risk reduction is? 18 DR. YATES: If I were in the 19 business of public health, I believe it's my 20 job to protect public health to the extent 21 that I can. It's that simple. 22 MR. ANDES: And if you were dealing 23 with a water body, whereas this one, where

combined sewers will continue, over 200

combined sewers will continue discharging and are not effected by this rulemaking, and therefore Noroviruses, to the extent they are present, will be there, and other pathogens, even with disinfection would be in there, would this water body be safe to

recreate in?

DR. YATES: I do not believe I have said that. I have said, if I have control over something such as disinfecting the effluent that will result in a decreased risk to public health, I believe that that step should be taken.

MR. ANDES: And if one were to disinfect and, again, hypothetically, but based on the results of this Risk Assessment, one could conclude that the risk reduction would be small, would you be concerned that that would give a false sense of security to recreators that now they can go and recreate in a clean, safe water body, even though the combined sewers are still discharging?

DR. YATES: Again, I couldn't

speculate on what people would be thinking necessarily. I don't know anybody who is recreating there. But, again, I get in a car, I don't put a seat belt on and believe that I can drive recklessly because I know the seat belt will protect me.

MR. ANDES: That's a different question. Would you be promoting people going into the water which still has significant levels, by your terms, of pathogens in it in terms of combined sewers and other sources because they think it's safe, would that be consistent with protection of public health?

DR. YATES: I believe you are mischaracterizing my point. My point is if I have control of a source of public health risk, and there's something that I can do to reduce that public health risk by disinfecting that source, I believe it's the responsible thing to do.

MR. ANDES: No matter how small the risk reduction is? No matter what the economic cost to the community is?

DR. YATES: My job here has nothing
to do with determining costs. It's policy
and decision. It's someone else's decisions
what level of risk they are willing to
accept and what cost they are willing to pay
to achieve that level of risk.

MS. ALEXANDER: I have a couple follow-ups.

Do you believe that the Risk
Assessment is in fact wrong about the flow
in the CAWS?

DR. YATES: As I believe I pointed out fairly, specifically in my testimony, I think there are a number of flaws with the Risk Assessment, some of which we've already talked about, and therefore, the conclusions that are drawn with respect to the risk, the risks that are present in "The Risk Assessment," I would just say that there are a lot of assumptions that went into that and there are a lot of problems as we've talked about with analyzing small fractions of samples, with several of the other things that I brought up in my testimony, and so my

confidence in some of the numbers that are presented is certainly not where I would want it to be if I were in the position of having to make a decision about whether or not I was going to require disinfection of this effluent prior to discharge into the CAWS.

MS. ALEXANDER: And one more follow-up. Do you believe that the levels of indicators illustrated on Figure 2 in your testimony do indicate a likelihood of risk to recreators in the CAWS?

DR. YATES: Again, as we've talked about before, it's been shown time and time again, and as we've already talked about earlier this morning, in general, higher levels of indicators are associated with higher levels of pathogens, and some of the levels of indicators that are present in the CAWS are greater than 10,000 higher levels of indicators, higher levels of pathogens.

So definitely if you have higher levels of indicators and higher levels of pathogens, you have higher levels of risk.

1 MR. ANDES: Let me move to another follow-up question. I'm going to direct 3 your attention to Table 3 of in the report. One of the issues, Dr. Yates, you raised earlier was about, adenoviruses, and whether the report was somehow ignoring certain results. Now, as I understand it, in this 8 table, the total numbers, the samples for 9 viruses were then put through a PCR in 10 essence of DNA test, and if positive, which 11 would indicate the presence of adenovirus, 12 the conservative assumption was made that it 13 was called adenovirus even though it that 14 might not necessarily be true; is that So, for example, 7.52 for Calumet 15 correct? 16 outflow was assumed to be all adenoviruses 17 and treated as that 7.52, even though it's 18 entirely possible that not all of that 19 sample was adenovirus? 20 DR. YATES: That's my understanding 21 of how this was handled. 22 MR. ANDES: But not all of it would 23 be viable, but it was assumed it was all 24 adenovirus, and factored into The Risk

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1	Assessment as viable?
2	DR. YATES: My understanding is that
3	the concentration, the MPN/100L was derived
4	from the cell culture assays, which means
5	that those were indeed ineffective viruses.
6	MR. ANDES: But not necessarily
7	adenovirus, right?
8	DR. YATES: That's correct. They
9	were infected viruses.
10	MR. ANDES: And then if the results
11	were negative, it was figured already
12	there's not adenovirus in here, and then
13	that sample would be but those
14	concentrations were addressed in the results
15	for enteric viruses. If they weren't adeno,
16	they were likely enteric. They were
17	accounted for there, and then it was just
18	that the sample viruses for enteric viruses
19	were dealt with in another parameter,
20	correct?
21	DR. YATES: I do not find anything
22	in this document that indicated that a cell
23	culture positive PCR negative sample was

then included as an enteral virus positive

1	sample.
2	MR. ANDES: But there were
3	measurements of culturable enteric viruses,
4	correct, using an EPA method?
5	DR. YATES: Yes, a portion of the
6	sample my understanding is that a portion
7	of the sample was sent to a laboratory, and
8	that portion of the sample, some fraction of
9	it, I don't know what, was analyzed for
10	enterovirus, yes.
11	MR. ANDES: So the enteroviruses are
12	not ignored?
13	DR. YATES: Let me try to explain
14	this.
15	MR. ANDES: Simply tested with
16	another fraction of the sample?
17	DR. YATES: One fraction of the
18	sample was tested for enteroviruses, and
19	those reports are shown in, I believe, in
20	Table 3.5. Okay? Another fraction of the
21	sample was sent to the University of Arizona
22	and analyzed for adenoviruses. If there
23	were cell culture positive results, the
24	conclusion was that there were infected

viruses there, either enteroviruses or adenoviruses. There was a follow-up determination done using a PCR process, that if positive, would indicate that the sample contained adenoviruses.

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MR. ANDES: And the purpose of testing that sample was for adenoviruses, correct? For that fraction that was the whole point?

DR. YATES: The fraction of the sample that was analyzed at the University of Arizona for adenoviruses, that methodology that was used in cell culture, detected as it states, enteroviruses and adenoviruses, right. The purpose of the analysis according to your table anyway says adenoviruses. The purpose of analysis is to determine whether there were adenoviruses there. But regardless, that cell culture test detected adeno. So if it came up positive in that analysis, one would conclude it contained enterovirus and/or adenovirus. You further then analyze that sample using PCR, and if it was positive,

you said, okay, we've got adenoviruses in
this sample. If it was negative, then one
would conclude that the cell culture results
resulted from infection by enteroviruses.

MR. ANDES: Right.

DR. YATES: Okay. My point is, that those samples that were analyzed for adenoviruses in Dr. Gerba's lab and yet were shown by his own technique to contain enteroviruses were not considered to be enterovirus positive for the Risk Assessment.

MR. ANDES: Because there was another test on other fractions, which was testing for enteroviruses, right?

DR. YATES: But if that sample that was separate, the sample collected on that date that you've already described, went through this concentration to some small volume, okay, when that sample was split, a fraction of it was sent to -- I'm not sure where the laboratory was -- HML -- is that -- I don't know where that lab is -- that fraction was analyzed for enterovirus. If

that fraction from that date was found to be negative, was found to be negative by that laboratory but Dr. Gerba's analysis of a different portion of that same sample was shown to be positive for enteroviruses, did you not include that's a positive enterovirus result? And did you compare the two sets of data?

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DR. YATES: I did, sir, yes. And there were numerous occasions, and I had them highlighted in blue. There were numerous occasions on which Dr. Gerba's analysis showed there were enteroviruses, infected enteroviruses in a sample when the other fraction of the sample that was analyzed by the other laboratory was shown to be negative. And this, again, illustrates -- this again illustrates the issues I was talking about earlier with the Norovirus. That when we take a sample and we analyze it, by splitting it up into smaller fractions and analyzing only a portion of that sample, you can miss things.

MR. ANDES: But you also don't know

one was -- you don't know which one was right, right?

DR. YATES: So now you are telling me that the analyses that Dr. Gerba did in his laboratory using this SOP that you have said was a marvelous method, using this method that Dr. Gerba has in his laboratory, which are with all the QAC's and giving all the positive cell culture results, which he has already says means it's adenoviruses or enteroviruses, you are now telling me it's wrong, that there were not viruses?

MR. ANDES: The question is the SOP, was it designed to detect and to adequately capture enteric risk, because if it wasn't and the other one was specifically designed for that and one was focused on adeno and the other was not, the question is are you dealing with apples and oranges? Can you say that because one was specifically designed to capture enteric risks, you are saying one was illegitimate because of the one design by Dr. Gerba, which wasn't designed to look for that?

	Page 35
1	DR. YATES: By Dr. Gerba's own
2	testimony, and is published in his paper in
3	"Applied Environmental Microbiology" in
4	2008, this test, using this cell line that
5	he has in his laboratory, detects both types
6	of viruses, adenoviruses and enteroviruses.
7	MR. ANDES: Are the culture results
8	the same in the two tests?
9	DR. YATES: Which virus?
10	MR. ANDES: The two?
11	DR. YATES: One, the specifics of
12	each media. The point is that Dr. Gerba has
13	testified that they are detected using this
14	assay. The point is that you took a sample,
15	you split it up into different fractions and
16	analyzed it using two different methods,
17	both of which you have said will detect
18	entero viruses. If one said the viruses
19	were there and the other said they weren't,
20	if both of them will detect entero viruses,
21	then that sample should be counted as
22	positive for enteroviruses.
23	MR. ANDES: I believe the records
24	will show that the focus of Dr. Gerba's

1 testimony is using that specific test with 2 its media and that methodology to look at 3 adenovirus. And if you were doing a test to design, to look at enteroviruses, that's 5 why -- if they thought -- let me ask you 6 this question. If they thought that this test was going to be fine for detecting 8 both, why would one send off another 9 fraction to have a different test done 10 unless you were specifically focused on 11 getting on a more accurate type of pathogen.

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DR. YATES: Probably because there is a standard accepted EPA procedure approved method for the detection of enteroviruses.

MR. ANDES: That they used?

DR. YATES: That was used by the other laboratory and not used by Dr. Gerba.

DR. YATES: Didn't Gerba testify,
and you asked me questions, that they are
detected, and yes, indeed they both are.
Furthermore, if you were being very careful
about the entire analysis, the prudent thing
to have done would have been to take those

samples, which were PCR negative for
adenoviruses, but cell culture positive, and
analyze them for PCR by PCR for the

enterovirus.

MR. ANDES: Unless one wanted to follow the EPA method for enterovirus and send them to a different lab to do that.

MR. ANDES: In fact that was done as you've testified, correct? You are saying they should have tested them twice?

DR. YATES: You are the one that said that the cell culture process used by Dr. Gerba, and Dr. Gerba has testified to this himself, the cell culture method that was used by Dr. Gerba detects enteroviruses and adenovirus. You are now choosing to ignore the entero virus results if they did not agree with the results from the other laboratory. And the point is they were not analyzing the exact same water. They were analyzing portions of samples.

MR. ANDES: But it's fairly traditional to use split samples, and I will contest whether Dr. Gerba's testimony was

1	specifically concerning adenoviruses and the
2	use of methodology for detecting that for
3	the whole purpose. Don't make it sound that
4	the he was trying to say that the
5	methodology would detect both.
6	MS. ALEXANDER: Is this a question?
7	CHAIRMAN TIPSORD: Listen, Dr.
8	Gerba's testimony is on the record and can
9	stand on what he had to say. I think we
10	need to move on. I think you've made your
11	point. I think that Dr. Yates has made her
12	point. And we could go on for hours arguing
13	over this point. Let's move on.
14	Excuse me. Dr. Lin has a
15	follow-up.
16	MEMBER LIN: Dr. Yates, do you have
17	any information to provide us of the
18	pathogen, for example the Cryptosporidium,
19	Giardia, die off or regrowth in the stream?
20	DR. YATES: The Giardia, the
21	 Cryptosporidium and the viruses are not
22	 capable of growing out in the stream. These
23	organisms must be inside of a living
24	particle cell. In the case of enteric

they can only grow and reproduce inside of a

human cell or in special laboratory cells or

in certain kinds of primates. So they are

viruses, the Noroviruses and the others,

incapable of reproduction or growth out in

the water. It's physically impossible for

7 them to do so.

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MEMBER LIN: Yes, I know. How about to die-off?

DR. YATES: The rate of die-off of different microorganisms such as viruses and parasites is dependent upon a number of factors, including temperature, sunlight, humidity, the amount of organic material that's present in the water, the presence of natural native bacteria in the water, and it varies from organism to organism, and it varies by those different environmental conditions that I mentioned. So I can't just give you one number. It's very variable.

MR. ANDES: There are some pathogens that do have regrowth and repair in the water body, am I right?

1	DR. YATES: There are some
2	microorganisms that are able to grow in the
3	water, sure.
4	MR. ANDES: And if you reviewed
5	Dr. Blanchy's (phonetic) testimony, he
6	provides some reports that specifically
7	discuss situations where there was
8	disinfection and then repair and regrowth in
9	terms of levels coming back up, am I
10	correct?
11	DR. YATES: That's correct.
12	However, I would note that the
13	concentrations of the organisms after
14	disinfection, even with regrowth, were much
15	lower than the concentrations before
16	disinfection.
17	MR. ANDES: We can go back to
18	Dr. Blanchy's testimony in terms of how that
19	is characterized. I'll move on.
20	In terms of question eight
21	DR. YATES: Just one minute. I'm
22	going to have to find it here.
23	MR. ANDES: I'll rephrase a little
24	bit because we've touched on some of these

issues. Correct me if I'm wrong, you don't have any quantitative sense as to the extent to which meeting this new technology based limitation of 400 per hundred milliliter, the extent to which that would reduce overall pathogen levels in a water body?

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DR. YATES: Again, the type of disinfectant that's used is going to have an impact on -- different impacts on different kinds of microorganisms. So it would be very difficult to make an overall sweeping generalization as to how effectively every single pathogen would be reduced by one type of disinfectant. However, as we know, disinfection reduces pathogens, and it reduces indicators, it also reduces pathogens. So that's why, because we have this -- what's the word? Because there are so many different kinds of pathogens and you can test for all of them and you can't look for the effects of disinfection on all of them, that's why we use indicators to give us some indications of levels of pathogens in the water.

1	MR. ANDES: And you are aware of
2	data showing there are pathogens upstream of
3	the treatment plants, correct?
4	DR. YATES: I have seen the results
5	of the sampling that was done for this
6	study, yes.
7	MR. ANDES: And disinfection of the
8	effluent obviously won't do anything to
9	address those sources, am I right?
10	DR. YATES: Disinfection of the
11	MR. ANDES: Treatment plant.
12	DR. YATES: Of the treatment plant
13	effluent is going to have a the majority
14	of that impact is obviously going to be on
15	the organisms in the effluent. If there
16	were residual disinfectants that were
17	present in the effluents, that were present,
18	that disinfectant could indeed have effect
19	on organisms in the water from other
20	sources. It would probably be minor, but
21	certainly.
22	MS. WILLIAMS: If the effluent in
23	impact upstream of the point of where it's
24	discharged, either through stagnation or

1	some type of hydrological effect where the
2	water is moving upstream, could disinfection
3	reduce those values of pathogens?
4	DR. YATES: Certainly. If there
5	were residual disinfectant in the water or
6	in the effluent as it was deposited in the
7	CAWS, certainly it could have an impact on
8	pathogens from other sources.
9	MR. ANDES: Let me follow up with
10	that. Because I'm pretty sure that under
11	the Clean Water Act, the District would not
12	be allowed to use residual disinfectant in
13	the upstream. Perhaps. Presuming at first
14	they would have to chlorinate and then they
15	would have to dechlorinate because they are
16	talking
17	MS. ALEXANDER: Is that a question?
18	MR. ANDES: Is that your
19	understanding?
20	DR. YATES: I have to know I do
21	not know what the laws are in the State of
22	Illinois regarding that, but if you did have
23	to chlorinate, then certainly there would
24	not be residual disinfection left.

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1	MR. ANDES: In fact, there would be
2	disinfectant byproducts, correct?
3	DR. YATES: That's going to depend
4	on a number of factors.
5	MR. ANDES: You haven't looked at
6	the risk of disinfecting byproducts?
7	DR. YATES: I'm not a toxicologist,
8	and I really cannot speak to those risks.
9	MR. ANDES: On page 8 of your
10	testimony you have a Figure 3 concerning
11	urban rivers.
12	MS. ALEXANDER: For the benefit of
13	all here, I will put this up on the easel.
14	MR. ANDES: Have you compared the
15	flow of the Mississippi River to the flow ir
16	the CAWS?
17	DR. YATES: I have some general
18	information on the flow in the Mississippi
19	River.
20	MR. ANDES: Or the Delaware River?
21	Aren't they larger rivers in terms of flow?
22	DR. YATES: I truly have no idea
23	what the size of the Delaware River is.
24	MR. ANDES: We are talking about the

situation where 70 percent of the
effluent -- you have no reason to believe

that the Delaware River is seven percent --

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DR. YATES: I have absolutely no knowledge of the Delaware River. I wouldn't and couldn't speculate.

MR. ANDES: And it's not clear where there's significant delusion flow compared to the CAWS, where there really is very low --

DR. YATES: Let's look at, say, the Fox River. The Fox River I do know a little bit about. Not much, but I do know that the flows in the Fox River are, depending on where, et cetera, the flows in the Fox River are somewhat comparable to the flows in the The amount of waste water or the proportion of waste water in the Fox River, at least in general, and my understanding, is less than that in the CAWS. It's not 70 percent, at lease not to my understanding. There may be places where it is, but even correcting for the differences, the concentrations in the CAWS are huge. We

1 are talking about, you know, almost 20,000 2 fecal coliforms per hundred mls. here we are talking about way less than 5500. We are talking huge, huge 5 differences. More than an order of magnitude. MR. ANDES: Are those data at the effluent? 8 DR. YATES: Which ones? 9 10 MR. ANDES: The ones on the left. 11 DR. YATES: The ones on the left, 12 these are at the waste water treatment 13 plant, and these are at water monitoring --14 the patch mark blue ones are at monitoring 15 stations. 16 MR. ANDES: The other ones aren't at 17 treatment plants, right? 18 DR. YATES: No, this is -- the 19 darker blue are at the treatment plant, and 20 these are at the -- the other bar is at a 21 water quality monitoring station. 22 MR. ANDES: You are not saying that 23 the levels say significantly downstream of 24 the treatment plants in the CAWS are at

1 20,000? 2 DR. YATES: I'm sorry, repeat that. MR. ANDES: Are you saying that the levels downstream, say downstream in the Cal 5 Sag Channel or in the Chicago Sanitary & Ship Canal are 20,000 or are you talking 7 mainly at the treatment plant? I'm trying 8 to figure out where that data comes from. 9 DR. YATES: At the treatment plant. 10 For example, North Shore, it was 19,538 to 11 be precise. At a downstream monitoring station, and I believe that that indicates 12 13 that it was three miles downstream, the 14 concentration was in excess of 10,000 per 15 hundred ML. 16 MR. ANDES: And is that one data 17 point? Is that an average of data points 18 taken from --19 DR. YATES: I believe this is just 20 a --21 Certainly not an MR. ANDES: 22 average, right? 23 These are -- you know, DR. YATES: 24 off the top of my head, I'm sorry, at this

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1	exact moment I can't recall.
2	MR. ANDES: If those are one data
3	point, do we know what the samples are in
4	terms of the other body, in terms of whether
5	those are averages or one data point taken?
6	DR. YATES: Again, I'm totally
7	blanking on this. I'm really sorry.
8	MR. ANDES: Okay, thank you.
9	DR. YATES: Actually, it does say
10	samples were taken from May to October. So
11	I do believe these are averages. As it
12	states here in the legend I'm sorry, you
13	guys can't see it but in the legend it
14	states that the samples were taken monthly
15	from May to October. So that would
16	certainly imply that those are average
17	values.
18	MR. ANDES: I'm not sure, is that
19	one sample or are you saying those were
20	average of the all the samples taken during
21	the recreational season?
22	DR. YATES: Again, I don't remember
23	the exact detail, but the fact that it

states here in the legend that the samples

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1	were taken monthly from May to October, that
2	would imply that there are multiple samples
3	that contribute to these numbers and that
4	they are averages of some numbers of
5	samples.
6	MR. ANDES: These are EPA data, not
7	district data, correct?
8	DR. YATES: These are data from the
9	U.S. EPA, not Illinois EPA.
10	MR. ANDES: Can we get more
11	information about where those samples were
12	taken and what they represent?
13	MS. ALEXANDER: We can clear this up
14	on a break.
15	MR. ANDES: Okay. And I guess the
16	final question, on say the Fox River, I
17	notice that the levels are actually higher
18	downstream than at the treatment plant
19	indicating, I guess, that there are other
20	significant sources.
21	DR. YATES: I really don't know
22	whether there's other sources. It could
23	have to do with
24	MR ANDES. It could be repair and

1 regrowth, right? DR. YATES: It could be sampling. 3 It could be regrowth. These are fecal coliforms, it could be --MR. ANDES: So I'm going to skip a 6 few questions. And I think we've addressed this issue, but I want to get it clear, and R I believe J5 would be the issue. You haven't looked, am I correct --10 DR. YATES: I'm there. Go ahead. MR. ANDES: You have not looked at 11 the contribution of other sources on the 12 13 bacteria, on the weather particularly during 14 wet conditions, am I right? 15 DR. YATES: What I know about other sources is what I've read in the Risk 16 17 Assessment Report. But, again, I did not 18 focus on the wet weather conditions. 19 focused on the dry weather conditions. 20 MR. ANDES: Since the treatment 21 plants discharge during dry and wet weather, 22 you haven't looked at the relative 23 information of the treatment plants during 24 certain other sources during wet weather

events and after, correct?

DR. YATES: Again, as I believe I've stated several times now, the fact is there are pathogens in the effluent. You are putting that effluent in the CAWS. People are recreating in that, and they are being exposed to pathogens which has public health risk associated with it.

MR. ANDES: And in terms of disinfection, that would not eliminate pathogens from the effluent, right? There would still be pathogens in the effluents, correct?

DR. YATES: If you are asking would disinfection reduce the number of all pathogens to zero -- is that the question you had?

MR. ANDES: Sure.

DR. YATES: The answer is, I can't remember the which way the question is -- disinfection of the effluent would not reduce the concentration of all pathogens to zero.

MR. ANDES: And do we know what

levels it would reduce to, given that you've read Dr. Blanchy's testimony concerning this issue and whether conventional disinfection as required here, whether it would in fact disinfect infection significant? Do you have a conclusion that, not fecal coliforms, but actual pathogens, would be reduced to a 400 standard?

DR. YATES: I cannot speak specifically to the degree of pathogens reduction that would result from disinfection to a 400 fecal coliform per ML standard. I can tell you that disinfection will reduce the concentration of pathogens, thereby decreasing public health risk.

MR. ANDES: But you are not saying, correct me if I'm wrong, that the levels that are remaining after disinfection --

MS. ALEXANDER: Levels of what?

MR. ANDES: The level of pathogens remaining after disinfection in this water body from all sources would be protective of the health of recreational users? As would the conditions after this disinfection be

1	safe for recreational users?
2	MS. ALEXANDER: What do you mean by
3	safe? That's a vague question. There are
4	levels of safety. We need clarity.
5	MR. ANDES: Speak to levels of
6	safety then. The claims are being made that
7	this would reduce public health risk. I'm
8	trying to define what you use the level of
9	safe to be.
10	DR. YATES: I have not stated what
11	would be safe. That, to me, is a regulatory
12	designation.
13	MR. ANDES: You are speaking to a
14	regulatory body.
15	DR. YATES: As I've already stated,
16	it's someone else's role to determine what
17	is an acceptable risk. All I'm saying is
18	one can disinfect the waste water to reduce
19	the concentrations and thereby reduce the
20	risk. What the acceptable level of risk is,
21	is someone else's role to determine.
22	MR. ANDES: I'm going to skip to
23	12 and go to other questions later.
24	MS. WILLIAMS: Can we go back? I

want to ask one of Fred's questions. Is
that okay? I'd like to hear the answer to

Ouestion 9.

CHAIRMAN TIPSORD: Question 9? There's J9 and then 9.

MS. WILLIAMS: No, just 9. It
doesn't have any subparts. The question
quotes you as saying, "I also note that
disinfection is a longstanding standard
practice in most major metropolitan areas in
the U.S. and is implemented in many smaller
communities, as well," et cetera, and the
question is, are you aware in other parts of
the world, such as Western Europe waste
water disinfection is the exception? I
would like to know if you agree with that
statement and that question in 9?

DR. YATES: I have to say that with respect to Europe, I don't have a lot of direct knowledge. It's my understanding that there are -- that there does seem to be an increase in certain areas of the use of disinfection. However, I had occasion to speak directly with a colleague in Canada

1	who informed me that it is required by law
2	in their province that all waste water be
3	disinfected prior to discharge. So it's not
4	just that waste water effluents are
5	disinfected prior to discharge in the United
6	States. It's practiced in other places in
7	the world.

MR. ANDES: But you are aware, I gather, that there are cities in Western Europe that do not practice disinfection, correct? You said it was increasing.

DR. YATES: I have not done a survey of waste water treatment plants in Western Europe to determine which ones require disinfection on and which ones do not.

MS. ALEXANDER: I have a quick follow-up concerning the data on Figure 3 because I think I understand what the problem was.

Dr. Yates, do you have an understanding of what the source is of the data only with respect to the Chicago area, the Little Canal and the North Shore?

DR. YATES: The Chicago area

- 1 waterways data, my understanding is that 2 those come from the District's own sampling, results of their own sampling. I believe the other data comes from the United States 5 Environmental Protection Agency. I believe Region 5. I believe that's here. MR. ANDES: Can we find out exactly 8 which data points are presented there because that's not clear to me? 10 MS. ALEXANDER: That's the part that 11 we can clear up on break. 12 MR. ANDES: Is there any further 13 follow-up? 14 MS. ALEXANDER: No. 15 MR. ANDES: I'm going to skip around 16 a little bit. On question 14, you stated 17 that the district sampling in the CAWS near 18 the outfalls indicates higher bacteria level 19 of higher than five times the primary 20 contact standard. Do you know of a 21 technical basis for that five times the
- DR. YATES: I do not have -- sitting

rule of thumb?

primary contact standard or was that just a

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1	here thinking,	going through everything I've
2	read, I do not	know that I have ever read
3	where the five	times the factor of five
4	comes from. I	do not know.

MR. ANDES: And right now, there isn't any federally recommended secondary contact criteria, correct?

MS. ALEXANDER: What do you mean by federally recommended? I mean, because what we are talking about here is a recommendation? Are we talking about formal regulatory? We need to clarify that.

MR. ANDES: Yes, we have primarily contacted recommended criteria, but they don't have a secondary contact criteria, correct?

DR. YATES: That is my understanding. However, as you know, even if EPA does not have a, you know, formal enforced standard for secondary contact recreation, again, in the interest of protecting public health, if you know that you are doing something that is putting the public at risk, and you know that you can do

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1	something about it, to reduce that risk,
2	even if EPA doesn't regulate it, doesn't
3	mean you have to do it or doesn't mean that
4	you shouldn't do it.
5	MR. ANDES: I guess we'll get into
6	the policy call then whether you balance how
7	much risk you are reducing to how much it
8	costs, what the greenhouse case and picks
9	are and everything else.
10	DR. YATES: Again, that is something
11	that's not my role. It's somebody else's
12	role to take all these factors into
13	consideration and determine how much risk
14	they are willing to accept and how much they
15	are willing to pay to reduce that risk to
16	whatever level they've considered
17	acceptable.
18	MR. ANDES: I'm going to go back to
19	some that we've already that I'm skipping
2.0	over in question 18D.
21	DR. YATES: 18B or D, I'm sorry?
22	MR. ANDES: D as in David.
23	Are you familiar with the expert
24	work report of the expert scientific

1	workshop on critical needs for the
2	development of your revised recreational
3	water quality criteria?
4	DR. YATES: Yes, sir.
5	MR. ANDES: Isn't it true that this
6	report pointed out that fecal coliform are
7	detected sometimes where fecal contamination
8	is not present possibly resulting in an
9	inaccurate assessment of effected
10	recreational safety?
11	DR. YATES: Yes, it certainly is one
12	of the things that was pointed out in that
13	workshop report, that there are times when
14	coliforms can be present when there isn't
15	fecal contamination. However, the converse
16	is also very true and very well documented,
17	that we can find pathogens in water, water
18	that has actually caused disease outbreaks,
19	in the absence of coliform bacteria.
20	MR. ANDES: It goes both ways then?
21	DR. YATES: It does go both ways.
22	MR. ANDES: Okay. You've discussed
23	the importance of, not point sources in
24	making recreational waters unsafe, citing an

1	EPA statement that, "It's the main reason
2	that approximately 40 percent of our
3	surveyed rivers, lakes and astute rivers are
4	not clean enough to make basic uses, such as
5	fishing or swimming." And I'll provide the
6	document that includes that quote.
7	CHAIRMAN TIPSORD: I've been handed
8	the "Analysis of the United States
9	Protection Agency Noncompliance with Beaches
10	and Environmental" by Dr. Yates and Rachel
11	T. Noble, and I don't see a date on this.
12	But if there's no objection, we'll mark this
13	as Exhibit 256. Seeing none, it's
14	Exhibit 256.
15	MR. ANDES: And I believe that
16	statement was on pages 8 and 9 of this
17	report. In your opinion, would not point
18	sources make the CAWS unsafe at times even
19	if disinfection was provided at the
20	treatment plants?
21	DR. YATES: I assume you are
22	referring to wet weather conditions?
23	MR. ANDES: Probably, primarily,
24	yes.

	iage of
1	DR. YATES: I just want to be clear.
2	MS. ALEXANDER: Can we break the
3	question down? Can you ask the question
4	referring to wet weather sources because I
5	think the answers may be completely
6	different.
7	DR. YATES: Certainly if there are
8	wet weather sources contributing pathogens
9	to the CAWS, then the effects of, as we've
10	already said, the effects of disinfecting
11	the effluent would not be as great in terms
12	of pathogens, reducing pathogen risks as
13	they would be during dry weather times when
14	the waste water treatment plant effluent was
15	the main source of pathogens to the CAWS.
16	MR. ANDES: I'm not sure that
17	answered the question, but I'll move on.
18	In your same report you stated,
19	on page 5, that "The EPA must justify the
20	level of risk upon which any criteria are
21	based." Do you believe that the same would
22	apply in this rulemaking proceeding?
23	DR. YATES: That the EPA should you
24	justify the level of risk?

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1	MR. ANDES: Or in this proceeding
2	the Agency too should look at and base the
3	level of risk upon which to make
4	requirements.
5	DR. YATES: Maybe I'm referring to
6	which obviously, I was referring to the
7	U.S. EPA.
8	MR. ANDES: And obviously the same
9	would be true for the state?
10	DR. YATES: Do I believe that the
11	level of risk has to be justified?
12	MR. ANDES: Yes.
13	DR. YATES: I think that would be
14	reasonable.
15	MR. ANDES: But nothing in your
16	testimony speaks to what the precise levels
17	of risk are or would be with or without
18	disinfection, correct?
19	DR. YATES: That's correct.
20	MR. ANDES: Are you aware and I
21	assume you've reviewed a fair amount of the
22	 record in this matter are you aware of
23	any justification that's been provided in
24	the record by the Illinois EPA concerning

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1	levels of risk that would be resulting from
2	disinfection?
3	DR. YATES: Justification for?
4	MR. ANDES: In other words, is there
5	anything you've seen in the record how the
6	level of infection would be reduced by
7	disinfection?
8	MS. ALEXANDER: By the record, are
	-
9	you referring to what IEPA specifically has
10	presented?
11	MR. ANDES: Yes.
12	DR. YATES: I don't know that. I
13	haven't seen exactly anything that IEPA has
14	presented.
15	MR. ANDES: Okay.
16	DR. YATES: I'm racking my brain,
17	but I don't
	but I don't
18	
19	MS. WILLIAMS: When you say that,
20	does that include the proposed rulemaking
21	language in this proceeding?
22 =	DR. YATES: I have not seen the
23	proposed rulemaking language.
24	MR. ANDES: Did you review the
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1	statement of basis that was included with
2	the rule or any of the testimony by the
3	agency?
4	DR. YATES: I do not believe I have
5	seen the testimony by the Agency.
6	MR. ANDES: What have you reviewed
7	in the record regarding
8	DR. YATES: I've reviewed the
9	testimony of a number of experts.
10	MR. ANDES: Including the Illinois
11	EPA's experts?
12	DR. YATES: I have reviewed the
13	testimony of Dr. Blanchy, of Dr. Gerba,
14	Dr. Pertropolis, Dr. Hass, Dr. Gerba,
15	Dr. Dorevich. So I'm not sure if that's
16	what you are referring to by the I'm not
17	sure.
18	MS. ALEXANDER: I'm sorry, you
19	referenced IPA's experts. Do you mean their
20	staff members?
21	MR. ANDES: Yes.
22	DR. YATES: I do not believe I have
23	reviewed the testimony of the staff members
24	of the TEPA

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1	MR. ANDES: Did you review the
2	statement of basis they included with when
3	they started this rulemaking?
4	MS. WILLIAMS: Are you referring to
5	the statement of reasons, Fred?
6	MR. ANDES: Yes, thank you.
7	DR. YATES: I am not sure that I
8	have read that.
9	MR. ANDES: I noticed also in this
10	report that there were statements made
11	toward the back I'm sorry, on page
12	starting on page 25.
13	DR. YATES: Are you speaking of the
14	analysis?
15	MR. ANDES: Got it.
16	DR. YATES: I wanted to make sure I
17	had the right report.
18	MR. ANDES: Under No. 5, the
19	statement is made that the CPSP, the
20	Critical Path Science Plan proposes
21	epidemiology or quantitative risk assessment
22	management, QRAM studies, to establish
23	criteria is not the appropriate way to
24	deliberate studies to develop criteria. And

then it goes on to say in the second

paragraph that -- I'm sorry, in the first

paragraph, that the experts at the expert

workshop indicated that the preferred

approach for defining the quantifying human

health risks from exposure to pathogens in

water is to conduct epidemiological studies,

going on to say epidemiological studies is

the primary way to be proceeding the

quantifications should only occur as an

adjunct or precursor to epidemiological

studies. Do you stand by those studies?

DR. YATES: Yes, in the context of this, the issue for which this document was prepared, yes.

MR. ANDES: So in this particular proceeding where evidence has been introduced as to both a quantitative microbial Risk Assessment and epidemiological Risk Assessment that is currently ongoing, you would agree that those should be relevant in the Agency making its decision as a matter of public policy?

DR. YATES: I believe that those should be considered as the decision is made regarding whether or not effluent should be disinfected prior to discharge or not. I believe both of those, in addition to other things, should be considered, yes.

MR. ANDES: Okay. I'm going to skip again to question 25. This concerns statements in your testimony. If I can find that again here under the pile. In the Risk Assessment, those response methods were selected and considered for general population. You provided those response parameters for those populations?

DR. YATES: First of all, I would point out that my statements were that sensitive populations weren't taken into account in the Risk Assessment, and there are other places in the risk assessment where you can take into account sensitive subpopulations other than that in the dose response portion of the Risk Assessment. For example, it's documented that the severity of illness, for example, can be

1	higher in the sensitive subpopulations or
2	the mortality rate can be higher in
3	sensitive subpopulations. So just because
4	you don't have dose response data for
5	sensitive subpopulations doesn't mean that
6	you just ignore them.
7	MR. ANDES: So the answer to the
8	question is, you are not aware of dose
9	response parameters that could be used to
10	deal with sensitive populations?
11	DR. YATES: That's not entirely true
12	because there are dose response data that
13	have been derived from studies of children,
14	for example, and those would be considered
15	as a sensitive population.
16	MR. ANDES: For primary contact
17	water?
18	DR. YATES: Doing a dose response
19	study is not dependent on the kind of water.
20	MR. ANDES: And what particular
21	studies are you speaking of?
22	DR. YATES: If I remember correctly,
23	there are some of the studies that have beer
24	done on children include polio, polio virus

	Page 69
1	studies. That's the only one I'm sure of at
2	this moment. There may be others, but
3	that's the only one coming immediately to
4	mind.
5	MR. ANDES: As I understand it, the
6	largest issue in determining sensitivity to
7	effluxion is the immune status of the
8	individual. And people do develop
9	immunities through antibodies to particular
10	pathogens, am I right?

DR. YATES: I would not necessarily agree that the largest factor in determining sensitivity to infection is the presence of antibodies, no.

MR. ANDES: But it's a factor?

DR. YATES: It is a factor.

MR. ANDES: Are there studies indicating that routine exposure, for example, by going out on the water frequently could build up the antibody and one would be less sensitive?

DR. YATES: There are situations where exposure to an organism actually can make you -- can make it more likely that you

1 would be reinfected. 2 MR. ANDES: Is that true as to pathogens? DR. YATES: Norovirus. 5 MR. ANDES: But do you have any studies to that effect? DR. YATES: Not here with me. 8 in speaking with Dr. Christine Mode, who has done the human challenge studies for 10 Norovirus, she, two weeks ago, verified 11 that. 12 MR. ANDES: There are others where 13 repeated unexposure would make one less 14 infectible? 15 There are exceptions, DR. YATES: 16 that if you develop antibodies as a result 17 of exposure to that pathogen, it would make 18 it less likely that you would be less likely 19 to become infected by that particular 20 pathogen, likely. 21 MR. ANDES: Say in terms of 22 immunocompromised people, as a matter of 23 public health, would it be your 24 recommendation that people who are

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1	immunocompromised maybe not recreate on the
2	CAWS?
3	DR. YATES: Could you define
4	immunocompromised?
5	MR. ANDES: Let's say people taking
6	immunosuppressive medications.
7	MS. ALEXANDER: She's already
8	testified that she's not here to make
9	specific recommendations. If that's what
10	you are asking for on the record, that's not
11	what she's here to do.
12	MR. ANDES: I was not asking as a
13	regulation ought to be made. As a matter of
14	public health you have opined as to what
15	makes sense as to public health. Would one
16	tell people who are taking immunosuppressive
17	medications that perhaps they not go on this
18	water body disinfected or not, given the
19	infection sources?
20	DR. YATES: I really couldn't
21	speculate as to that or not. I'm not a
22	physician.
23	MR. ANDES: On question 26, this
24	concerns, on the confutation of wet and dry

1	weather conditions, do you believe
2	recreational activities are conducted more
3	frequently near the treatment plant outfalls
4	or in other areas?
5	MS. ALEXANDER: I'm going to object
6	to that. There is a little vagueness here.
7	Near the outfalls, do you mean within a mile
8	or two of them? Do you mean right where the
9	water is falling into the river or what do
10	you mean by that?
11	MR. ANDES: I would say near the
12	sampling stations.
13	MS. ALEXANDER: Any of the sampling
14	stations?
15	MR. ANDES: In close proximity to
16	the outfalls.
17	MS. ALEXANDER: We are back to the
18	vagueness. Is close proximity a mile or two
19	feet?
20	MR. ANDES: Let's say it's a mile.
21	MS. ALEXANDER: Okay.
22	DR. YATES: So the question is, if
23	you could remind me again.
24	MR. ANDES: We have three treatment

1 plants --2 DR. YATES: Right. MR. ANDES: -- on this set of waters. Do you believe that recreational 5 activities are conducted more frequently in close proximity to the treatment plants, within say a mile downstream of them or in 8 other areas of the system, including upstream? 10 DR. YATES: I don't have specific 11 knowledge of where the recreation occurs 12 upstream or downstream. 13 MR. ANDES: And since data used in The Risk Assessment included data near the 14 15 outfalls, wouldn't that tend to over 16 estimate the risk for people who are 17 recreating in other areas, including 18 upstream of those outfalls, if we are 19 looking at an overall assessment of risk 20 recreating on this set of water body? 21

DR. YATES: Well, again, we've already talked about what I feel are some of the major shortcomings of the Risk Assessment. So it sounds like what you are

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1 saying is that in this one particular case we may have assumed that there may be a 2 3 little bit higher risk for some individuals, but in the context of the overall Risk 5 Assessment how important that whole thing is, it's really rather difficult to say. And furthermore, if I remember correctly in The Risk Assessment that was done, you assumed that there was equal use of upstream 10 and downstream locations when it's my 11 understanding that more miles of the CAWS 12 are below or downstream of the treatment 13 plants. So I don't understand how you could

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MR. ANDES: I'm not sure what you are referring to.

justify assuming that there was equal

recreation both up and downstream.

DR. YATES: In the, if I remember correctly, in the Risk Assessment you assumed equal recreation occurred upstream and downstream. Whereas the total number of miles of waterways downstream of the treatment plants is much, much higher, and so there was kind of an unequal. The two

just don't jibe.

MR. ANDES: If more samples are taken, and we can go back to the risk assessment during wet weather of CFO's, that would tend to increase the risk of assessment, would it not? Remember all those risks were taken into account and included.

DR. YATES: I think we're mixing apples and oranges here. I think we are talking about different things. One had to do with where people were recreating, and my point is that you were assuming that people were recreating equally upstream and downstream when it's my understanding that the downstream portion of the CAWS represents a much larger percentage of the system, if you will.

MR. ANDES: Can you point me to where it's equally upstream and downstream?

I believe samples taken within four widths of the outfalls were used to represent the whole downstream area, including miles downstream of the outfalls, which wouldn't

1	that tend to overestimate the risk?
2	DR. YATES: I'm not really sure I
3	can say that at this point. I'm sorry, I
4	don't think I'm following.
5	MR. ANDES: Let me continue on the
6	issue of wet and dry. Assume you know
7	people may be exposed on rainy days or days
8	immediately after a rain event.
9	DR. YATES: I don't have specific
10	knowledge of when people are recreating
11	here.
12	MR. ANDES: Are you aware that The
13	Risk Assessment did not take into account
14	the fact that rain may decrease recreational
15	use?
16	DR. YATES: Say that again.
17	MR. ANDES: It didn't take into
18	account any decrease in recreational use
19	when it's raining?
20	DR. YATES: I'm not certain that I
21	was aware of that specific point.
22	MR. ANDES: If so, if it used the
23	same assumption for recreational use in wet
24	and dry weather, that would tend to

overestimate the risk somewhat because it's likely that somewhat less people are

recreating in rain storms than during dry weather, correct?

DR. YATES: I don't have any information to enable me to agree to that statement.

MR. ANDES: And would you disagree with the notion that including sampling data from both dry and wet weather is necessary to look at the impacts of disinfection of the overall risk associated with the water body considering people recreate in all sorts of weather conditions?

DR. YATES: Again, it depends what you are talking about. If I am a person and I am recreating in that water body on a dry weather day, then I don't really care what my risk is on a wet weather day. I care about what my risks when I'm recreating when I know that there are pathogens being put into the water from the treatment plant. So, again, I care about the risk when I'm recreating.

1	MR. ANDES: So do you think we
2	should not to have look at the wet weather
3	risk, only the dry weather risk?
4	DR. YATES: I'm saying for the
5	individual recreator it may or may not have
6	an impact. What I'm saying is something
7	I've said several times already, I believe,
8	and that is you have control over the
9	concentration of pathogens that are input
10	into the water during the dry weather. You
11	can reduce those pathogens, thereby reducing
12	public health risk by disinfecting that
13	effluent.
14	MR. ANDES: And therefore? And
15	that's where you stop.
16	DR. YATES: I believe I said by
17	disinfecting the effluent you could reduce
18	the effect of pathogens, reduce the effect
19	of pathogens to the people recreating in the
20	water. I believe I said all that.
21	MR. ANDES: When do you want to
22	break?
23	CHAIRMAN TIPSORD: We've got about
24	ten minutes. As long as we leave about five

to 3:00. The call is supposed to initiate at 3:00.

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MR. ANDES: I'm going to move to question 29, concerning dose response assumptions. Are the pathogens dose response parameters that were employed in the Risk Assessment typically used in Agency's risk assessment?

It depends on what DR. YATES: organisms are the risk assessments are being done for. So the dose response values that are reported in the Risk Assessment Document are correct for the pathogens that you cite them for, you know. So when you say this is the dose response for, you know, a given -for Salmonella or this is the dose response for Cryptosporidium, the number that you give as the dose response for the numbers or the values that you give for the dose response are correct. The issue is that there are occasions when you say, well, we don't have a value for the dose response for this organism so we are going to use the dose response for that organism.

where I would say that there are issues.

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MR. ANDES: And one of the questions you raised was concerning the adenovirus dose response parameter. But the dose response parameter cited is for respiratory infection of adenovirus, do you believe it accurately reflects dose response parameters for gastrointestinal virus?

DR. YATES: I really couldn't speculate on how the dose response parameters for respiratory acquired adenovirus infection relates to the dose response parameters for gastrointestinal adenoviruses. The point is that one can acquire respiratory adenovirus infections from adenoviruses that are present in fecal material, and therefore in sewage, and there was no attempt made to do a Risk Assessment for the respiratory route of acquiring adenovirus infection. And, again, I'm not the only person who has pointed this out. This is another one of the concerns that the EPA has brought to your attention.

MR. ANDES: But I think there are

two separate issues there, and the EPA issue was responded to in the comments and responses. And the question was, in doing the dose response on gastrointestinal illnesses, the issue was as stated here, was that in the risk assessment, they said uninfectivity in respiratory infections are very high, so using that high dose response value would seem inappropriate for gastrointestinal illnesses where the infectivity is much lower, but you are saying you should use it any way because it's conservative.

DR. YATES: I don't believe that's what I said, sir. I said one should look at the potential for respiratory transmission of adenoviruses since you have the transmission of adenovirus. I do not know, as I also stated, whether the dose response parameters for respiratory transmitted adenoviruses. I don't know how those relate to the dose response parameters for the gastrointestinal adenoviruses. I just don't know.

1	MR. ANDES: If there is not a route,
2	if there is not a pathway in canoeing for
3	respiratory inhalation of significant levels
4	of adenoviruses, would that still be
5	something that you want to look at? I
6	believe The Risk Assessment looked at these
7	issues qualitatively. Do you believe there
8	is a significant risk of inhaling from
9	canoeing on the CAWS?

DR. YATES: Now, you are changing the kinds of activities you are talking about. But nonetheless I have not done a study to look at the volume of water one might be exposed through the respiratory route during those kinds of activities, so I could not speculate as to whether those risks would be high or low. The point is I don't know.

MR. ANDES: The EPA, in looking at primary contact recreational criteria, are they looking at that? Are they focusing a lot of attention on inhalation?

DR. YATES: They are looking at nongastrointestinal illnesses yes, sir.

1	MR. ANDES: My question is, are they
2	spending any significant amount of attention
3	on inhalation?
4	DR. YATES: They are looking at
5	other types of end points, in addition to
6	gastrointestinal illness, of which
7	respiratory effects are included. They are
8	also looking at other kinds of infections,
9	eye infections and ear infections. Things
10	like that. The other thing I would point
11	out is that even the respiratory
12	adenoviruses can be transmitted, especially
13	in children. They can be transmitted
14	through the fecal-oral route. So as far as
15	I know, your Risk Assessment did not take
16	that into consideration. Even the so-called
17	nonenteric adenoviruses can be transmitted
18	through exposure through ingestion.
19	MR. ANDES: Would you expect the
20	dose response parameters to be similar?
21	DR. YATES: I couldn't speculate to
22 ⁻	that because I'm not aware of any studies on
23	that.
24	MR. ANDES: Are all adenoviral

pathogens equally capable of producing gastrointestinal effects?

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DR. YATES: Based on my knowledge of adenoviruses, there are two of them that are most frequently associated with gastrointestinal effects. Those are adenoviruses 40 and 41. There are other adenoviruses that are most frequently associated with respiratory effects. There are some adenoviruses that may be most frequently associated with causing respiratory effects but may produce gastrointestinal effects. The more important thing is whether the cause is a respiratory effect or gastrointestinal effect. The adenoviruses replicate in the gastrointestinal tract, and therefore are shed in fecal material, and therefore they are present in sewage. And as I've also mentioned in even some of the respiratory adenoviruses that produce respiratory effects, some of them can be spread through ingestion. And that's especially true in children.

1	MR. ANDES: Have you looked at the
2	extent to which the biological treatment of
3	secondary sewage, the treatment and risk to
4	the respiratory from effluents of raw
5	sewage? So you are dealing with secondary
6	sewage, the extent to that would contain
7	those or the extent to which those would be
8	removed.
9	DR. YATES: I cannot specifically
10	tell you of any study that I can call to
11	mind right now that has looked at the
12	removal of, specifically adenoviruses, by
13	secondary treatment.
14	MR. ANDES: But you are aware of
15	studies indicating that there were
16	significant removal of pathogens in
17	secondary pathogens?
18	MS. ALEXANDER: Can I object?
19	MR. ANDES: Some?
20	DR. YATES: Certainly some is fine.
21	MR. ANDES: You are aware of removal
22	of pathogens by secondary treatment?
23	DR. YATES: Yes, there is some
24	removal of pathogens during secondary

1	treatment, yes. Significant, that's a
2	different matter.
3	MR. ANDES: Do you know how much?
4	DR. YATES: It varies based on the
5	specific type of secondary treatment
6	process. It varies even with the same type
7	of secondary treatment process. It varies
8	from plant to plant, different operating
9	conditions. But it could be, especially for
10	viruses, it could it's extremely
11	variable, and it could be as low as, I don't
12	know, 10, 20, 30 percent. Maybe up to 80 or
13	90 percent. Something like that.
14	MR. ANDES: Can you provide any
15	documentation for those numbers?
16	DR. YATES: Sure. If you look I
17	don't have them with me, but if you would
18	look at standard textbooks such as
19	MR. ANDES: I'd like to get
20	specifics.
21	MS. ALEXANDER: She was about to
22	finish her sentence about which ones, I
23	believe.
24	DR. YATES: Standard textbook, which

1		was referenced in my testimony, "Waste Water
2		Microbiology," written by Gabriel Baton. I
3		believe published by Academic Press. It's
4		in my testimony.
5		MR. ANDES: So would you disagree
6		with the conclusions of Dr I can't
7		remember if it's Dr. Orlis or Garlack in
8		their papers which discussed there was
9		significant removal of pathogens in
10		secondary treatment?
11		DR. YATES: I do recall it. I don't
12		remember the word "significant." To me
13		99 percent removal is not significant.
14		MR. ANDES: Oh, okay.
15		DR. YATES: I don't know. I didn't
16		define what percentage significant was.
17		MR. ANDES: Thank you. Can you
18		clarify why 99 percent is not significant?
19		DR. YATES: Certainly. If you have
20		a million pathogens in the water and you
21		remove 99 percent of them, you still have
22	-	10,000, and if you are dealing with
23		something like a Norovirus, where one
24		Norovirus particle can give you a 50 percent

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1	probability of infection, you understand why
2	I say 99 percent removal is not significant.
3	CHAIRMAN TIPSORD: With that, we
4	need to take a break. We'll try to be back
5	here at 3:30.
6	(Whereupon, a break was taken
7	after which the following
8	proceedings were had.)
9	MS. ALEXANDER: Before we start, we
10	just wanted to put on the record the
11	clarification concerning the source of the
12	data that is in Figure 3 that is currently
13	displayed.
14	DR. YATES: Right. I did go back
15	and check my notes. And indeed these do
16	represent the geometric means of monthly
17	sampling, and those data were gathered by
18	the Region 5 EPA as I had thought. I did
19	verify that that was indeed the case.
20	MR. ANDES: And you are speaking to
21	the data on the board?
22-	DR. YATES: The data on the right,
23	the nonChicago area waterways, the Fox
24	River, the Mississippi River and the

1	Delaware.
2	MR. ANDES: Are the District data
3	also geometric means?
4	DR. YATES: Yes, I believe they are.
5	MR. ANDES: And do we know what
6	particular months and years those were from?
7	DR. YATES: Yes, May through
8	October, in I believe, 2002.
9	MR. ANDES: I could not read that on
10	the
11	CHAIRMAN TIPSORD: Go ahead. We are
12	ready.
13	MR. ANDES: We'll go back to
14	Question 10. This concerns the statement
15	concerning the likely presence of dangerous
16	pathogens. The District's treated waste
17	water has been shown to have relatively low
18	levels of pathogenic microorganisms during
19	dry weather conditions, therefore, please
20	provide scientific evidence to explain the
21	following, A, what evidence is there that
22	the pathogens listed in Table 1 exist in
23	high concentrations in the CAWS.
24	MS. ALEXANDER: I'm going to object

to the question because it assumes facts not
in evidence or I object to your
characterization that the District's treated
the waste water has been demonstrated to
have relatively low levels of
microorganisms. I think that's the topic
that's been hotly contested all of today,
and also on vagueness. I'm not sure what
you mean relatively low. Relative to what?

DR. YATES: So if you could --

MR. ANDES: So my statement was, if you could go through the various pathogens and pathogen categories listed in Table 1 and tell me what evidence there is that these pathogens exist in high concentrations in the CAWS.

DR. YATES: So as I believe I've already stated, based on the sampling that's been done by the District, a number of the pathogens that are listed in Table 1 have been found in the CAWS. Those include the adenoviruses, as I believe I explained earlier, the cocci A and B virus, and the echo viruses, which are members of the

1	entero virus group. So you did do analysis
2	for entero viruses. And did you find
3	enteroviruses in there? I do not know
4	because you did not do further
5	characterizations of which of the
6	enteroviruses you did find. You also found
7	Norovirus in there. I believe you also
8	found salmonella, as well as Giardia and
9	Cryptosporidium.

MR. ANDES: And in terms of the enteroviruses, weren't those all characterized as coxsackie viruses which would tend to over-estimate the risk?

DR. YATES: I have not seen any information regarding the characterization of the enteroviruses that were detected.

MR. ANDES: Part of my question was whether these categories of pathogens exist in -- what levels they exist in.

DR. YATES: Well, you have provided for some of these pathogens at least concentrations based on your analysis, and I've already spent, I think, quite a bit of time discussing how it's very difficult to

1	interpret the actual significance of those
2	numbers because of the fact that only a
3	fraction of each of the samples was analyzed
4	for each of those pathogens. So I could not
5	specifically comment on the numbers that you
6	reported.

MR. ANDES: Putting aside the issue of sampling, if these are taken to be the levels that were detected, the question is are those levels high?

DR. YATES: I guess it would depend on how you define high. If you define high as above zero, yes.

MR. ANDES: And if you define high by reference to some dose response information or other information out there indicating some threshold for likely health effects.

DR. YATES: Well, again, as I've mentioned for the Norovirus, ingestion of a single Norovirus particle is sufficient to give you a 50 percent probability of infection. So detection of one Norovirus particle, right there gives you what I would

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1	consider to be a very significant public
2	health risk. 50 percent probability of
3	infection is very, very high.
4	MR. ANDES: And there are
5	Noroviruses all around us.
6	DR. YATES: Not that I know.
7	MR. ANDES: Do people sneeze?
8	DR. YATES: I'm sorry?
9	MR. ANDES: Where are Noroviruses
10	present?
11	DR. YATES: Well, the Noroviruses
12	are in the intestinal tract of individuals
13	that are infected, and anything that has
14	been contaminated by the fecal materials of
15	individuals who are infected.
16	MR. ANDES: I mean, they are present
17	in the environment more than just this water
18	body, correct? One could encounter them in
19	other environments?
20	DR. YATES: Noroviruses are present
21	in environments that are fecally
22	contaminated with human fecal material.
23	MR. ANDES: Are they found in
24	drinking water?

1	DR. YATES: If the drinking water
2	contains human fecal material and it has not
3	been disinfected to remove them, then, yes,
4	they could be present in drinking water.
5	MR. ANDES: Disinfection doesn't
6	remove them all, right?
7	DR. YATES: I believe I've said a
8	number of times, you cannot guarantee
9	100 percent removal of pathogens using
10	disinfection. You reduce the concentration.
11	MR. ANDES: So in your table when it
12	says the Noroviruses are known to cause 23
13	million cases of viruses in U.S., are those
14	from all sewage contaminated water bodies?
15	What are the causes of those viruses?
16	DR. YATES: Realize again these are
17	an estimate of the number. These are not
18	all documented, which again points to the
19	fact that we really don't have a good idea
20	of the actual number of all of these cases
21	of illnesses that occur. However, the
22	sources of, specifically Norovirus
23	infection, can be water. They can be
24	food those are probably the main two

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1	sources of Norovirus infection, water and
2	food.
3	MR. ANDES: Drinking water you are
4	saying?
5	DR. YATES: I believe I said water.
6	MR. ANDES: I'm asking, are you
7	talking primarily about ingestion of water
8	in drinking?
9	DR. YATES: No, I wouldn't. I would
10	say ingestion of water period, whether it be
11	from drinking or whether it be from
12	recreation. Both of those have been shown
13	to be sources of exposure to Norovirus that
14	can result in illness.
15	MR. ANDES: So do you have of the
16	23 million cases of Norovirus in the U.S.,
17	do you have any numbers?
18	DR. YATES: I could not break out
19	the number that could be attributed to
20	recreation versus other sources.
21	MR. ANDES: There are other sources
22	that indicate that the predominant amount of
23	recreational water body illnesses are
24	attributed to treated water such as pools?

1 DR. YATES: If you look at the CDC, 2 reported outbreak of waterborne disease outbreaks, it is generally the case that a higher percentage of outbreaks are reported 5 to occur in treated water bodies. as has been indicated by others, and I will 7 reiterate the point, that the reported 8 number of outbreaks versus the actual number of outbreaks that occur, is well-known that 10 they are vastly under-reported. It's very, 11 very difficult to pinpoint the exact source 12 of illness, especially when the case is that 13 the symptoms are as nonspecific as vomiting 14 and/or diarrhea. 15 MS. ALEXANDER: Can I just 16 follow-up? It may be more likely to be 17 under-reported in treated water venues or

other recreational water venues?

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DR. YATES: I don't really
necessarily have any specific documentation
I can point to for this, but it's certainly,
just using my own common sense and
professional judgment, I would believe that
it would be more likely that if there were

1 an outbreak, it would be more likely to notice that it was an outbreak and report it as such in a treated water venue than in a 4 nontreated venue, simply because of the 5 nature of the site itself. Because at a pool or something like that, you have an identified population. You know in general who is coming and going. 8 It's only open certain hours, et cetera, et cetera. 10 believe it would be more likely that you 11 would recognize an outbreak in a treated 12 water venue. But as I said, I don't have 13 any documentation to support that.

MR. ANDES: As we go through these pathogens on Table 1 as to adenovirus, you point out that it's highly resistant to disinfection using standard UV light, correct?

DR. YATES: Yes.

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MR. ANDES: So the UV disinfection systems that we've had testimony about here, in terms of cost and timing and practicality and engineering aspects, would likely not do much to remove adenoviruses?

1	DR. YATES: What I can say is using
2	the this is just based on my knowledge of
3	the studies that have been done on UV
4	disinfection for adenoviruses, there are
5	studies that show using the standard UV
6	wavelengths, they are not as effective
7	against adenoviruses as they are against
8	other viruses and other pathogens for that
9	matter. However, there are a number of
10	studies that are going on that are
11	specifically looking at other types of UV
12	disinfection and are showing that other
13	types of UV disinfection may be more
14	effective for inactivating adenoviruses. So
15	there's more and more data coming out.
16	MR. ANDES: So there's research
17	ongoing?
18	DR. YATES: Correct.
19	MR. ANDES: And what types of UV are
20	you speaking of?
21	DR. YATES: There's different kinds
22	of UV, there's low pressure UV and high
23	pressure UV. I'm not an engineer so.
24	DR. YATES: Are you speaking of

1	types that are being used in California with
2	reclaimed water?
3	DR. YATES: Not necessarily, no.
4	These are types of UV that are being
5	examined by drinking water utilities for use
6	in disinfecting drinking water. By waste
7	water utilities that are being looked at for
8	use in disinfecting waste water as well.
9	MR. ANDES: But there's nothing you
10	know of that's currently being used that
11	would do a good job of treating
12	adenoviruses?
13	DR. YATES: I do not have sufficient
14	knowledge at this point of all the different
15	types of UV that are currently in use to be
16	able to say that that is the case.
17	MR. ANDES: As to the next set of
18	viruses, the coxsackie viruses, including
19	meningitis, you estimate those to cause 10
20	to 15 million symptomatic infections here in
21	the U.S. I assume that asymptomatic would
22	be more in addition. Do you have a sense of
23	what the primary causes are of those?

DR. YATES: The primary causes of?

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	Page 100
1	MR. ANDES: Of those infections.
2	DR. YATES: How they occur, you
3	mean, what the source is?
4	MR. ANDES: The path.
5	DR. YATES: The source of the virus
6	to the individuals?
7	MR. ANDES: Yes.
8	DR. YATES: Off the top of my head,
9	no, I do know. However, there have been
10	waterborne disease outbreaks that have been
11	caused by these viruses.
12	MR. ANDES: Where?
13	DR. YATES: In the United States. I
14	couldn't tell you exactly what states.
15	MR. ANDES: In treated water venues?
16	DR. YATES: I could not tell you,
17	recall off the top of my head if they
18	occurred in treated or only untreated or
19	both.
20	MR. ANDES: Not aware of any
21	nondisinfected water body that this would be
22	an issue?
23	DR. YATES: I do not know. I have
24	not memorized that literature to be able to

- answer that question one way or the other.
- MR. ANDES: How about as to echo viruses?
- DR. YATES: The same response would

 be the case. But they have been associated

 with waterborne disease, but as to whether

 they occurred in treated or untreated or

 both, I really could not recall that at this

 exact moment.

MR. ANDES: I would ask as to rotaviruses, what the likely cause is usually for that? That causes more than three million cases?

DR. YATES: Yes, it does. Rotavirus is, again, an organism that can be transmitted through water. It can also be transmitted through person to person contact. It's extraordinarily common, especially in young children. It causes quite a bit of lost time at school, lost time at work.

MR. ANDES: As to rotaviruses, it = sounds like these are in terms of potency.

Are these more potent in terms of the facts

	rage 102
1	than, say, the Noroviruses?
2	DR. YATES: I'm not sure what you
3	mean by more potent.
4	MR. ANDES: You specify it's a major
5	cause of diarrhea in young children?
6	DR. YATES? Right.
7	MR. ANDES: Does it cause more
8	effects or long-term effects than simply
9	where there's a situation where one will
10	cause a problem, and here we are talking
11	about ten million?
12	MS. ALEXANDER: That's a compound
13	question. You are asking about more
14	effects, and in fact, activity rates. Can
15	we break those apart?
16	DR. YATES: With respect to its
17	infectious dose, my understanding is that a
18	single rotavirus particle is sufficient to
19	cause disease. I'd also note that Dr.
20	Charles has stated on numerous occasions
21	very publicly and he's already published to
22	this effect, that one should consider that
23	exposure to a single pathogen is sufficient

to initiate a negative -- to initiate harm,

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harmful effects in the exposed individual.

So really there is, as you know, Dr. Has -as Dr. Has would put it, there is a nonzero
probability in ensuing from exposure to a
single one of any of these pathogens. So,
yes, indeed one can have negative health
effects as a result of exposure to a single
rotavirus particle.

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With respect to, if I can remember back that far, kind of you are talking about the severity, I believe, of the illness. One of the issues with rotavirus is that it is very, very common in young children, and as with young children, diarrhea can be especially severe because it's very easy for young children to become dehydrated very readily. So one of the things that tends to happen is that you have a young child, they have quite a bit -- a large volume of diarrhea -- I hope that's not too graphic for the reporter -- they have a large volume of diarrhea, and one of the concerns is that they can become dehydrated. And if you become dehydrated

1 that can be severe and can lead to death. 2 And there is -- that's why there is death in third world countries from gastroenteritis because they don't have access to medical care that we do, but if you look at 5 rotavirus, the Centers For Disease Control has actually compiled statistics on the 8 number of doctor visits, hospitalizations, emergency room visits, et cetera, 10 specifically as a result of exposure to 11 rotavirus, and I can't remember the numbers 12 exactly, but it's on the order of couple 13 hundred thousand I believe, doctor visits 14 and tens of thousands of hospitalizations 15 annually as a result of rotavirus, 16 gastrovirus. 17 MR. ANDES: And the major causes of 18 the infection? 19 DR. YATES: I believe I've already answered that question. 20 21 MR. ANDES: One dirty diaper can 22 cause substantial effluence in the 23 environment and create a problem? 24 DR. YATES: Yes.

MR. ANDES: Salmonella is also 1 listed here, and you include Typhoid among 2 the diseases. And that causes two to four 3 million cases of illness per year? DR. YATES: Salmonella in general. 5 There aren't two to four million cases of 6 typhoid a year. That's one of the success stories as a result of disinfection in the 8 United States. We have dramatically reduced the infection of cholera and typhoid because 10 these organisms are readily killed or 11 inactivated by typical disinfection. 12 the implementation of the indicator standard 13 to tell us how well we've done with 14 disinfecting has really done a good job at 15 telling us that we've reduced level of 16 bacterial pathogens like Salmonella. 17 Are you aware that void 18 MR. ANDES: of typhoid was one of the reasons this 19 waterway system was constructed? 20 No, I was not. 21 DR. YATES: MR. ANDES: And in fact, has been 22 fairly successful. You are not aware of any 23 outbreaks in this area since then? 24

	Page 106
1	DR. YATES: I have no specific
2	outbreaks of any outbreaks that have
3	occurred on the CAWS ever.
4	MR. ANDES: In terms of shigella,
5	which is about 300,000 cases of illnesses
6	per year and causes
7	DR. YATES: Many of the shigella
8	outbreaks are specifically associated with
9	recreational water exposures.
10	MR. ANDES: From?
11	DR. YATES: Nontreated waters.
12	MR. ANDES: Nontreated waters?
13	DR. YATES: Correct.
14	MR. ANDES: Specifically?
15	DR. YATES: Lakes.
16	MR. ANDES: With swimming?
17	DR. YATES: I do not know that
18	swimming necessarily was the manner in which
19	the people were exposed. It is ingestion
20	however.
21	MR. ANDES: Drinking or
22	DR. YATES: Ingestion.
23	MR. ANDES: ingestion during
24	swimming and/or ingestion from drinking the

	rage 107
1	water?
2	DR. YATES: Ingestion through water
3	getting into your mouth through whatever
4	means, intentional or non.
5	DR. YATES: Did that include people
6	who didn't recreate in the water, simply
7	that was their drinking can water supply?
8	DR. YATES: Now I'm a little bit
9	confused. What we are talking about or what
10	I have stated is there have been outbreaks
11	of shigella associated with recreational
12	exposures. I didn't say anything about
13	drinking water exposures. I'm not talking
14	about drinking water exposures. So I didn't
15	say anything about drinking water outbreaks
16	of shigella.
17	MR. ANDES: Has any specific
18	instance of that come to mind?
19	DR. YATES: I would have to go back
20	and read the CBC reports that come out
21	biannually and morbidity mortality reports.
22	I couldn't brigg any one specific outbreak

to mind. Suffice it to say they occur in

the United States.

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_	MR. ANDES: I assume that would
2	include water bodies where disinfection is
3	practiced since you said most systems
Ŀ	practice disinfection?

DR. YATES: I have no information about whether or not those water bodies are receiving treated or untreated sewage effluent.

MR. ANDES: Okay. Thank you. And by the way, in looking at these various parameters, the various categories of viruses, et cetera, that are laid out here, are generally included in the analyses that have been done here, am I correct? For example, Noroviruses are included in what's been assessed in this Risk Assessment?

DR. YATES: Several of the -- as

I've indicated earlier, several of the

organisms which is listed in this table

which is just examples of some of the

organisms that can be present in fecal

material and therefore in sewage, several of

the organisms in this table have been

included in this study, yes.

1	MR. ANDES: Well, are there any
2	here? In fact, most of these have been
3	included one way or another in the study, am
4	I correct?
5	DR. YATES: If most is I haven't
6	counted them. If most is more than half, I
7	would say yes. I didn't count them.
8	MS. ALEXANDER: But they have not
9	all, is that correct?
10	DR. YATES: They have not all. And,
11	again, I do not know when enteroviruses were
12	detected whether they were coxsackie's or
13	echos or what they were or if they were
14	polio's. Probably not polio's anymore
15	but
16	MR. ANDES: And cholera we didn't
17	look at, but I think we've discussed that
18	already?
19	DR. YATES: Right.
20	MR. ANDES: And Giardia and crypto
21	were looked at, correct?
22	DR. YATES: Correct.
23	MR. ANDES: And Giardia, domestic
24	and wild animals are significant

contributors, correct?

DR. YATES: They are contributors to the -- I can't speak specifically to the CAWS. I have no idea whether animals contribute any Giardia or Cryptosporidium to the CAWS. In general, if you go out into the environment into water up in the mountains if there's never been a person there may be Giardia there because they came there from an animal though.

MR. ANDES: And as to
Cryptosporidium, you mentioned here
relatively resistant removal by traditional
processes, you are speaking of chlorination
for example.

DR. YATES: Yes, Cryptosporidium is actually relatively resistant to traditional chlorination, which is why the Environmental Protection Agency promulgated the surface water -- well, that is not true, which is why the Environmental Protection Agency has promulgated the long-term to enhance water service treatment rule which requires specific treatment processes to remove

1 Cryptosporidium, which include things like 2 filtration, not disinfection. Disinfection 3 is also there, but the primary removal is 4 not disinfection in the cases.

MR. ANDES: So one might have to add additional treatment systems to take care of that too?

DR. YATES: Again, what EPA or what people have found for Cryptosporidium is one of the best ways to remove it is through, in a traditional drinking water treatment plant that practices chlorination, a filtration step does a good job of reducing the level of Cryptosporidium. It also has been found, however, that ultraviolet light is a very effective way of reducing concentrations of cryptosporidium.

MR. ANDES: Which doesn't work so well for some of the viruses.

DR. YATES: As I've already said, there's a variant -- for different disinfectants that are more or less effective against different pathogens.

MR. ANDES: Let's go back to

question 10, and I think you've answered

some of these but let's just make sure. You

don't have, correct me if I'm wrong, an

estimate of the current health risk to the

recreating population due to bacterial

levels in the CAWS without disinfection?

MS. ALEXANDER: I'm sorry, we've got to clarify that. What do you mean the current health risk? Do you mean is there any risk, yes or no? Do you mean something like a level? How would that be measured? I'm not clear what you are asking.

MR. ANDES: Well, the EPA has ways of assessing and quantifying levels of risk. So I'm asking what is, based on standard methods, including this risk assessment of quantifying risk, is there a quantitative estimate of the current health risk due to bacteria levels without disinfection in the CAWS?

DR. YATES: Is there a health risk due to bacteria? Are you referring to pathogenic bacteria? I'm not sure what you are referring to.

MR. ANDES: I would assume

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pathogenic bacteria. And what level you think it exists as far as a health risk to

people recreating in the CAWS now?

DR. YATES: The only information that I would have that would enable me to at least start to be able to get any sense of that risk would be the information that's provided in the Risk Assessment that was presented. So other than that, I am not aware of any specific information regarding specific pathogenic bacteria in the CAWS. On the other hand, as we've discussed a number of times, the presence of high levels of indicators in the CAWS gives one reason to believe that there are pathogenic microorganisms that are present in the CAWS, including pathogenic bacteria, and those in and of themselves carry a health risk to the recreators.

MR. ANDES: The next question, and again, I think I know where our discussion has gone, but do you have any information as to the rate of illness among sensitive

populations for those who engage in limited contact recreation on the CAWS under current conditions?

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DR. YATES: Well, if you are asking specifically about sensitive populations, I really don't have specific information regarding the risk to sensitive populations. I could say, however, that based on publication by Charles Gerba, John Rose and Dr. John Has, that in at least in a publication that they have in the International Journal of Food Microbiology from 1996 that I quess, and can introduce, they indicated that about 20 percent of the United States population is in that sensitive population. I believe in Dr. Gerba's testimony he stated that 25 to 30 percent of the population could be considered to be sensitive. So we know that a high percentage of the -- we know that 20 to 30 percent of the U.S. population is considered sensitive. You can categorize that as high or low, whatever you want, and they do cite in that 1996 article that there

1 are a number of situations in which the 2 severity of illness from exposure to a particular microorganism is higher in those sensitive subpopulations. They also 5 indicate that for certain of the microorganisms there is a higher case fatality ratio, a higher level of death 8 among the sensitive subpopulations than the members of -- than the nonsensitive 10 subpopulations. And another situation, 11 another article that was written by 12 Dr. Charles Gerba, along with Dr. Nina 13 Wachuku from the United States Environmental 14 Protection Agency, this is an opinion from Current Microbiology, 2004, Dr. Gerba states 15 16 that there's a growing body of evidence that 17 children under age 19 may suffer 18 disproportionately from some environmental 19 risk, and these risks may arise because 20 children's neurological and digestive 21 systems are still in developmental stages. 22 Kids are more likely to be exposed to 23 pathogens because of being kids. They put 24 things in their mouth. They put their hands

1	in their mouths. They engage in other
2	activity that make them more likely to be
3	exposed, and they also cite specifically
4	that there are two studies that provide
5	quantitative epidemiological evidence that
6	kids are at risk of entero virus illnesses
7	as a result of exposure to water volume
8	contact with recreation.

MS. ALEXANDER: Let me introduce into evidence the two studies that were just references?

MR. ANDES: I don't recall the exact numbers Dr. Gerba used when he came here?

CHAIRMAN TIPSORD: Mr. Andes, let's get these marked first.

CHAIRMAN TIPSORD: The first is sensitive population who is at the greatest risk. The International Journal of Food Microbiology 1996, authors are Charles Gerba, Joan Rose and Charles Has. I'll mark this as Exhibit 257 if there's no objection. Seeing none, it's Exhibit 257. And then Microbial Risk Assessment, Don't Forget The Children, by Nina Wachuku, W-A-C-H-U-K-U,

and Charles P. Gerba from Science Direct
from 2004. I will mark that as Exhibit 258
if there's no objection. Seeing none, it's
Exhibit 258.

DR. YATES: It's actually, if I could correct it, the name of the journal is current opinion in microbiology. Science Direct is just the source from which I got that journal.

CHAIRMAN TIPSORD: Thank you.

MR. ANDES: So is it, I gather, in looking at one, the Wachuku report, I noticed that it notes in the conclusion that children may have the greatest environmental exposure for enteric pathogens, especially swimming. There's nothing about these particular reports that talks about secondary contact recreation, canoeing, kayaking, things like that. It's a general discussion of sensitivity of particular populations?

DR. YATES: That's correct. It's saying that children especially are more sensitive than other populations, and that

they are at increased risk to a number of infections that are transmitted through the environment and that they specifically cited as I mentioned two studies that showed that they are at, children are at increased risk of enterovirus illnesses as a result of contact with recreational waters. Again, whether they were exposed through swimming in water that contained the pathogens or whether they were exposed through those pathogens in recreating, engaging in nonswimming activities is not the important part. The point is children are at increased risk from enteroviruses that they are exposed to through recreating in water.

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MR. ANDES: With all due respect, that is the critical point here in terms of assessing risk, and it seems that these studies talk about lake beach users, insufficiently chlorinated outdoor pool, marine and fresh water bathers, swimming associated outbreak of Norovirus, swimming associated adenovirus infections.

DR. YATES: Again, the point is,

children are at increased risk from exposure to enteroviruses in recreating in water. It can be through swimming. It doesn't matter if there are enteroviruses in the water whether they are exposed to them through ingestion of water as a result of swimming or whether they are exposed to them as a result of ingesting water as a result of other recreational activity on the water.

The point is children are at increased risk.

MR. ANDES: In fact, on page 2 of that study, it indicates that infants and children have a greater environmental, even though you develop proper sanitary standards, it has been suggested that they have greater exposure during swimming than adults during swimming.

MS. ALEXANDER: And why would that preclude that, being exposed to less water during nonswimming activity in the water?

DR. YATES: It's already been shown it's not just greater exposure to the water itself and greater volumes of water, but it also has to do with their immune status as

1	it also states there. Their neurological,
2	immunological and digestive systems are
3	still in developmental stages, which puts
4	them at increased risk. So it's not just
5	the volume of water that they are exposed to
6	through swimming. It's putting things in
7	their mouths. It's the fact that their
8	bodies are not necessarily as capable of
9	handling those infections. They may not
0	have any previous exposures, so they
.1	wouldn't have immunity, et cetera, et
.2	cetera.

MR. ANDES: Is it your understanding that a Risk Assessment looked at a variety of exposure scenarios, including young children possibly being exposed while they are recreating on the waters?

DR. YATES: Are you talking about the GeoSyntec Risk Assessment?

MR. ANDES: Yes.

DR. YATES: I am not aware that children were not included, but I'm not aware of children being treated in The Risk Assessment in any different manner than

anyone else.

MR. ANDES: And if you don't have the separate dose response occur for them, how would you treat them differently in doing a quantitative Risk Assessment?

DR. YATES: Through other parts of quantitative Risk Assessment, which includes the exposure assessment, the propensity to develop illness as a result of that exposure, et cetera, et cetera. So not just have -- a dose response is just one part of doing a quantitative risk assessment.

MR. ANDES: Different entries including inhalation and ingestion were looked at?

DR. YATES: But I do not believe that children or any other sensitive subpopulations were treated differently, assuming that potentially for children and other sensitive subpopulations they might, or for children especially, they might have been exposed to higher volumes of water or that the outcomes of the exposure might have been more severe in those sensitive

subpopulations.

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MR. ANDES: One of issues, and without Dr. Gerba here, I can only recollect is, one of the issues he was asked to do was look at risks for bacteria from recreating in the CAWS relative to risks from public bathrooms. I believe he stated pretty strongly that the risks from public bathrooms were more significant. Do you have any reason to doubt that?

DR. YATES: I would say it would be highly dependent on what public bathrooms you were in. You might find public bathrooms where the risks might be high and the risks might be extremely low.

MR. ANDES: I'll go back to question 10 and subparagraph D, and I'll rephrase it based on our discussions. If we're talking about a proposed standard of 400 per hundred milliliters, which could be met through chlorination or UV, so if you postulate for a moment that that limitation could be met either of those ways, based on what you are saying that could lead to control of some

viruses, for example, but not protozoa, so you could end up dealing with viruses but not crypto, if you do it one way. You could end up with crypto, not viruses, if you do it another way. So what assurance is there that this requirement of doing 400 per hundred milliliters of infection so going to lead to control of the pathogens in the treatment plant effluents putting aside all the other sources?

DR. YATES: Again, as I've already said, and you just acknowledged, different disinfection on technologies have different capabilities of reducing levels of different pathogens to different degrees. However, that does not mean that you get no reduction in pathogens as a result of a particular disinfectant, applying a particular disinfectant. So while you may get more removal from a particular pathogen using a different disinfectant, doesn't mean you get no removal of that particular pathogen. So the point is by implying disinfection, you will get presumably some level of removal of

a variety of pathogens, and as you decrease the level of pathogens through that disinfection on process, you are going to decrease the risk to individuals who are recreating and being exposed to that effluent after it's been discharged into the CAWS.

MR. ANDES: On adenoviruses though, where you stated in the table are highly resistant to UV disinfection, and it sounds from your testimony like we would need to reduce these to very low levels, if UV is not going to reduce them to very low levels, what effect is it having on the risk?

DR. YATES: First of all, I believe that the specific language in the table was using standard UV technology, and as I've already mentioned, there are studies that are going on looking at alternative UV technologies. So I believe that there are going to be, and maybe already are. As I've said, I'm not a waste water treatment engineer, but I believe that there are going to be more effective ways to reduce

adenovirus concentrations using UV. Having said that, even though the concentration of adenoviruses might not be reduced as much as the concentration of other organisms, if you applied standard UV as the treatment, you would get reduction of a number of adenoviruses. As you reduce the number of adenoviruses, you reduce the risk from those adenoviruses.

MR. ANDES: If you are spending the money to put in the UV, but then you are finding that it only deals with some of your pathogens and not others, would you then say that they need to do something else to address the remaining risk?

DR. YATES: Well, again, I'm not the person who is determining what the acceptable level of risk is, but if you disinfect, you know that you are reducing risk because you are reducing pathogens.

And, again, it would be up to someone else to determine what level of risk you are going to accept, which would then determine what level of disinfection or other types of

1	treatments one would have to employ to
2	achieve that level of risk. The point is if
3	you employ disinfection, you are decreasing
4	the level of pathogens, you are decreasing
5	the level of risk.

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MR. ANDES: You can say if we say using UV and reducing crypto and one can question if you are not seeing significant level of crypto anyway. But say you are addressing crypto and Giardia through UV. So maybe you are reducing that risk to the extent there is one, but you are not addressing adenoviruses. Can you give the public any sense how much safer are we making it if we are reducing some and we're not doing much to reduce others?

MS. ALEXANDER: I'm going to object to the characterization. She didn't testify that you are not reducing adenoids. the testimony was that you are not reducing them less by other methods.

MR. ANDES: But the comment is using highly resistant to infection using UV.

DR. YATES: Highly resistant to

other pathogens studied at that time, yes.

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MR. ANDES: Do we have a sense what that means quantitatively. Is that 20 percent, 30 percent?

DR. YATES: Again, it really varies depending on the study. There have been several different studies, and I couldn't quote to you a specific difference in percentages. All I can say is that when the EPA promulgated the Long-term II Enhance Surface Water Treatment Rule, and they used adenovirus as the worst case scenario which they did only with respect to UV treatment processes. It did result in increase in the amount of UV that one would have to apply in order to achieve the, what EPA considered to be an acceptable level of for dinging water, but I couldn't tell you the exact difference in percentages.

MR. ANDES: And the waste water effluent matrix is significantly different than a fairly delude drinking water stream.

DR. YATES: They are very different, however, UV is employed more frequently to

treat waste water than it is to treat
drinking water. UV is at least the latest
data that I've seen on drinking water
treatment disinfection processes. UV is
still fairly uncommon, and much of the U.S.
that's used to treat drinking water
specifically for ground water systems. But
there is a greater use of UV in the waste
water industry and it's actually been used
longer to my knowledge in the waste water
industry.

MR. ANDES: So if we have a lot of cities around the country that are using UV, that means they are not doing much to reduce adenovirus; they are getting low levels of adenovirus in their system?

DR. YATES: I couldn't say unless I look at all the studies that had been done, look at removals of adenoviruses by those treatment plants and those studies. I really couldn't speak to that. I haven't seen those studies.

MR. ANDES: Are you familiar with any cities that are doing chlorination and

1	UV
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DR. YATES: Again, I'm not a waste water treatment engineer, so I couldn't say specifically whether anyone is doing that.

There may be, but I really wouldn't know.

MR. ANDES: As to those doing chlorination, it sounds like those systems have an issue in terms of removal of crypto and Giardia, am I correct, in terms of those being resistant to removal by chlorination?

DR. YATES: Again, it would depend on what other treatment processes were being employed in the treatment plant, so I really couldn't generalize.

MR. ANDES: Okay. I'll move to question 11. And it relates to what I think we've just spoken about. You state on page 11 that while the concentrations of pathogens may be reduced incidentally during primary and secondary treatment processes, disinfection is specifically designed to decrease the concentration of pathogens and microorganisms. Do you agree that reduction of the concentration of pathogens is, of

specific pathogens, is assumed based on a specific level of indicator activation by a particular disinfection system?

DR. YATES: I'm a little bit confused when you are talking about primary and secondary treatment in one place and then you are bringing in disinfection.

MR. ANDES: Let's put aside primary and secondary issues. Dr. Orlis and Gorland spoke about that at great length. The question is, when you say you'll be reducing the concentrations of pathogens, you are really assuming that based upon indicators and activation by particularly this disinfection system, which you believe that the levels of pathogens would be reduced as well, specific pathogens.

DR. YATES: Specifically I can't take credit for that statement. I do have to attribute it's source, and did in my testimony, and it's in my references I believe, its attributable, which I am not sure how you say his name, 2003, it's chapter in the book called "The Handbook Of

Water and Wastewater Microbiology." Those

are not my words. I actually quoted him.

MR. ANDES: I'm sorry, I didn't see a footnote.

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DR. YATES: It's there. Back to your specific question. When they determine -- when they look at the waste water disinfection process or drinking water disinfection process for that matter, what they do is they do studies where they spike that water with known concentrations of pathogens and determine how much removal occurs as a result of the disinfection process, and so there is a linkage that's made between the removal of the indicator organisms and the removal of pathogens, which is why then you can use indicator concentrations to give you some information about the level of pathogen reduction that's occurred. Because you do spiked studies where you add known numbers of pathogens and known numbers of indicator organisms, apply your disinfection process and then follow the disinfection or reduction in those

levels that occur, and that's one of the bases for establishing indicator levels, because we know we can't monitor levels for all the different pathogens. We know what it takes to do that. That's why we use indicators. We have a backup based on studies that have been done on pathogenic organisms as well.

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MR. ANDES: So when -- this in Dr. Blanchy's testimony -- you spoke at length, some of those studies look at whether removing, addressing the indicators through, say, a 400 effluent standard would in fact reduce pathogens, and in fact concluded that it wouldn't do very much to reduce the pathogen levels, particularly as compared to the more extreme forms of treatment for reclaimed water. So you say that the risk levels would not be accurate, and that was based on these various treatment studies. Do you have any reason to question the studies that he referred to? DR. YATES: Well, again, I think

that there is a recognition that some of the

1	pathogens that we now know about are not as
2	easily inactivated by some of the treatment
3	processes the disinfection processes as
4	are the indicator bacteria, which is why,
5	especially in the case of drinking water,
6	EPA has stopped relying exclusively on the
7	total coliform standard which had been in
8	place for many, many, decades and is
9	imposing other types of treatment
10	requirements, because they know that there
11	are many times when the coliforms are absent
12	and yet the pathogens are indeed present.
13	So the coliforms are actually
14	under-predicting risks.
1 5	MD ANDEG. And I helieve we talked

MR. ANDES: And I believe we talked about other situations where there was studies indicating that coliforms were present and that the pathogens were not.

DR. YATES: There have been environments where that has been the case.

MR. ANDES: Let me go back to

page 11 because I want to be clear on

sourcing the specific sentence you mentioned

here. I don't see a reference. So I'm not

sure which reference we're --

DR. YATES: I'm sorry, I believe if you look at page 8 of my testimony. The first paragraph under subsection B, it says, "Conventional waste water treatment plants that don't disinfect their effluent." That sentence I reference Oragui 2003 for that point. It's not a direct quote, but I have a reference.

MR. ANDES: So the statement in 11 also references Oragui, which for the foundation --

DR. YATES: It is, correct. The fact is that you may get some reduction, and I would characterize is as rather minimal reduction in primary and secondary treatment processes, the disinfection step is there specifically, designed specifically to reduce the levels of pathogens. The other steps are taking care of things like oxygen demand, organic compounds, nitrogen, phosphorous, those types of things. That's what it's intended to do. It's the disinfection step that is specifically

1	designed	to	reduce	the	level	of	human
2	pathogens	5.					

MR. ANDES: As to the -- and we can obviously take a look back at the Oragui study or report. I know that the removal through secondary seemed to be characterized differently by Dr. Zorus and Dorevich.

DR. YATES: It's a matter of degree.

MR. ANDES: We can talk about that the further we get. It seemed like they were talking about more than minimal.

DR. YATES: Again, it's a matter of definition. I don't think they defined X percent removal. They may define 99 percent as high, the example I gave as not high.

MR. ANDES: The second part of that question, 11A was, do you agree that the degree to which the assumption holds true, and that's obviously the assumption of the relation between inactivating indicators and reducing levels of pathogens depends to some extent on the microorganism in question and the specific disinfection applied, the disinfection technique applied.

- DR. YATES: Certainly, yes.
- MR. ANDES: Let's me move on to
- question 12.

MS. ALEXANDER: I'm sorry, I'd like to ask 11B as a follow-up, if you are not going to. Which is your statement, those whose age or physical condition make them more vulnerable to infection and implies that it causes a lower dose to infect, please provide evidence that the outcome of infection is more severe but still requires the same number of organisms to infect the sensitive populations?

DR. YATES: So basically I believe you were questioning my use of the term infection and certain individuals being more susceptible to infection. And what I would say to that is that indeed there are individuals who are more susceptible to infection than others. For example, for the Norwalk virus in the human challenge studies done by Dr. Christine Moe, it has been found that in order to be infected by those

Noroviruses you have to have a specific

genetic marker. And so you might be able to give one individual a single Norovirus and that would cause them to become infected, whereas another individual you could give them a larger number, and they wouldn't become infected because they don't have the I would also cite a comment that marker. was made in the 2004 paper by Dr. Gerba and Nina Wachuku that we just introduced into evidence a few moments ago. The 2004 current opinion in microbiology article, where they reference a paper that indicates that children actually could have a higher probability of becoming infected from the same dose as adults. So you could give children the same number of viruses or other pathogens as you do adults and because of differences in the physiological development of the child, they actually might have a higher probability of becoming infected from that same dose. The other thing I would point out is that --MR. ANDES: Could I stop you there?

DR. YATES: Yes, certainly.

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	Page 138
1	MR. ANDES: Where is that statement?
2	DR. YATES: I don't have a hard copy
3	of the paper right in front of me.
4	MR. ANDES: Because I read the
5	statement, no studies have been conducted to
6	determine the impact
7	DR. YATES: Go to the end of that
8	paragraph, if I remember.
9	MR. ANDES: While the severity of
10	illness is greater in children than adults,
11	it's currently not known if the severity is
12	related to dose in enteric viruses.
13	DR. YATES: That's not what I'm
14	referring to.
15	MR. ANDES: That's what I'm
16	interested in. There is a statement that a
17	reduction in stomach acid taken from
18	secretions are estimated to be different in
19	children infected from a given dose than
20	adults?
21	DR. YATES: Yes, and they do cite a
22	study.
23	The other point that I would
24	make is that when you do human challenge

1 studies, the way you do those studies is you divide your subjects up into groups and you 2 give all the members of each group the same dose of the organism. It's very well 5 documented in these studies that, let's say you have five individuals in one group and they all receive a hundred of a particular organism, some of those individuals will 8 9 become infected and some of them won't. And 10 that's actually the basis for developing 11 what we call the ID50, the Infectious Dose So different individuals do have 12 50. 13 different susceptibilities to becoming infected based on the dose. 14

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MR. ANDES: But the specific --

DR. YATES: I just found the sentence that I read from that Gerba paper. It's in the section entitled, "Infectivity" -- on the last page -- it's the second to the last sentence there that says, "reduced stomach acid in Pepcid secretions predisposes children to having a greater probability in a given dose."

MR. ANDES: The sentence before is?

1		DR.	YATES:	It	says,	"No	studies
2	have	been	done."	Agre	ee.		

MR. ANDES: So that's really just speculation.

DR. YATES: My point is that there are people who have evidence that suggest that individuals may indeed be predisposed to becoming infected at a lower dose, and we have absolute data from human challenge studies, of which you have a group of individuals, all of whom are given a same dose of the same organism, and some of them become infected and some of them don't.

Now, they may or may not have identified exactly what the reason for that is, but it is indeed the case that some individuals will become infected from a given dose and some will not.

MR. ANDES: And that's always the case, but we are talking specifically here about children versus adults. And I'll read the earlier statement here concerning dose response. "Models have been developed from studies in the oral exposure of polio virus

	rage 141
1	types I and III in which infants and
2	premature babies were used as subjects. The
3	dose response of those viruses is similar to
4	that observed of echo virus 12 and rotavirus
5	in adults. However, infection is directly
6	culpable because this is likely to be
7	dependent upon the type
8	DR. YATES: Right.
9	MR. ANDES: How does that
10	DR. YATES: All I'm saying is there
11	are individuals who have published in the
12	literature and they have referenced a paper
13	here.
14	MR. ANDES: Which reference?
15	DR. YATES: Number 24 is referenced
16	in this article, and those individuals have
17	referenced that based on the physiology of
18	children, specifically their stomach and
19	their gastrointestinal tract could
20	predispose them to becoming infected from a
21	lower dose than adults. That's all.
22	MR. ANDES: Okay. We will since
23	we've just seen these reports reserve the

right when we continue, whenever we do

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1	continue, to ask some further questions
2	about those reports and the ones that are
3	cited. I don't know if there's any, if
4	those are going to be introduced into
5	evidence or not.

DR. YATES: No, I wasn't planning
to.

MR. ANDES: Okay. Go to number 12 then. Based on what we've spoken about, I gather you don't know how much actual water is swallowed and inhaled and directly exposed by rowers, paddlers, boaters and fishers in the CAWS?

DR. YATES: I have not done those studies to determine that. However, as you know, in order to do a Risk Assessment, you have to make some assumption and the people who did the Risk Assessment study did make assumptions because they had to come up with numbers. So I don't know how much actual water is swallowed or inhaled, et cetera, but I don't believe the people who did the Risk Assessment did either. That's why they had to come up with some assumption.

1	MR. ANDES: The epidemiological
2	study, the CHEERS study that's going on now
3	will give us a better idea of that answer?
4	DR. YATES: That's my understanding,
5	yes.
6	MR. ANDES: So that would also be
7	information that the Board would want to
8	consider in making a decision here?
9	DR. YATES: I would imagine that the
10	Board would consider that information, yes.
11	MR. ANDES: The next question was
12	what is the actual micro exposure dose
13	exposed by paddlers, boaters and fishers in
14	the CAWS?
15	DR. YATES: Well, I guess the actual
16	number of microorganisms they would consume
17	would depend on the amount of water they
18	ingest, as well as the concentration of
19	microorganisms that were present in that
20	water.
21	MR. ANDES: So one would look at the
22	Risk Assessment and the epidemiological
23	study together to get some perspective on
24	that since you can't measure directly the

actual microbial exposure dose but you can make some assumptions.

MS. ALEXANDER: What's your question? Is that the only thing someone would look at or is that one thing you could look at?

MR. ANDES: Right. You could you look at those two things combined, and I think that goes back to your analysis report in terms of the use of Risk Assessment and EPI studies together, assume that the actual data collector from the EPI study, along with some of the projections developed through The Risk Assessment would combine to give you some perspective on those?

DR. YATES: Well, I wouldn't want to guess exactly how these studies might be used by people making the decision about whether other not to disinfect this effluent, but I would assume that they would consider that a single epidemiological study and a single Risk Assessment wouldn't necessarily provide adequate information to enable them to make those decisions.

1	MR. ANDES: Certainly it could end
2	up validating, or not, some of the
3	assumption and findings made in the Risk
4	Assessment, correct?
5	DR. YATES: You are referring to the
6	epidemiological study?
7	MR. ANDES: Yes.
8	DR. YATES: Not having seen the
9	results of it, it's possible. I don't know.
10	MR. ANDES: And none of us have seen
11	the results. Again, you are not aware of
12	any outbreaks of disease associated with
13	recreational use with outbreaks on the CAWS?
14	DR. YATES: As I said, just because
15	there haven't been any reported outbreaks
16	doesn't mean that there haven't been any
17	illnesses associated with recreating on the
18	CAWS. I've mentioned before that it's very
19	well-known that outbreaks are vastly
20	under-recognized and under-reported and
21	especially when you are dealing with the
22	situation where the kinds of illnesses that
23	result from exposure to these pathogens are
24	the result of things like gastroenteritis or

1 respiratory infections or eye infections or 2 something like that. Those are not reportable diseases. So if somebody has gastroenteritis, they are not running to their doctors. Unless it becomes very severe, they are not running to the doctor. 7 So there is at this point in time no way to know how much illness or infection is resulting. Again, as has been mentioned by 10 others, you could have infection that 11 results from exposure to pathogens in the 12 CAWS, and that infected person may, the 13 person who actually recreated in the CAWS 14 may never develop any outward signs of that 15 infection, yet they can act as a source of 16 infection for others who may become ill and you would never know that original source of 17 18 infection was recreating in the CAWS. 19 it's very, very difficult to document these 20 kinds of health effects. 21 MR. ANDES: And The Risk Assessment,

that was done, looked at the risk of infection, correct? I mean, obviously EPI studies are more focused on symptoms, but

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- risk assessment, like this one, looked at risk of infection?
- DR. YATES: I believe that The Risk

 Assessment cites risks of illness.
- MR. ANDES: And if we can go back to
 the report, I think it talks about risk of
 infection.

- DR. YATES: I would direct you to -let's see if I can find it. Tables, let's
 look at 59, total expected illnesses.

 Pathogen concentration with no effluent
 disinfection, table 511, proportion of
 recreational user types contributing to
 gastrointestinal illnesses with no effluent
 disinfection on Table 511.
 - MR. ANDES: I believe that those tables were derived based on Dr. Gerba's assessment or assumption based on his expertise that conservative assumption was made that 50 percent of those infected would become ill.
- DR. YATES: I have absolutely no

 idea. I do not recall reading anything to

 that effect anywhere in this document.

1 MR. ANDES: We can find it later. 2 And are you also aware that secondary risks were looked at in this report? DR. YATES: Yes, I am. 5 MR. ANDES: Do you know of any studies published in the peer review literature that estimated how much water 8 people swallowed with recreating, and I quess we can ask that as to swimming and as 10 to nonprimary contact uses. 11 DR. YATES: So I just want to make 12 sure, I'm sorry, I was still distracted by 13 the other -- the question was do I know of 14 any studies that estimated how much water 15 people swallow when recreating, was that the 16 question? 17 MR. ANDES: Yes. 18 Yes, I do. Dr. Al DR. YATES: 19 DuFour, and I'm sorry I don't have -- I 20 don't believe I brought a copy of this, but 21 there is a publication by Dr. Al DuFour who 22 is with the Environmental Protection Agency, 23 he has a publication from 2006. And again,

I apologize, in which they did studies of

24

individuals and used -- they actually did studies in swimming pools because what they looked at was the amount of cyranic acid, I believe I'm pronouncing that correctly, that was excreted by the individuals after swimming. And this particular chemical is conserved so they could estimate based on those studies what volume of water was ingested, and I know someone else has testified about those studies. I can't remember exactly who that was. If it was -- I just don't remember exactly.

MR. ANDES: Any other studies?

DR. YATES: Yes, there have been other studies. I believe in my testimony I reference some studies of divers, who one would not expect, especially if they are wearing full diving gear, head gear, one would not necessarily expect they would ingest water, but there was a study done by Dr. Jack Zivan and others in the Netherlands looking at the number of water ingested by divers. I don't remember what volume that was, but it was actually measurable volumes

	Page 150
1	of water ingested during that course of
2	activity.
3	MR. ANDES: Any others?
4	DR. YATES: Most of the I'll stop
5	there. To my knowledge, those are the only
6	studies that I know of where they
7	specifically measured the volume of water
8	that was ingested. There is another
9	citation that I found on the Web. It's an
10	EPA study in which they look at over 500
11	individuals, and again, used that same
12	analysis for the cyranic acid and came up
13	with volumes of water that people were
14	ingesting during the course of swimming.
15	MR. ANDES: From swimming pools?
16	DR. YATES: It was because they,
17	with the cyranic acid, that is what's
18	present in chlorine used in swimming pools,
19	yes.
20	MR. ANDES: And I'd like to get a
21	citation of that study at some point.
22	DR. YATES: I will do that.
23	MR. ANDES: And the Netherlands
24	study as well.

1	DR. YATES: The citation to the
2	Netherlands study I believe is in my
3	testimony. Shivan, it's the first one on
4	page 30. Shivan and Anna Marie Deroto,
5	Cushman published in 2006 in Environmental
6	Health Perspectives.
7	MR. ANDES: I take it you are not
8	aware any studies of quantities of water
9	that would be swallowed by boaters, rowers,
10	fishermen?
11	DR. YATES: No. All I know is as I
12	mentioned quite a bit earlier this morning,
13	is that when we did our Risk Assessment for
14	those noncontact type recreational
15	activities, we used a volume of 30
16	milliliters, and that volume was approved by
17	both Dr. Gerba and Dr. Hass, among more than
18	a dozen other individuals, but that was
19	again an assumption not based on actual
20	studies.
21	MR. ANDES: And that was for
22	swimming?
23	DR. YATES: No, that was for nonbody
24	contact, what we called nonbody contact

1	recreational activities which were
2	nonswimming activities, kayaking, canoeing
3	those kinds of activities.
4	MR. ANDES: The report, and again w

MR. ANDES: The report, and again we haven't read that report yet, but it talks about body contact recreational activities in the title.

DR. YATES: I shouldn't -- there's

-- I should not call it nonbody contact. I
should call it nonswimming recreational
activities. Those are considered to be body
contact recreational activities. Kayaking,
canoeing, all of those were defined for
those purposes as body contact recreational
activities. I misspoke when I said nonbody.
I meant nonswimming.

MR. ANDES: The purpose was to look at the risk from the drinking water, from the drinking water pathway, is that correct?

DR. YATES: Correct. This was a reservoir that was going to be used as a site to store water that would then be used as a source of drinking water, and the question was whether recreational activities

1	should be allowed on that.
2	MEMBER JOHNSON: Doctor, have you
3	ever spent a hot, humid August day in the
4	City of Chicago?
5	DR. YATES: I was born in Chicago.
6	MEMBER JOHNSON: Well, you blew my
7	line. It looks like you are gonna.
8	CHAIRMAN TIPSORD: Actually,
9	Mr. Andes, if you are done with that
10	immediate line of questioning, it is almost
11	5:00 o'clock, and unfortunately Dr. Yates,
12	it looks like you are going to be coming
13	back to Chicago.
14	DR. YATES: Like I said, I was born
15	here, and the pizza is pretty much unrivaled
16	if I could say.
17	MR. ANDES: We'll have that on the
18	record.
19	CHAIRMAN TIPSORD: And we will speak
20	to Ms. Alexander in more detail about the
21	availability.
22	MS. ALEXANDER: I may not be able to
23	be here, but I'll make sure what the
24	available dates are

	Page 154
1	CHAIRMAN TIPSORD: I want to thank
2	you all, and again we'll start tomorrow
3	morning and we'll begin with Ms. Frisbie.
4	Thank you very much.
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Page 155
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                            SS.
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                  I, DENISE A. ANDRAS, being a Certified
 5
     Shorthand Reporter doing business in the City of
 6
     Des Plaines, Illinois, County of Cook, certify
 7
     that I reported in shorthand the proceedings had
     at the foregoing hearing of the above-entitled
     cause. And I certify that the foregoing is a true
     and correct transcript of all my shorthand notes
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     so taken as aforesaid and contains all the
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     proceedings had at the said meeting of the
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     above-entitled cause.
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                              DENISE A. ANDRAS, CSR
                              CSR NO. 084-0003437
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A	access 5:1 104:4	131:21	137:15,17	77:6 129:23
able 40:2 99:16	according 16:20	addition 67:5	138:10,20	135:17 140:2
100:24 113:7	20:8 31:16	83:5 99:22	140:21 141:5	ahead 4:12
137:1 153:22	account 67:18	additional 111:6	141:21	22:16 50:10
about 6:5,19	67:20 75:7	address 5:24	aforesaid 155:11	89:11
14:8,11,12	76:13,18	16:12 42:9	after 12:24 22:9	Al 148:18,21
18:5 20:12	accounted 16:19	125:15	40:13 51:1	ALBERT 2:17
22:10 26:10,16	29:17	addressed 29:14	52:18,21,24	Alexander 4:5
26:22 27:4,14	accurate 36:11	50:6	76:8 88:7	4:23 22:5,14
27:15 28:5	132:19	addressing	124:6 149:5	26:7 27:8 38:6
33:19 36:23	accurately 80:7	126:10,13	afternoon 4:1	43:17 44:12
39:8 44:24	achieve 26:6	132:12	again 6:12 24:15	49:13 52:19
45:13 46:1,3	126:2 127:16	adeno 29:15	24:24 25:3	53:2 55:16
49:11 50:15	acid 138:17	31:20 34:17	27:13,15 33:17	56:10,14 57:8
57:10,11 58:1	139:21 149:3	adenoids 126:19	33:18 41:7	61:2 63:8
73:22 75:11	150:12,17	adenoviral	48:6,22 50:17	64:18 71:7
77:16,20,23	acknowledged	83:24	51:2 57:21	72:5,13,17,21
78:23,24 82:12	123:12	adenovirus	58:10 67:8,10	85:18 86:21
86:21,22 95:7	acquire 80:15	28:11,13,19,24	72:23 73:21	88:9 89:24
97:21 101:2	acquired 80:11	29:7,12 31:23	76:16 77:15,23	96:15 102:12
102:11,13	acquiring 80:19	36:3 37:16	80:20 92:19	109:8 112:7
103:11 106:5	act 43:11 146:15	80:3,6,12,15	94:16,18	116:9 119:18
107:9,12,14,15	activation 130:2	80:20 81:18	101:15 109:11	126:17 136:4
108:6 114:5,14	130:14	97:15 118:23	111:8 113:22	144:3 153:20
117:16,17	activities 72:2	125:1 127:12	118:7,24	153:22
118:19 120:18	73:5 82:11,15	128:15,16	123:11 125:16	ALISA 2:8
122:19 129:17	118:12 151:15	adenoviruses	125:21 127:5	allowed 43:12
130:5,10	152:1,2,3,6,11	9:24 11:1 14:3	129:2,11	153:1
131:19 133:1	152:12,15,24	14:7 28:5,16	132:23 135:12	alluded 7:8
133:16 135:9	activity 102:14	30:22 31:2,5,7	145:11 146:9	almost 46:1
135:11 140:21	116:2 119:9,20	31:12,15,17,18	148:23 150:11	153:10
142:2,9 144:18	150:2	32:1,8 34:10	151:19 152:4	along 115:12
147:6 149:10	actual 52:7 92:1	35:6 37:2 38:1	154:2	144:12
152:6 153:20	94:20 96:8	80:14,16 81:17	against 98:7,7	already 20:17
above 92:13	142:10,20	81:21,23 82:4	111:23	26:15 27:15
above-entitled	143:12,15	83:12,17 84:4	age 115:17	29:11 32:18
155:8,13	144:1,11	84:7,8,10,16	136:7	34:10 53:15
absence 59:19	151:19	84:21 85:12	agency 2:9 56:5	58:19 61:10
absent 133:11	actually 7:14	90:22 97:24	60:9 62:2 64:3	71:7 73:22
absolute 140:9	18:6,11 48:9	98:4,7,14	64:5 66:22	78:7 90:18
absolutely 45:4	49:17 59:18	99:12 124:8	110:19,21	91:23 102:21
147:22	69:23 104:7	125:3,7,8,9	115:14 148:22	104:19 109:18
Academic 87:3	110:17 117:5	126:13 128:19	Agency's 79:8	111:20 119:21
accept 26:5	128:9 131:2	adequate 144:23	ago 5:8 70:10	123:11 124:18
58:14 125:23	133:13 137:13	adequately	137:10	124:21
acceptable	137:19 139:10	34:14	agree 21:19,23	alternative
53:17,20 58:17	146:13 149:1	adjunct 66:11	22:12,14 23:9	124:19
125:18 127:17	149:24 153:8	Adm 1:11	37:18 54:16	always 140:19
accepted 36:13	add 4:23 111:5	adults 119:17	66:21 69:12	AMENDMEN
				•

1				
1:10	18:1,10,22	85:19,21 86:3	148:5,17	Appeared 2:18
among 105:2	19:19,24 21:4	86:14,19 87:5	149:13 150:3	3:7
113:24 115:8	21:17 22:12	87:14,17 88:20	150:15,20,23	Appendix 9:11
151:17	23:5,16,22	89:2,5,9,13	151:7,21 152:4	9:13
amount 8:5	24:14 25:7,22	90:11 91:10,17	152:17 153:9	apples 34:19
10:17 16:5,6,9	28:1,22 29:6	92:7,14 93:4,7	153:17	75:10
17:9 18:12	29:10 30:2,11	93:9,16,23	ANDRAS 155:4	applied 35:3
39:14 45:17	30:15 31:6	94:5,11 95:3,6	155:17	125:5 135:23
62:21 83:2	32:5,13 33:24	95:15,21 97:14	and/or 31:22	135:24
95:22 127:15	34:13 35:7,10	97:20 98:16,19	96:14 106:24	apply 61:22
143:17 149:3	35:23 36:16	99:9,17 100:1	animal 110:10	127:15 131:22
amounts 14:13	37:5,8,22	100:4,7,12,15	animals 109:24	applying 123:18
ANAD 2:6	39:22 40:4,17	100:20 101:2	110:4	approach 19:8
analyses 34:4	40:23 42:1,7	101:10,22	Anna 151:4	66:5
108:13	42:11 43:9,18	102:4,7 104:17	annually 104:15	appropriate
analysis 9:9	44:1,5,9,14,20	104:21 105:1	another 7:22	65:23
12:16 20:13	44:24 45:7	105:18,22	28:1 29:19	approved 7:22
31:16,17,21	46:7,10,16,22	106:4,10,12,14	30:16,20 32:14	36:14 151:16
33:3,13 36:23	47:3,16,21	106:16,21,23	36:8 80:22	approximately
60:8 65:14	48:2,8,18 49:6	107:17 108:1,9	109:3 115:10	8:9 60:2
91:1,22 144:9	49:10,15,24	109:1,16,20,23	115:11 123:5	area 1:7 55:22
150:12	50:5,11,20	110:11 111:5	137:4 150:8	55:24 75:23
analytical 12:9	51:9,18,24	111:18,24	answer 51:19	88:23 105:24
analyze 12:18	52:16,20 53:5	112:13 113:1	54:2 68:7	areas 54:10,22
12:19 17:19	53:13,22 55:8	113:21 116:12	101:1 143:3	72:4 73:8,17
18:20,22 20:16	56:7,12,15	116:14 117:11	answered 61:17	arguing 38:12
31:23 33:21	57:5,13 58:5	118:16 119:11	104:20 112:1	argument 13:2
37:3	58:18,22 59:5	120:13,20	answers 61:5	13:18
analyzed 6:21	59:20,22 60:15	121:2,13 122:2	antibodies 69:9	arise 115:19
7:15 8:11,14	60:23 61:16	122:16 124:8	69:14 70:16	Arizona 13:7,17
8:18 9:9,22	62:1,8,12,15	125:10 126:6	antibody 69:20	30:21 31:12
10:2,12 11:1,5	62:20 63:4,11	126:22 127:2	anybody 25:2	around 10:13
11:11 12:22	63:15,24 64:6	127:20 128:12	anymore 109:14	56:15 93:5
13:7 14:7 16:4	64:10,21 65:1	128:23 129:6	anyone 121:1	128:13
16:16,22 18:16	65:6,9,15,18	129:15 130:8	129:4	article 14:22,24
30:9,22 31:11	66:16 67:7	131:3 132:9	anything 16:20	15:4 20:22
32:7,24 33:16	68:7,16,20	133:15,21	17:21 29:21	114:24 115:11
35:16 92:3	69:5,15,17	134:10 135:3,9	42:8 63:5,13	137:11 141:16
analyzing 8:9	70:2,5,12,21	135:16 136:2	93:13 107:12	aside 92:7 123:9
14:15 15:15	71:5,12,23	137:23 138:1,4	107:15 147:23	130:8
20:18 26:22	72:11,15,20,24	138:9,15	anyway 31:16	asked 20:3
33:22 37:20,21	73:3,13 74:16	139:15,24	126:9	36:20 122:4
Andes 3:6 4:3	75:2,19 76:5	140:3,19 141:9	anywhere	asking 51:14
5:7,15,22 6:3	76:12,17,22	141:14,22	147:24	71:10,12 95:6
6:18 7: 1 8 8:21	77:8 78:1,14	142:8 143:1,6	apart 102:15	102:13 112:12
9:1 10:14	78:21 79:3	143:11,21	apologize	112:15 114:4
11:15,18,21	80:2,24 82:1	144:7 145:1,7	148:24	aspects 97:23
12:3 14:8,24	82:19 83:1,19	145:10 146:21	APPEARANCE	assay 10:9 11:24
15:20 16:11,24	83:24 85:1,14	147:5,16 148:1	3:1	35:14

assess 21:24	assumes 90:1	back 12:1 40:9	103:16,23,24	103:11 104:13
assessed 108:16	assuming 16:15	40:17 53:24	137:3,6 139:9	104:19 113:16
assessing 19:9	74:14 75:13	58:18 65:11	140:13,17	114:16 121:16
21:20 112:14	121:19 130:13	72:17 75:3	146:16 147:21	122:7 124:15
118:18	assumption	88:4,14 89:13	becomes 146:5	124:20,23
assessment 6:9	28:12 76:23	103:10 107:19	becoming	130:15,22
6:10,14,17,21	135:18,19	111:24 122:16	137:14,20	133:15 134:2
7:1 9:2 10:4,7	142:17,24	131:5 133:21	139:13 140:8	136:14 142:22
16:12,20 20:9	145:3 147:18	135:4 144:9	141:20	147:3,16
23:6 24:17	147:19 151:19	147:5 153:13	before 1:1,14	148:20 149:4
26:10,15,19	assumptions	backup 132:6	4:5 6:3,6 11:15	149:15 151:2
29:1 32:12	26:20 79:5	bacteria 39:16	11:22 20:21	below 74:12
50:17 59:9	142:19 144:2	50:13 56:18	27:14 40:15	belt 25:4,6
65:21 66:19,20	assurance 123:5	59:19 112:19	88:9 139:24	benchmark 22:9
67:11,18,19,22	astute 60:3	112:22,23	145:18	benefit 44:12
73:14,19,24	asymptomatic	113:2,12,18	begin 154:3	best 111:10
74:5,8,19 75:4	99:21	122:5 133:4	behalf 2:18 3:7	better 143:3
75:6 76:13	attempt 80:18	bacterial 105:17	being 19:2 36:22	between 131:15
79:7,8,12	attention 28:3	112:5	51:6 53:6	135:20
80:18 81:6	80:23 82:22	balance 58:6	77:21 79:10	biannually
82:6 83:15	83:2	bar 46:20	99:1,4,7,10	107:21
108:16 112:16	attributable	BARNES 3:2	115:23 119:19	biological 85:2
113:9 116:23	130:22	base 62:2	120:16,23	bit 40:24 45:13
120:14,19,24	attribute 130:20	based 17:2,3	124:5 129:10	56:16 74:3
121:5,7,8,12	attributed 95:19	22:19 24:16	129:12 136:16	91:23 101:20
142:16,18,23	95:24	41:3 61:21	155:4	103:19 107:8
143:22 144:10	August 153:3	84:3 86:4	believe 4:3 7:1	130:4 151:12
144:14,22	authors 116:19	90:18 91:22	7:19 9:10,11	Blanchy 64:13
145:4 146:21	availability	98:2 112:15	9:12 16:14,18	Blanchy's 40:5
147:1,4,18	153:21	114:8 122:18	17:16 18:8,13	40:18 52:2
151:13	available 153:24	122:23 130:1	23:14,19 24:8	132:10
assessments	average 15:5	130:13 132:6	24:12 25:4,15	blanking 48:7
79:10	47:17,22 48:16	132:20 139:14	25:20 26:9,12	blew 153:6
associated 19:3	48:20	141:17 142:9	27:9 30:19	blue 33:11 46:14
27:17 51:8	averages 48:5	147:17,18	35:23 45:2	46:19
77:12 84:5,9	48:11 49:4	149:7 151:19	47:12,19 48:11	board 1:1,15 2:3
84:11 101:5	aware 42:1	bases 132:2	50:8 51:2 56:3	88:21 143:7,10
106:8 107:11	54:13 55:8	basic 60:4	56:5,6 60:15	boaters 142:12
118:22,23	62:20,22 68:8	basically 12:10	61:21 62:10	143:13 151:9
145:12,17	76:12,21 83:22	136:14	64:4,22 67:1,5	bodies 94:14
assume 11:10	85:14,21	basis 56:21 64:1	72:1 73:4	96:5 108:2,6
13:14,20 18:14	100:20 105:18	65:2 139:10	75:21 78:7,16	120:8
60:21 62:21	105:23 113:11	bathers 118:21	78:20 80:6	body 19:5 23:23
76:6 99:21	120:21,23	bathrooms	81:14 82:6,7	24:6,21 39:24
₹08:1 113:1	145:11 148:2	122:7,9,12,14	86:23 87:3	41:6 48:4
144:11,20	151:8	Baton 87:2	89:4,8 90:17	52:22 53:14
assumed 16:21		beach 118:19	90:22 91:7	71:18 73:20
28:16,23 74:2	B 8	Beaches 60:9	94:7 95:5	77:13,17 93:18
74:9,20 130:1	B 90:23 134:4	become 70:19	96:23 97:10	95:23 100:21
	babies 141:2			
L	1	•	•	

p				
115:16 152:6	capable 38:22	75:16 82:9	78:23 88:3	121:17,19,21
152:11,14	84:1 120:8	89:23 90:16,21	89:11 116:14	137:13,16
book 130:24	capture 34:15	106:3 110:4,6	116:16 117:10	138:10,19
born 153:5,14	34:21	112:6,20 113:4	153:8,19 154:1	139:22 140:21
both 35:5,17,20	car 25:4	113:12,15,17	chalk 13:23	141:18
36:8,21 38:5	care 77:18,19,23	114:2 122:6	chalkboard	children's
59:20,21 66:18	104:5 111:6	124:7 142:13	13:22,23	115:20
67:5 74:15	134:20	143:14 145:13	challenge 70:9	chlorinate 43:14
77:10 95:12	careful 36:22	145:18 146:12	136:21 138:24	43:23
100:19 101:8	carry 113:19	146:13,18	140:9	chlorinated
151:17	case 8:8 38:24	CBC 107:20	chance 14:11	118:20
brain 63:16	58:8 74:1	CDC 96:1	17:12	chlorination
break 4:2,7 5:14	88:19 96:3,12	cell 9:23 11:1	changing 82:10	110:14,18
49:14 56:11	99:16 101:5	14:3 29:4,22	Channel 47:5	111:12 122:21
61:2 78:22	115:6 127:12	30:23 31:13,19	chapter 130:24	128:24 129:7
88:4,6 95:18	133:5,20	32:3 34:9 35:4	characterizati	129:10
102:15	140:16,20	37:2,12,14	22:13,15 90:3	chlorine 150:18
bring 107:22	cases 94:13,20	38:24 39:3	91:15 126:18	cholera 105:10
bringing 130:7	95:16 101:13	cells 39:3	characterizati	109:16
brought 26:24	105:4,6 106:5	Center 1:16	91:5	choosing 37:16
80:23 148:20	111:4	2:13	characterize	Christine 14:21
build 69:20	categories 90:13	Centers 4:14	134:15	70:8 136:22
business 23:19	91:18 108:11	104:6	characterized	citation 150:9
155:5	categorize	certain 28:6	40:19 91:12	150:21 151:1
buy 17:24	114:22	39:4 50:24	135:6	cite 79:13
byproducts 44:2	cause 19:12,14	54:22 76:20	Charles 102:20	114:24 116:3
44:6	84:14 94:12	97:9 115:5	114:9 115:12	137:7 138:21
	99:19 101:11	136:16	116:19,20	cited 80:5 118:3
C	102:5,7,10,19	certainly 19:7	117:1	142:3
C 2:1 155:2	104:22 137:3	27:2 42:21	chart 7:4	cites 147:4
Cal 47:4	155:9,13	43:4,7,23	check 88:15	cities 55:9
California 99:1	caused 4:21	47:21 48:16	CHEERS 143:2	128:13,24
call 58:6 79:1	59:18 100:11	59:11 61:7	chemical 149:6	citing 59:24
85:10 139:11	causes 9:2 94:15	85:20 87:19	Chicago 1:7,17	City 153:4 155:5
152:9,10	99:23,24	96:21 136:1	2:15 3:4 47:5	claims 53:6
called 28:13	101:12,19	137:24 145:1	55:22,24 153:4	clarification
130:24 151:24	104:17 105:3	Certified 155:4	153:5,13	88:11
Calumet 28:15	106:6 136:9	certify 155:6,9	child 103:19	clarify 22:5
came 4:8 31:20	causing 22:20	cetera 45:15	137:19	57:12 87:18
110:9 116:13	22:22 84:11	54:12 97:9,9	children 68:13	112:8
150:12	CAWS 5:19,21	104:9 108:12	68:24 83:13	clarity 53:4
Canada 54:24	6:1 21:11,16	120:11,12	84:24 101:19	clean 24:21
Canal 47:6	26:11 27:7,12	121:10,10	102:5 103:14	43:11 60:4
55:23	27:20 43:7	142:21	103:14,16	clear 45:7 49:13
canoe 21:22	44:16 45:9,17	CFO's 75:4	115:17 116:24	50:7 56:9,11
canoeing 82:2,9	45:20,24 46:24	CHAIRMAN	117:14,23	61:1 112:12
117:18 152:2	51:5 56:17	4:1,11 5:5 6:12	118:5,13 119:1	133:22
152:13	60:18 61:9,15	20:11,21 38:7	119:10,13	close 4:2 15:7
capabilities	71:2 74:11	54:4 60:7	120:16,22,23	72:15,18 73:6
123:14				
	1	l	I	l

		l	l	
Club 2:19	102:12	30:24 52:6	101:18 114:2	correct 8:24,24
cocci 90:23	compounds	117:13	116:8 117:18	11:20,20,23
Code 1:11	134:21	conclusions 17:3	118:7 148:10	18:7 28:15
coliform 52:12	computer 5:1	26:16 87:6	151:24,24	29:8,20 30:4
59:6,19 133:7	concentrate 7:9	condition 136:7	152:6,9,12,14	31:8 37:9
coliforms 46:2	8:5 9:22 10:2	conditions 39:19	contacted 57:14	40:10,11 41:1
50:4 52:6	10:22 12:15,24	50:14,18,19	contain 16:22	42:3 44:2 49:7
59:14 133:11	18:3	52:24 60:22	32:9 85:6	50:9 51:1,13
133:13,17	concentrated	72:1 77:14	contained 16:16	52:17 55:11
colleague 54:24	7:19,21 8:7,14	86:9 89:19	18:15 31:5,22	57:7,16 62:18
collected 10:11	8:18,23 9:4,14	114:3	118:9	62:19 77:4
11:9,11,14	9:16,18 10:11	conduct 12:8	contains 94:2	79:13,20 93:18
15:16 20:5,6	10:15,18 12:12	66:7	155:11	97:18 98:18
32:17	13:19 20:6,8	conducted 72:2	contaminated	106:13 108:14
collector 144:12	concentrating	73:5 138:5	93:14,22 94:14	109:4,9,21,22
column 10:8	7:12 12:16	confidence 27:1	contamination	110:1 112:3
combine 144:14	14:10	confused 107:9	59:7,15	117:6,22 129:9
combined 21:10	concentration	130:5	contest 37:24	134:13 145:4
22:1 23:7,24	11:15,22 12:21	confusion 6:8	contested 90:7	146:23 152:19
24:1,22 25:11	29:3 32:19	confutation	context 21:24	152:20 155:10
144:8	47:14 51:22	71:24	66:13 74:4	correcting 45:23
come 56:2	52:14 78:9	consequences	continue 23:24	correctly 9:21
107:18,20	94:10 125:2,4	15:13	24:1 76:5	10:24 68:22
142:19,24	129:22,24	conservative	141:24 142:1	74:7,19 149:4
comes 47:8 56:4	143:18 147:11	28:12 81:13	CONTINUED	cost 25:24 26:5
57:4	concentrations	147:19	3:1	97:22
coming 40:9	19:16 22:22	conserved 149:7	continuing 19:4	costs 26:2 58:8
69:3 97:8	29:14 40:13,15	consider 93:1	contract 4:21	count 109:7
98:15 153:12	45:24 53:19	102:22 143:8	contribute 49:3	counted 35:21
comment 5:3	89:23 90:15	143:10 144:21	110:5	109:6
92:5 126:22	91:22 111:16	consideration	contributing	countries 104:3
137:7	125:1 129:18	58:13 83:16	61:8 147:13	country 128:13
comments 81:2	130:12 131:11	considered	contribution	County 155:2,6
common 96:22	131:18	32:10 58:16	50:12	couple 6:5 8:2,4
101:18 103:13	concerned 24:19	67:2,6,12	contributors	12:18 19:19
communities	concerning 4:8	68:14 114:19	110:1,2	26:7 104:12
54:12	6:8 38:1 44:10	114:22 127:16	control 1:1,15	course 150:1,14
community	52:2 55:17	152:11	2:3 4:14 24:9	coxsackie 91:12
25:24	62:24 79:4	considering	25:17 78:8	99:18
comparable	80:3 88:11	77:13	104:6 122:24	coxsackie's
45:16	89:15 140:22	consistent 6:24	123:8	109:12
compare 33:7	concerns 67:8	7:15 19:21	convenient 5:3	CPSP 65:19
compared 22:3	71:24 80:22	20:1 25:13	conventional	create 104:23
44:14 45:8	89:14 103:23	constructed	52:3 134:5	creates 21:7
132:17	conclude 24:17	105:20	converse 59:15	credit 130:19
compares 18:1	31:22 32:3	consume 143:16	Cook 155:6	criteria 57:7,14
compiled 104:7	concluded	contact 56:20,22	copied 6:22	57:15 59:3
completely 61:5	132:15	57:7,15,20	copy 138:2 148:20	61:20 65:23,24
compound	conclusion 23:5	68:16 82:20	140:20	82:20

aritical 50.1	David 58:22	dolihovotina 4.2	21.2	127.7 21 22
critical 59:1	1	deliberating 4:3	31:3	127:7,21,23
65:20 118:17	day 77:18,19	delude 127:22	determine 31:18	132:4 138:18
crypto 109:20	153:3	delusion 45:8	53:16,21 55:14	139:12,13
123:3,4 126:7	days 21:18,18,23	demand 134:21	58:13 125:22	differently
126:9,10 129:8	22:10 76:7,7	demonstrated	125:23 131:7	121:4,18 135:7
cryptosporidi	deal 68:10	90:4	131:12 138:6	difficult 12:17
38:18,21 79:17	dealing 23:22	DENISE 155:4	142:15	41:11 74:6
91:9 110:5,12	34:19 85:5	155:17	determining	91:24 96:11
110:16 111:1,9	87:22 123:2	depend 44:3	26:2 69:6,12	146:19
111:14,17	145:21	92:11 129:11	125:17	digestive 115:20
CSR 155:17,17	deals 125:12	143:17	develop 65:24	120:2
culpable 141:6	dealt 29:19	dependent 39:12	69:8 70:16	dinging 127:17
culturable 30:3	death 104:1,2	68:19 122:12	119:14 121:9	direct 28:2
culture 9:23	115:7	141:7	146:14	54:20 117:1,8
11:1 14:3 29:4	Deborah 2:11	depending 8:3	developed	134:8 147:8
29:23 30:23	decades 133:8	45:14 127:6	140:23 144:13	directly 54:24
31:13,19 32:3	dechlorinate	depends 77:15	developing	141:5 142:11
34:9 35:7 37:2	43:15	79:9 135:21	139:10	143:24
37:12,14	decision 17:17	deposited 43:6	development	dirty 104:21
current 112:4,9	19:1,2 26:3	derived 29:3	59:2 137:18	disagree 77:8
112:18 114:2	27:4 66:23	68:13 147:17	developmental	87:5
115:15 117:7	67:2 143:8	Deroto 151:4	115:21 120:3	discharge 27:6
137:11	144:18	Des 1:9 155:6	devoid 16:18	50:21 55:3,5
currently 4:24	decisions 26:3	described 32:18	DEXTER 2:17	67:4
66:21 88:12	144:24	design 34:23	diaper 104:21	discharged
99:10,15	decrease 76:14	36:4	diarrhea 96:14	42:24 124:6
138:11	76:18 124:1,4	designation	102:5 103:15	discharging
Cushman 151:5	129:22	53:12	103:20,22	24:1,23
cyranic 149:3	decreased 24:11	designed 34:14	die 38:19	discount 17:22
150:12,17	decreasing	34:16,21,24	Diers 2:10	discuss 40:7
Rodalero	52:15 126:3,4	129:21 134:18	die-off 39:9,10	discussed 20:17
<u> </u>	define 53:8 71:3	135:1	difference 127:8	59:22 87:8
D 9:11,13 58:21	87:16 92:12,12	desorbed 20:7	127:18	109:17 113:13
58:22 122:17	92:14 135:14	detail 48:23	differences	discussing 91:24
dangerous 89:15	defined 135:13	153:20	45:23 46:5	discussion
darker 46:19	152:13	detect 34:14	137:18	113:22 117:20
data 17:2,4 33:8	defining 66:5	35:17,20 38:5	different 12:9	discussions
42:2 46:7 47:8	definitely 27:22	detected 31:14	12:10 17:18	122:18
47:16,17 48:2	definition	31:20 35:13	20:4,13 25:7	disease 4:14,17
48:5 49:6,7,8	135:13	36:21 59:7	33:4 35:15,16	5:13 19:12,15
55:17,22 56:1	degree 52:10	91:16 92:9	36:9 37:7	22:20,22 59:18
56:4,8 68:4,12	135:8,18	109:12	39:11,18 41:9	96:2 100:10
73:13,14 77:9	degrees 123:15	detecting 36:7	41:9,19 61:6	101:6 102:19
88:12,17,21,22	dehydrated	38:2	75:11 86:2,8	104:6 145:12
89:2 98:15	103:17,24,24	detection 36:14	98:21 99:14	diseases 105:3
128:3 140:9	Delaware 44:20	92:23	111:21,23	146:3
144:12	44:23 45:3,5	detects 35:5	120:24 121:13	disinfect 24:15
date 15:11 32:18	89:1	37:15	123:12,13,14	52:5 53:18
33:1 60:11	deliberate 65:24	determination	123:15,21	125:19 134:6
dates 153:24			1	120.17 10 1.0

	<u> </u>			
144:19	49:7 56:17	81:8,19,22	42:12 43:4,20	100:16,23
disinfectant	89:2 90:19	83:20 92:15	44:3,7,17,22	101:4,14 102:2
41:8,14 42:18	District's 56:2	102:17 121:3	45:4,11 46:9	102:6,16,19
43:5,12 44:2	89:16 90:3	121:11 136:9	46:11,18 47:2	103:2,3 104:19
123:18,19,21	divers 149:16,23	137:15,21	47:9,19,23	104:24 105:5
disinfectants	divide 139:2	138:12,19	48:6,9,22 49:8	105:21 106:1,7
42:16 111:22	diving 149:18	139:4,11,14,23	49:21 50:2,10	106:11,13,15
disinfected 55:3	DNA 28:10	140:8,12,17,22	50:15 51:2,14	106:17,22
55:5 67:4	doctor 104:8,13	141:3,21	51:19 52:2,9	107:2,5,8,19
71:18 94:3	146:6 153:2	143:12 144:1	53:10,15 54:18	108:5,17 109:5
disinfecting	doctors 146:5	doubt 122:10	55:12,20,24	109:10,19,22
19:17 24:10	document 6:11	down 7:10 8:5	56:24 57:17	110:2,16 111:8
25:20 44:6	7:2 29:22 60:6	11:2,7 18:3	58:10,21 59:4	111:20 112:21
61:10 78:12,17	66:14 79:12	61:3	59:11,21 60:10	113:5 114:4,10
99:6,8 105:15	146:19 147:24	downstream	60:21 61:1,7	114:17 115:12
disinfection	documentation	46:23 47:4,4	61:23 62:5,10	115:12,15
22:23 23:1,9	86:15 96:20	47:11,13 49:18	62:13,19 63:3	116:13 117:5
24:5 27:5 40:8	97:13	73:7,12 74:10	63:12,16,22	117:22 118:24
40:14,16 41:15	documented	74:12,15,21,22	64:4,8,12,13	119:21 120:18
41:21 42:7,10	59:16 67:23	75:15,16,20,23	64:13,14,14,14	120:21 121:6
43:2,24 51:10	94:18 139:5	75:24	64:15,22 65:7	121:16 122:3
51:15,21 52:3	doing 36:3 57:23	dozen 151:18	65:13,16 66:13	122:11 123:11
52:12,13,18,21	68:18 81:3	Dr 4:13 5:11,20	67:1,15 68:11	124:15 125:16
52:24 54:9,15	121:5,12 123:6	6:2,19 7:17,24	68:18,22 69:11	126:24 127:5
54:23 55:10,15	126:16 128:14	8:24 9:5,12,20	69:16,22 70:4	127:23 128:17
60:19 62:18	128:24 129:4,6	10:21,22 11:16	70:7,8,15 71:3	129:2,11 130:4
63:2,7 77:11	155:5	11:20,23 12:13	71:20 72:22	130:9,18 131:5
94:5,10 97:17	domestic 109:23	14:14,20 15:1	73:2,10,21	132:10,23
97:20 98:4,12	done 7:9 12:7,7	16:3,14 17:11	74:18 75:9	133:19 134:2
98:13 105:8,12	20:1,19 31:3	18:8,13,24	76:2,9,16,20	134:13 135:7,8
108:2,4 111:2	36:9,24 37:8	19:22 20:3,12	77:5,15 78:4	135:12 136:1
111:2,4 112:6	42:5 55:12	21:12 22:18	78:16 79:9	136:14,22
112:19 123:13	68:24 70:9	23:11,18 24:8	80:9 81:14	137:8,24 138:2
123:23 124:3	74:8 79:11	24:24 25:15	82:10,23 83:4	138:7,13,21
124:10 125:24	82:12 90:19	26:1,12 27:13	83:21 84:3	139:16 140:1,5
126:3 128:4	98:3 105:14,15	28:4,20 29:2,8	85:9,20,23	141:8,10,15
129:21 130:3,7	108:14 128:18	29:21 30:5,13	86:4,16,24	142:6,14 143:4
130:15 131:8,9	132:7 136:22	30:17 31:10	87:6,7,11,15	143:9,15
131:13,23,24	140:2 142:14	32:6,8,16 33:3	87:19 88:14,22	144:16 145:5,8
133:3 134:17	146:22 149:20	33:9,12 34:3,4	89:4,7 90:10	145:14 147:3,8
134:24 135:23	153:9	34:7,23 35:1,1	90:17 91:14,20	147:17,22
135:24 147:12	Dorevich 64:15	35:9,11,12,24	92:11,19 93:6	148:4,11,18,18
147:15	135:7	36:12,17,18,19	93:8,11,20	148:21 149:14
displayed 88:13	dose 67:21 68:4	37:11,13,13,15	94:1,7,16 95:5	149:21 150:4
disproportion	68:8,12,18	37:24 38:7,11	95:9,18 96:1	150:16,22
115:18	79:4,5,11,15	38:14,16,20	96:19 97:19	151:1,11,17,17
distracted	79:16,18,19,22	39:10 40:1,5	98:1,18,21,24	151:23 152:8
148:12	79:24 80:4,4,7	40:11,18,21	99:3,13,24	152:20 153:5
district 43:11	80:10,12 81:4	41:7 42:4,10	100:2,5,8,13	153:11,14
o 10 de se tod e se de adeixa de adeixa do se de 16 de adeixa do se de altre do se de adeixa do se de adeixa d				

	<u> </u>			
dramatically	Eave 17:14	emergency	9:16,17 16:8	10:9,12 11:12
105:9	echo 90:24	104:9	16:17 17:23	11:24
drawn 26:17	101:2 141:4	employ 126:1,3	18:15 36:23	especially 14:19
drinking 93:24	echos 109:13	employed 79:6	entirely 28:18	15:3 83:12
94:1,4 95:3,8	economic 25:24	127:24 129:13	68:11	84:23 86:9
95:11 99:5,6	effect 42:18 43:1	enable 77:6	entitled 4:16	96:12 101:19
106:21,24	70:6 78:18,18	113:6 144:24	10:8 139:18	103:15 117:15
107:7,13,14,15	84:15,16	encounter 93:18	entries 121:13	117:23 121:21
111:11 127:22	102:22 124:14	end 83:5 123:2,4	environment	133:5 145:21
128:2,3,6	147:24	138:7 145:1	93:17 104:23	149:17
131:8 133:5	effected 24:2	ended 12:23	110:7 118:3	essence 7:9
152:18,19,23	59:9	13:1,3 14:6	environmental	28:10
drive 2:14 3:3	effective 98:6,14	enforced 57:20	2:6,9,13 35:3	establish 65:22
25:5	111:16,23	engage 114:1	39:18 56:5	establishing
dry 17:5 21:13	124:24	116:1	60:10 110:18	132:2
50:19,21 61:13	effectively 41:12	engaging 118:11	110:21 115:13	estimate 15:5
71:24 76:6,24	effects 21:18	engineer 98:23	115:18 117:14	73:16 94:17
77:3,10,17	41:21 61:9,10	124:23 129:3	119:13 148:22	99:19 112:4,18
78:3,10 89:19	83:7 84:2,6,9	engineering	151:5	149:7
due 112:5,18,22	84:12,13,22	97:23	environments	estimated
118:16	92:18 102:8,8	enhance 110:22	93:19,21	138:18 148:7
DuFour 148:19	102:14 103:1,7	127:10	133:20	148:14
148:21	146:20	enough 12:19	envision 13:24	et 45:15 54:12
during 4:7 5:14	effluence 104:22	60:4	EPA 7:22,24	97:9,9 104:9
18:12 48:20	effluent 1:6 19:5	ensuing 103:4	19:21 20:2,9	108:12 120:11
50:13,21,23,24	21:14 22:4	enteral 29:24	20:15,17 30:4	120:11 121:10
61:13 75:4	24:11 27:6	entered 20:22	36:13 37:6	121:10 142:21
77:3 78:10	42:8,13,15,22	enteric 29:15,16	49:6,9,9 57:19	ETTINGER
82:15 85:24	43:6 45:2 46:8	29:18 30:3	58:2 60:1	2:17
89:18 106:23	51:4,5,11,21	34:15,21 38:24	61:19,23 62:7	Europe 54:14,19
119:16,17,20	61:11,14 67:3	117:15 138:12	62:24 80:23	55:10,14
129:19 150:1	78:13,17 108:8	entero 35:18,20	81:1 82:19	even 13:10
150:14	124:6 127:21	37:17 91:1,2	88:18 111:8	15:12 16:7
	132:13 134:6	116:6	112:13 127:10	17:19 21:6,19
<u>E</u>	144:20 147:11	enterovirus	127:16 133:6	23:7 24:5,22
E 2:1,1,5	147:14	30:10 31:22	150:10	28:13,17 40:14
each 17:20	effluents 42:17	32:11,24 33:7	EPA's 64:11	45:23 57:18
35:12 92:3,4	51:12 55:4	37:4,6 118:6	EPI 144:11,12	58:2 60:18
139:3	85:4 123:9	enteroviruses	146:23	83:11,16 84:20
ear 83:9	effluxion 69:7	30:11,18 31:1	epidemiological	86:6 119:13
earlier 4:9 27:16	eight 4:4 6:4	31:14 32:4,10	66:7,8,11,20	125:2
28:5 33:19	40:20	32:15 33:5,13	116:5 143:1,22	event 76:8
90:23 108:18	either 31:1	33:14 34:11	144:21 145:6	events 51:1
140:22 151:12	42:24 122:23	35:6,22 36:4	epidemiology	ever 57:2 106:3
ease 12:16	142:23	36:15 37:15	65:21	153:3
easel 44:13	eliminate 51:10	91:3,6,11,16	equal 74:9,14,20	every 21:17
easily 12:22	ELPC 2:18	109:11 118:14	equally 75:14,20	41:12
16:10 133:2	else's 26:3 53:16	119:2,4	84:1	everything 57:1
East 2:14	53:21 58:11	entire 8:16,20	equivalent 8:8	58:9
easy 103:16				

<u> </u>				100.15
evidence 15:2	64:19 66:3	119:11 120:7	125:12	forms 132:17
19:13 22:6	explain 30:13	132:14,14	findings 145:3	found 33:1,2
66:17 89:20,21	89:20	134:14	fine 36:7 85:20	90:21 91:6,8
90:2,14 115:16	explained 90:22	factor 57:3	finish 15:14	93:23 111:9,14
116:5,10	exposed 51:7	69:12,15,16	86:22	136:22 139:16
136:10 137:10	76:7 82:14	factored 28:24	first 43:13 66:2	150:9
140:6 142:5	103:1 106:19	factors 39:13	67:15 116:15	foundation
exact 11:6 37:20	115:22 116:3	44:4 58:12	116:16 124:15	134:12
48:1,23 96:11	118:8,10,15	facts 90:1	134:4 151:3	four 21:18 75:21
101:9 116:12	119:5,7,19	101:24	fishermen	105:3,6
127:18	120:5,16	fair 62:21	151:10	Fox 45:12,12,14
exactly 56:7	121:22 124:5	fairly 26:13	fishers 142:13	45:15,18 49:16
63:13 100:14	142:12 143:13	37:22 105:23	143:13	88:23
104:12 140:15	exposure 15:9	127:22 128:5	fishing 60:5	fraction 8:7,12
144:17 149:11	66:6 69:18,23	falling 72:9	five 56:19,21	8:15,17,23 9:3
149:12	70:17 83:18	false 24:19	57:3,3 78:24	9:7,16,24
examined 99:5	95:13 102:23	familiar 58:23	139:6	10:15 15:16
example 12:14	103:4,7 104:10	128:23	flaws 26:14	16:15,21 17:20
28:15 38:18	115:2 116:7	far 83:14 103:10	flow 26:10 44:15	17:22 20:15
47:10 67:23,24	117:15 119:1	113:3	44:15,18,21	30:8,16,17,20
68:14 69:19	119:16,22	fatality 115:7	45:8	31:8,10 32:21
108:15 110:15	120:15 121:8	fecal 46:2 50:3	flows 45:14,15	32:24 33:1,15
123:1 135:15	121:10,23	52:6,12 59:6,7	45:16	36:9 92:3
136:20	140:24 143:12	59:15 80:16	focus 21:12	fractions 26:22
examples 108:20	144:1 145:23	84:18 93:14,22	35:24 50:18	32:14 33:22
exception 54:15	146:11	94:2 108:21	focused 34:17	35:15
exceptions 70:15	exposures 106:9	fecally 93:21	36:10 50:19	Fred 65:5
excess 47:14	107:12,13,14	fecal-oral 83:14	146:24	FREDERIC 3:6
exclusively	120:10	federally 57:6,9	focusing 82:21	Fred's 54:1
133:6	extent 5:23	feel 73:22	follow 37:6 43:9	frequently 69:20
excreted 149:5	23:20 24:3	feet 72:19	131:23	72:3 73:5 84:5
Excuse 20:11	41:2,5 85:2,6,7	few 21:17,23	followed 19:20	84:8,11 127:24
38:14	126:12 135:22	50:6 137:10	20:10	fresh 118:21
exercises 12:9	extraordinarily	figure 6:10	following 76:4	Frisbie 154:3
exhibit 6:14,16	14:19 17:16	27:10 44:10	88:7 89:21	from 6:10 7:8,21
10:7 20:23	101:18	47:8 55:17	follow-up 6:4	9:11 14:22
21:2,3 60:13	extrapolate 8:15	88:12	27:9 28:2 31:2	15:8 20:7 21:1
60:14 116:21	extreme 132:17	figured 29:11	38:15 55:17	21:14 22:4
116:22 117:2,4	extremely 86:10	filter 7:4,6	56:13 96:16	29:4 32:4 33:1
exist 89:22	122:15	filtration 12:4	136:5	37:18 39:17
90:15 91:18,19	eye 83:9 146:1	111:2,12	follow-ups 26:8	42:19 43:8
exists 113:3		final 49:16	food 94:24 95:2	47:8,18 48:10
expect 83:19	F	find 4:7 17:21	114:12 116:18	48:15 49:1,8
149:17,19	fact 14:12 23:6	29:21 40:22	footnote 131:4	51:11 52:11,22
expected 147:10	26:10 37:8	56:7 59:17	foregoing 155:8	56:2,4 57:4
expert 58:23,24	44:1 48:23	67:9 91:2,6	155:9	63:1 66:6
66:3	51:3 52:4	122:13 147:9	Forget 116:23	68:13 77:10,22
expertise 147:19	76:14 92:2	148:1	form 8:13	80:16 82:8
experts 64:9,11	94:19 102:14	finding 15:12	formal 57:11,19	85:4 86:8 89:6
	105:22 109:2			
	l	l	1	I

	T			
94:14 95:11,11	generalization	20:21 22:16	ground 128:7	112:18,21
103:4 104:3	41:12	24:21 38:12	group 91:1	113:3,19
106:10,24	generalize	40:17 50:10	139:3,6 140:10	146:20 151:6
110:10 114:13	129:14	53:23,24 58:18	groups 139:2	hear 54:2
115:2,13,14,18	generally 12:7	59:21 71:17	grow 39:2 40:2	hearing 2:4
117:1,2,8	96:3 108:13	75:3 88:14	growing 38:22	155:8
118:14 119:1	genetic 137:1	89:11,13 90:12	115:16	held 1:15
122:5,6,8	geometric 88:16	97:14 107:19	growth 39:5	her 38:11 86:22
123:20 124:11	89:3	110:6 111:24	guarantee 94:8	high 14:4,5
125:8 137:14	GeoSyntec	122:16 133:21	guess 18:20	17:16 81:8,8
137:20 138:17	120:19	138:7 142:8	49:15,19 58:5	82:17 89:23
138:19 139:17	Gerba 34:4,7,23	147:5	92:11 114:13	90:15 92:10,12
140:9,17,23	35:12 36:18,19	goes 59:20 66:1	143:15 144:17	92:12,14 93:3
141:20 144:12	37:13,13,15	144:9	148:9	98:22 113:14
145:23 146:11	64:13,14 114:9	going 15:24	guys 48:13	114:20,23
148:23 150:15	115:12,15	17:23 25:9		122:14 135:15
152:18,18	116:13,20	27:5 28:2 36:7	H	135:15
front 138:3	117:1 122:3	40:22 41:8	half 4:2 109:6	higher 15:10
full 149:18	137:8 139:17	42:13,14 44:3	hand 13:24	27:16,18,20,21
further 20:22	151:17	50:5 53:22	113:13	27:22,23,24
31:23 56:12	Gerba's 9:12,20	56:15 57:1	Handbook	49:17 56:18,19
91:4 135:10	10:22 32:8	58:18 66:8	130:24	68:1,2 74:3,23
142:1	33:3,12 35:1	67:7 69:19	handed 60:7	96:4 115:3,6,7
furthermore	35:24 37:24	72:5 79:3,23	handled 28:21	121:22 137:13
36:22 74:7	38:8 114:17	89:24 97:8	handling 120:9	137:20
30.22 / 1.7	147:17	98:10 123:7	hands 115:24	highlighted
G	getting 14:11	124:3,13,19,21	happen 103:18	33:11
Gabriel 87:2	36:11 107:3	124:23 125:23	hard 138:2	highly 97:16
Garlack 87:7	128:15	126:17 136:6	harm 102:24	122:12 124:9
gastroenteritis	Giardia 38:19	142:4 143:2	harmful 103:1	126:23,24
104:3 145:24	38:20 91:8	152:21 153:12	Hass 64:14	him 131:2
146:4	109:20,23	gone 113:23	151:17	himself 37:14
gastrointestinal	110:5,9 126:10	gonna 153:7	having 14:18	HML 32:22
80:8,13 81:4	129:9	good 4:1 5:6	27:4 124:14	holds 135:18
81:10,23 83:6	give 12:4 24:19	17:12 94:19	125:1 139:22	homogenous
84:2,6,13,15	39:20 41:22	99:11 105:15	145:8	12:5,11
84:17 141:19	79:18,19 87:24	111:13	head 47:24	hope 103:20
147:14	92:22 126:13	Gorland 130:9	100:8,17	hospitalizations
gastrovirus	131:18 137:2,4	gotten 18:21	149:18	104:8,14
104:16	137:15 139:3	graphic 103:21	health 15:13	hot 153:3
gather 55:9	143:3 144:15	great 61:11	17:13 19:3,10	hotly 90:7
117:11 142:10	given 52:1 71:18	130:10	22:24 23:13,19	hour 4:2
gathered 88:17	79:15 138:19	greater 27:20	23:20 24:12	hours 38:12
gave 135:15	139:23 140:11	119:13,16,22	25:14,17,19	97:9
gear 149:18,18	140:17	119:23 128:8	51:7 52:15,23	huge 15:13
general 27:16	gives 92:24	138:10 139:22	53:7 57:22	45:24 46:4,4
44:17 45:19	113:15	greatest 116:17	66:6 70:23	human 22:20
67:12 97:7	giving 34:8	117:14	71:14,15 78:12	39:3 66:5 70:9
105:5 110:6	go 4:11 6:22	greenhouse 58:8	92:17 93:2	93:22 94:2
117:19	50 0.22	51 00111104100 00.0	103:6 112:4,9	
	1	l	l ´	

		· · · · · · · · · · · · · · · · · · ·		l
135:1 136:21	95:23 106:5	105:12 133:2	5:17 49:19	71:19 80:6,12
138:24 140:9	116:6 118:6	inactivating	69:18 85:15	80:20 88:1
humid 153:3	145:17,22	98:14 135:20	92:17 133:17	92:23 93:3
humidity 39:14	147:10,14	inappropriate	indications	94:23 95:1
hundred 8:2,4	illustrated 27:10	81:9	41:23	104:18 105:10
10:19 41:4	illustrates 33:18	incapable 39:5	indicator 105:13	123:7 126:23
46:2 47:15	33:18	incidentally	130:2 131:15	136:8,11,16,17
104:13 122:19	illustration	129:19	131:17,22	136:20 141:5
123:7 139:7	14:15	include 33:6	132:2 133:4	146:8,10,15,16
hundreds 16:7	imagine 143:9	63:20 68:24	indicators 22:8	146:18,23
hydrological	immediate	90:21 105:2	27:10,17,19,21	147:2,7
43:1	153:10	107:5 108:2	27:23 41:16,22	infections 80:15
hypothetical	immediately	111:1	113:15 130:13	81:7 83:8,9,9
22:11,16	69:3 76:8	included 29:24	132:6,12	99:20 100:1
hypothetically	immune 69:7	64:1 65:2	135:20	118:2,23 120:9
24:15	119:24	73:14 75:8	individual 69:8	146:1,1
	immunities 69:9	83:7 108:13,15	78:5 103:1	infectious 20:24
<u> </u>	immunity	108:24 109:3	137:2,4	102:17 139:11
idea 44:22 94:19	120:11	120:22	individuals 4:20	infectivity 81:11
110:4 143:3	immunocomp	includes 60:6	74:3 93:12,15	139:18
147:23	70:22 71:1,4	121:7	100:6 124:4	information 4:7
identified 97:7	immunological	including 21:10	136:16,19	5:8,17,23 9:8
140:14	120:2	39:13 64:10	139:6,8,12	9:10 38:17
ID50 139:11	immunosuppr	73:8,17 75:23	140:7,11,16	44:18 49:11
IEPA 63:9,13	71:6,16	77:9 99:18	141:11,16	50:23 77:6
64:24	impact 23:4,12	112:16 113:18	149:1,5 150:11	91:15 92:16,16
ignore 37:17	23:12 41:9	120:15 121:14	151:18	108:5 113:5,8
68:6	42:14,23 43:7	increase 54:22	industry 128:9	113:11,23
ignored 30:12	78:6 138:6	75:5 127:14	128:11	114:6 131:18
ignoring 28:6	impacts 41:9	increased 118:1	ineffective 29:5	143:7,10
II 127:10	77:11	118:5,14 119:1	infants 119:12	144:23
III 141:1	implementation	119:10 120:4	141:1	informed 55:1
ill 1:11 146:16	105:13	increasing 55:11	infect 136:9,12	ingest 18:12
147:21	implemented	indeed 29:5	infected 23:8	143:18 149:20
illegitimate	54:11	36:21 42:18	29:9 30:24	ingested 18:17
34:22	implementing	88:15,19 103:6	33:14 70:19	18:18 149:9,22
Illinois 1:1,15	23:1	133:12 136:18	93:13,15	150:1,8
1:17 2:3,9,15	implies 136:8	140:7,16	136:23 137:3,6	ingesting 119:8
3:4 5:18 43:22	imply 48:16	indicate 27:11	137:14,20	150:14
49:9 62:24	49:2	28:11 31:4	138:19 139:9	ingestion 18:5
64:10 155:1,6	implying 123:23	95:22 115:5	139:14 140:8	83:18 84:23
illness 67:24	importance	indicated 9:13	140:13,17	92:20 95:7,10
83:6 95:14	59:23	21:6 29:22	141:20 146:12	106:19,22,23
96:12 103:12	important 74:5	66:4 96:6	147:20	106:24 107:2
105:4 113:24	84:14 118:12	108:18 114:14	infectible 70:14	119:6 121:14
115:2 121:9	imposing 133:9	indicates 21:6	infection 4:21	inhalation 82:3
138:10 146:8	impossible 39:6	47:12 56:18	5:23 15:6,8	82:22 83:3
147:4	inaccurate 59:9	119:12 137:12	21:7 32:4 52:5	121:14
illnesses 81:5,10 82:24 94:21	inactivated	indicating 5:9	63:6 69:13	inhaled 142:11
02.24 94.21				
L				

			1	
142:21	153:2,6	know 8:6 9:15	39:3	45:11 71:5
inhaling 82:8	journal 14:22	12:6,9 19:6,10	laid 108:12	72:20 111:24
initially 10:14	20:24 114:12	19:12,15,16	lake 4:21 118:19	112:2 116:14
initiate 79:1	116:18 117:6,9	22:18,21 23:3	lakes 60:3	130:8 136:2
102:24,24	judgment 96:23	25:2,5 30:9	106:15	139:5 147:9,9
input 78:9	July 4:19	32:23 33:24	language 63:21	level 26:4,6
inside 38:23	just 4:6,8 5:13	34:1 39:8	63:23 124:16	52:20 53:8,20
39:2	6:15 7:11 13:2	41:14 43:20,21	large 8:2 12:14	56:18 58:16
instance 107:18	15:19 17:22	45:12,13 46:1	15:18,20,23	61:20,24 62:3
insufficiently	26:19 29:17	47:23 48:3	17:9 103:20,22	62:11 63:6
118:20	39:20 40:21	49:21 50:15	larger 7:20	105:16 111:13
intended 134:23	47:19 54:6	51:24 54:16	44:21 75:17	112:11 113:2
intentional	55:4 56:22	56:20 57:2,4	137:5	115:7 123:24
107:4	61:1 68:3,6	57:18,19,22,24	largest 69:6,12	124:2 125:18
interest 57:21	75:1 81:23	63:12 76:6	last 21:18	125:22,24
interested	88:10 93:17	77:21 79:14,15	139:19,20	126:2,4,5,9
138:16	96:15,22 98:2	81:18,21,24	later 53:23	127:17 130:2
International	108:20 112:2	82:18 83:15	148:1	131:19 135:1
114:12 116:18	116:10 117:8	86:3,12 87:15	latest 128:2	levels 14:9 22:1
interpret 92:1	119:22 120:4	89:5 91:3 93:6	law 2:13 55:1	25:10 27:9,17
intestinal 93:12	121:10,11	97:7 99:10	laws 43:21	27:18,19,20,21
introduce	123:12 129:17	100:9,23 103:2	lead 104:1	27:22,23,24
114:13 116:9	137:9 139:16	106:17 109:11	122:24 123:8	40:9 41:6,23
introduced	140:3 141:23	113:22 114:19	lease 45:21	46:23 47:4
66:18 137:9	145:14 148:11	114:20 125:19	least 45:19	49:17 52:1,17
142:4	149:12	129:5 132:3,4	91:21 113:7	52:19 53:4,5
invalid 17:10	justification	133:1,10 135:5	114:10 128:2	62:16 63:1
involved 5:16	62:23 63:3	142:3,10,16,20	leave 78:24	82:3 89:18
IPA's 64:19	justified 62:11	145:9 146:8,17	left 43:24 46:10	90:5 91:19
issue 50:7,8 52:3	justify 61:19,24	148:5,13 149:9	46:11	92:9,10 112:6
66:14 69:6	74:14	150:6 151:11	legend 48:12,13	112:14,19
76:6 79:20	J5 50:8	knowledge	48:24	113:14 123:14
81:1,5 92:7	J9 54:5	20:14 45:5	length 130:10	124:12,13
100:22 129:8	K	54:20 73:11	132:11	128:15 130:16
issues 16:12	K 155:2	76:10 84:3	less 11:12 16:6	132:1,2,3,16
28:4 33:19	kayak 21:22	98:2 99:14	45:20 46:3	132:19 134:19
41:1 80:1 81:1	kayak 21:22 kayaking	128:10 150:5	69:21 70:13,18	135:21
82:7 103:12	117:19 152:2	known 19:13	70:18 77:2	light 97:17
122:2,4 130:9	152:12	21:15 94:12	111:22 119:19	111:15
J	kids 115:22,23	131:11,21,22	126:21	like 54:2,16
Jack 149:21	116:6	138:11	let 7:21 8:21	73:24 83:10
JESSICA 2:17	killed 105:11	L	19:19 28:1	86:13,19 87:23 97:6 101:23
jibe 75:1	kind 18:4 68:19	lab 32:8,23 37:7	30:13 36:5 43:9 76:5	105:17 111:1
Joan 116:20	74:24 103:10	laboratory 9:12	116:9 133:21	112:11 117:19
job 19:9 23:20	kinds 39:4 41:10	9:15,21 10:23	let's 12:13,14,23	124:11 129:7
26:1 99:11	41:19 82:11,15	12:23 30:7	12:24 13:2,3,4	134:20 135:10
105:15 111:13	83:8 98:21	32:22 33:3,16	13:14,20,24	136:4 145:24
John 114:9,10	145:22 146:20	34:5,7 35:5	18:1 38:13	146:2 147:1
JOHNSON 2:5	152:3	36:18 37:19	10.1 50.15	170.2 177.1
			1	

	I	i		I
150:20 153:7	54:9	65:10,19 67:2	matrix 127:21	30:3
153:12,14	long-term 102:8	71:13 80:18	matter 1:3 5:16	media 35:12
likelihood 27:11	110:22 127:10	131:15 137:8	23:16 25:22,23	36:2
likely 29:16	look 6:18 9:5	145:3 147:20	62:22 66:23	medical 14:22
69:24 70:18,18	12:13 18:2	magnitude	70:22 71:13	20:24 104:4
70:20 77:2	34:24 36:2,4	17:18 46:6	86:2 98:9	medications
89:15 92:17	41:20 45:11	main 60:1 61:15	119:3 131:9	71:6,17
96:16,24 97:1	62:2 77:11	94:24	135:8,12	meeting 41:3
97:10,23	78:2 81:15	mainly 47:7	may 1:15 4:15	155:12
101:11 115:22	82:5,13 86:16	major 21:15	8:19,19 15:14	Member 2:5
116:2 141:6	86:18 96:1	54:10 73:23	18:17 45:22	38:16 39:8
limit 5:24	104:5 109:17	102:4 104:17	48:10,15 49:1	153:2,6
limitation 41:4	122:5 128:18	majority 42:13	61:5 69:2 74:2	members 64:20
122:22	128:19 131:7	make 27:4 38:3	74:2 76:7,14	64:23 90:24
LIMITATIONS	132:11 134:3	41:11 60:4,18	78:5,5 84:10	115:9 139:3
1:6	135:4 143:21	62:3 65:16	84:12 89:7	membrane 7:5,6
limited 114:1	144:5,6,8	69:24,24 70:13	96:16 98:13	7:7 9:20
Lin 2:7 38:14,16	147:10 150:10	70:17 71:8	110:9 115:17	membranes
39:8	152:17	112:2 116:2	115:19 117:14	20:7
line 35:4 153:7	looked 21:8 44:5	136:7 138:24	120:9 123:19	memorized
153:10	50:9,11,22	142:17,18	129:5,19	100:24
lingering 22:8	82:6 85:1,11	144:2,24	134:14 135:14	meningitis 99:19
linkage 131:14	99:7 109:21	148:11 153:23	140:7,14,14	mention 4:6
listed 89:22	120:14 121:15	makes 71:15	146:12,14,16	mentioned 16:3
90:13,20 105:2	146:22 147:1	making 8:1 9:6	153:22	39:19 84:20
108:19	148:3 149:3	17:3,17 18:24	maybe 62:5 71:1	92:20 110:12
Listen 38:7	looking 73:19	59:24 66:23	86:12 124:21	118:4 124:18
liter 7:3,10	82:19,21,23	126:15 143:8	126:11	133:23 145:18
literature	83:4,8 98:11	144:18	mean 53:2 57:8	146:9 151:12
100:24 141:12	108:10 117:12	management	57:9 58:3,3	met 122:20,22
148:7	124:19 149:22	65:22	64:19 68:5	method 6:9 7:23
liters 7:12 8:3,4	looks 153:7,12	manner 106:18	72:7,8,10 90:9	8:1 9:23 12:21
10:13 11:10,12	lost 101:20,20	120:24	93:16 100:3	19:20 20:18
12:2,2,18,24	lot 26:20,21	many 41:19	102:3 112:8,9	30:4 34:6,7
15:17,17 16:4	54:19 82:22	54:11 106:7	112:10 123:16	36:14 37:6,14
16:8 18:2,15	128:12	133:8,8,11	123:21 145:16	methodology
18:16,18,19,23	low 23:7 45:10	Marie 2:4 151:4	146:23	31:13 36:2
little 7:11 40:23	82:17 86:11	marine 118:21	meaning 16:17	38:2,5
45:12 55:23	89:17 90:5,9	mark 6:15 21:2	means 29:4	methods 16:13
56:16 72:6	98:22 114:23	46:14 60:12	34:10 88:16	19:21,22 35:16
74:3 107:8	122:15 124:12	116:20 117:2	89:3 107:4	67:11 112:16
130:4	124:13 128:15	marked 116:15	127:3 128:14	126:21
LIU 2:8	lower 1:8 40:15	marker 137:1,7	meant 152:16	metropolitan
living 38:23	81:11 136:9	marvelous 34:6	measurable	54:10
LLP3:2	140:8 141:21	material 7:5,7	149:24	micro 143:12
locations 74:10	lunch 5:14	39:14 80:17	measure 143:24	microbial 66:19
long 78:24	***	84:18 93:22	measured	116:23 144:1
longer 128:10	<u>M</u>	94:2 108:22	112:11 150:7	microbiology
longstanding	made 19:2 28:12	materials 93:14	measurements	35:3 87:2
	38:10,11 53:6			
	1	1	1	1

	ī	I		
114:12 115:15	16:10 33:23	mortality 68:2	149:19	nonprimary
116:19 117:7	Mississippi	107:21	necessary 77:10	148:10
131:1 137:11	44:15,18 88:24	most 5:3 54:10	need 6:15 13:22	nonsensitive
microorganism	misspoke 152:15	84:5,8,10	20:16 38:10	115:9
115:3 135:22	mixing 75:9	108:3 109:2,5	53:4 57:12	nonspecific
microorganisms	ml 13:8,16 47:15	109:6 150:4	88:4 124:11	96:13
39:11 40:2	52:12	mountains	125:14	nonswimming
41:10 89:18	mls 13:19,21	110:8	needs 59:1	118:12 119:20
90:6 113:17	14:1,2 46:2	mouth 107:3	negative 16:23	152:2,10,16
115:6 129:23	Mode 70:8	115:24	17:23 29:11,23	nontreated 97:4
143:16,19	Models 140:23	mouths 116:1	32:2 33:2,2,17	106:11,12
might 6:18	Moe 14:21	120:7	37:1 102:24	nonzero 103:3
15:22 18:12	136:22	move 28:1 38:10	103:6	Norovirus 8:8
21:24 28:14	moment 18:2	38:13 40:19	Netherlands	9:9 11:6 13:11
82:14 111:5	48:1 69:2	61:17 79:3	149:21 150:23	13:18,20 14:6
121:20,21,23	101:9 122:22	129:15 136:2	151:2	14:18 15:2,6,9
122:13,14,15	moments 137:10	moving 6:3 43:2	Network 2:19	15:12,18 18:21
125:3 137:1,19	money 125:11	MPN/100L 29:3	neurological	21:6 22:1
144:17	monitor 132:3	much 10:17	115:20 120:1	33:20 70:4,10
mile 72:7,18,20	monitoring	40:14 45:13	never 110:8	87:23,24 91:7
73:7	46:13,14,21	58:7,7,13,14	146:14,17	92:20,21,23
miles 47:13	47:11	74:23,23 75:17	new 6:13 41:3	94:22 95:1,13
74:11,22 75:23	monthly 48:14	81:11 86:3	next 99:17	95:16 118:22
milliliter 41:4	49:1 88:16	97:24 125:3	113:21 143:11	137:2
milliliters 9:14	months 89:6	126:14,16	Nina 115:12	Noroviruses
9:18 10:1,19	morbidity 4:14	128:5,14	116:24 137:9	10:2,5 13:4,10
10:21,24 11:4	107:21	131:12 132:15	nitrogen 134:21	13:16 14:1
13:1,3,5,6,11	more 15:23 27:8	142:10,20	Noble 60:11	16:7,17,18
13:15 14:2	36:11 46:5	146:8 148:7,14	non 107:4	17:14 21:5,9
18:6,10 122:20	49:10 69:24	153:15 154:4	nonbody 151:23	24:3 39:1 93:5
123:7 151:16	72:2 73:5	multiple 16:24	151:24 152:9	93:9,11,20
million 87:20	74:11 75:2	49:2	152:15	94:12 102:1
94:13 95:16	84:13 93:17	must 38:23	nonChicago	108:15 136:24
99:20 101:13	96:16,24 97:1	61:19	88:23	Norovirus-How
102:11 105:4,6	97:10 98:13,15	MWRDGC 3:7	Noncompliance	20:23
mills 18:19	98:15 99:22	N	60:9	North 3:3 47:10
mils 9:22	101:12,24	$\frac{1}{N2:1}$	noncontact	55:23
mind 69:4 85:11	102:3,7,13		151:14	Norwalk 136:21
107:18,23	109:6 111:22	name 117:6 130:23	nondisinfected	note 40:12 54:8
minimal 134:15	115:22 116:2		19:5 100:21	102:19
135:11	117:23 121:24	native 39:16	none 21:3 60:13	noted 7:1
miniscule 18:11	122:9 123:19	natural 39:16 nature 97:5	116:22 117:3	notes 88:15
minor 42:20	124:24 127:24		145:10	117:13 155:10
minute 40:21	132:17 135:11	near 56:17 72:3	nonenteric	nothing 18:16
minutes 78:24	136:8,11,16,19	72:7,11 73:14 necessarily 25:2	83:17	26:1 62:15
mischaracteri	146:24 151:17	28:14 29:6	nonetheless	99:9 117:16
25:16	153:20	69:11 96:20	82:12	notice 49:17
miss 13:12 14:17	morning 27:16	99:3 106:18	nongastrointe	97:2
15:17,20,22	151:12 154:3	120:8 144:23	82:24	noticed 65:9
		120.0 177.23		

	t			
117:13	occurred 74:20	104:21 105:7	69:23 79:23,24	125:13 126:16
notion 77:9	100:18 101:7	105:19 107:22	101:15 139:4,8	136:20 146:10
NPM 9:23	106:3 131:20	109:3 111:5,9	140:12	146:16 149:21
number 4:4,16	occurs 19:6	113:15 117:12	organisms 19:11	150:3
14:21 17:17	73:11 131:13	121:11 122:2,4	19:12 38:23	ought 71:13
26:14 39:12,20	October 48:10	123:3 126:1,7	40:13 42:15,19	out 13:21 14:2,4
44:4 51:15	48:15 49:1	126:12 127:15	79:10 105:11	15:17 16:4,8
64:9 74:21	89:8	130:6 132:1	108:19,21,23	18:19 26:13
79:17 90:19	off 7:14 12:8	137:2 139:6	125:4 131:16	38:22 39:5
94:8,17,20	36:8 38:19	143:21 144:5	131:22 132:8	47:8 56:7 59:6
95:19 96:8,8	47:24 100:8,17	147:1 149:16	136:12	59:12 67:16
98:9 104:8	Officer 2:4	149:18 151:3	original 8:10,12	69:19 80:21
113:14 115:1	Oh 87:14	ones 46:9,10,11	12:1 146:17	83:11 92:16
118:1 125:6,7	okay 6:3 13:1,11	46:14,16 55:14	originally 17:8	95:18 97:16
136:12 137:5	13:19 30:20	55:15 86:22	Orlis 87:7 130:9	98:15 107:20
137:16 141:15	32:1,6,20 48:8	142:2	other 5:7 13:10	108:12 110:6
142:8 143:16	49:15 54:2	ongoing 66:21	15:7 16:1 24:4	137:22
149:22	59:22 63:15	98:17	25:12 26:23	outbreak 4:19
numbers 11:6	67:7 72:21	only 8:10 12:17	32:14 33:15,16	96:2 97:1,2,11
15:18,21 18:4	87:14 108:9	18:16 33:22	34:16,18 35:19	107:22 118:22
22:7 27:1 28:8	129:15 141:22	39:2 55:22	36:18 37:18	outbreaks 4:8
49:3,4 79:18	142:8	66:10 69:1,3	42:19 43:8	5:9,13,21
86:15 92:2,5	one 3:3 6:7,15	78:3 80:21	46:16,20 48:4	59:18 96:3,4,8
95:17 104:11	12:3,15,20	92:2 97:8	49:19,22 50:12	96:9 100:10
116:13 131:21	13:12 14:1,9	100:18 113:5	50:15,24 53:23	105:24 106:2,2
131:22 142:20	14:11,18,18	122:3 125:12	54:13 55:6	106:8 107:10
numerous 33:10	15:6,9,19,21	127:13 144:4	56:4 63:4 67:5	107:15 145:12
33:12 102:20	17:2,6 18:1,12	150:5	67:19,21 72:4	145:13,15,19
	18:17 21:6,23	open 97:8	73:8,17 83:5,8	Outbreaks-U
0	23:23 24:14,17	operating 86:8	83:10 84:7	4:18
O 155:2,2	27:8 28:4	opined 71:14	92:16 93:19	outcome 136:10
object 72:5	30:17 31:21	opinion 60:17	95:20,21 96:18	outcomes
85:18 89:24	32:2 34:1,1,16	115:14 117:7	98:8,8,11,12	121:23
90:2 126:17	34:17,20,22,23	137:11	101:1 113:10	outdoor 118:20
objection 21:2 60:12 116:21	35:11,18 36:8	opportunity 4:6	113:13 116:1	outfalls 56:18
	37:5,11 39:20	5:12	117:24 119:9	72:3,7,16
117:3	40:21 41:13	Oragui 134:7,11	121:6,17,20	73:15,18 75:22
observed 141:4	47:16 48:2,5	135:4	123:10 125:4	75:24
obviously 42:8 42:14 62:6,8	48:19 53:18	oral 140:24	125:24 126:21	outflow 28:16
135:4,19	54:1 59:11	oranges 34:19	127:1 129:12	outward 146:14
146:23	69:1,3,21	75:10	133:9,16	over 17:4 23:24
occasion 54:23	70:13 71:15	order 46:5	134:19 137:16	24:10 38:13
occasions 33:10	74:1 75:11	104:12 127:16	137:21 138:23	58:20 73:15
33:12 79:21	80:2,14,22	136:23 142:16	144:19 148:13	78:8 150:10
102:20	81:15 82:13	Oregon 4:20	149:13,15	overall 41:6,11
occur 66:10	87:23 92:23	organic 39:14	151:18	73:19 74:4
94:21 96:5,9	93:18 101:1	134:21	others 14:21	77:12 overestimate
100:2 107:23	102:9,22 103:5	organism 14:17	39:1 69:2 70:12 96:6	76:1 77:1
121:3 132:1	103:6,12,17,22	14:18 39:17,17	/0.12.90:0	/0.1 //.1
121.3 132.1				

	I	I	1	1
overflows 21:10	117:17,20	123:15,17	135:14 147:20	42:11,12 46:13
22:2	123:17,18,20	124:1,2 125:13	percentage	46:19 47:7,9
over-estimate	123:22 130:3	125:20 126:4	18:11 75:17	49:18 61:14
91:13	139:7 149:6	127:1 129:19	87:16 96:4	72:3 77:22
own 22:19 32:9	particularly	129:22,24	114:20	86:8,8 111:11
35:1 56:2,3	5:19 50:13	130:1,12,16,17	percentages	123:9 129:13
96:22	130:14 132:16	131:12,16,21	127:9,19	plants 22:4 42:3
oxygen 134:20	parts 1:11 54:13	132:4,14 133:1	perhaps 43:13	46:17,24 50:21
o'clock 1:16	121:6	133:12,18	71:17	50:23 55:13
153:11	patch 46:14	134:19 135:2	period 17:5	60:20 73:1,6
	path 65:20	135:21 137:17	95:10	74:13,23
P	100:4	145:23 146:11	person 77:16	128:20 134:5
P 2:1,1 3:6 117:1	pathogen 36:11	pathway 82:2	80:21 101:17	please 89:19
paddlers 142:12	38:18 41:6,13	152:19	101:17 110:8	136:10
143:13	61:12 70:17,20	pay 26:5 58:15	125:17 146:12	plenty 19:13
page 44:9 61:19	90:13 102:23	PCR 28:9 29:23	146:13	point 8:1,11,16
65:11,12	123:20,22	31:3,24 37:1,3	personally 19:1	9:6 11:7 15:14
119:11 129:18	131:19 132:16	37:3	23:14	15:14,15 17:3
133:22 134:3	147:11	PDF 4:24	perspective	18:14 22:18
139:19 151:4	pathogenic	peer 148:6	143:23 144:15	25:16,16 31:9
pages 60:16	89:18 112:23	people 4:10	Perspectives	32:6 35:12,14
paper 35:2	113:2,12,16,18	21:21,21 25:1	151:6	37:19 38:11,12
137:8,12 138:3	132:7	25:8 51:5 69:8	Pertropolis	38:13 42:23
139:17 141:12	pathogens 20:19	70:22,24 71:5	64:14	47:17 48:3,5
papers 87:8	21:16 22:7,20	71:16 73:16	phonetic 40:5	59:23 60:17
paragraph 66:2	22:23 24:5	75:12,13 76:7	phosphorous	67:16 75:13,19
66:3 134:4	25:11 27:18,21	76:10 77:2,13	134:22	76:3,21 80:14
138:8	27:23 39:22	78:19 93:7	physical 136:7	82:17 83:10
parameter	41:15,17,19,23	106:19 107:5	physically 39:6	96:7,21 97:16
29:19 80:4,5	42:2 43:3,8	111:9 113:4	physician 71:22	99:14 118:13
parameters	51:4,7,11,12	140:6 142:17	physiological	118:17,24
67:14 68:9	51:16,22 52:7	142:22 144:18	137:18	119:10 123:23
79:6 80:7,11	52:10,14,20	148:8,15	physiology	126:2 134:8
80:13 81:20,22	59:17 61:8,12	150:13	141:17	137:22 138:23
83:20 108:11	61:15 66:6	Pepcid 139:21	picks 58:8	140:5 146:7
parasites 39:12	69:10 70:3	per 41:4 46:2	piece 7:11	150:21
part 7:2 14:6	77:21 78:9,11	47:14 52:12	pieces 12:8	pointed 26:12
16:1 20:12	78:18,19 79:5	105:4 106:6	pile 67:10	59:6,12 80:21
56:10 91:17	79:13 84:1	122:19 123:6	pinpoint 96:11	points 17:4
118:13 121:11	85:16,17,22,24	percent 8:10	pizza 153:15	47:17 56:8
135:16	87:9,20 89:16	10:20 11:13,19	place 13:12	83:5 94:18
particle 15:7,9	89:22 90:12,15	15:10 16:6	130:6 133:8	policy 2:13 26:2
15:12 38:24	90:20 91:18,21	21:7 45:1,3,21	places 45:22	58:6 66:24
87:24 92:21,24	92:4 94:9	60:2 86:12,13	55:6 67:19	polio 68:24,24
102:18 103:8	97:15 98:8	87:13,18,21,24	Plaines 1:9	140:24 =
particles 15:18	103:5 105:17	88:2 92:22	155:6	polio's 109:14
particular 66:16	111:23 115:23	93:2 94:9	Plan 65:20	109:14
68:20 69:9	117:15 118:9	114:14,18,21	planning 142:6	POLLUTION
70:19 74:1	118:11 123:8	127:4,4 135:14	plant 21:15	1:1,15 2:3
89:6 115:3				
		•		

pool 97:6 118:20	62:16	99:23,24 111:3	110:19,22	publication
pools 95:24	preclude 119:19	129:20 130:5,8	127:10	114:9,11
149:2 150:15	precursor 66:11	134:16	pronouncing	148:21,23
150:18	predispose	primates 39:4	149:4	publicly 102:21
population	141:20	prior 27:6 55:3	propensity	published 35:2
67:13 68:15	predisposed	55:5 67:4	121:8	87:3 102:21
97:7 112:5	140:7	probabilistic	proper 119:14	141:11 148:6
114:15,16,18	predisposes	16:13 17:1	proportion 11:3	151:5
114:21 116:17	139:22	probability 13:8	45:18 147:12	purpose 31:6,15
populations	predominant	14:4,5,16 15:5	proposed 1:10	31:17 38:3
67:14,17 68:10	95:22	15:8 88:1	63:20,23	152:17
114:1,5,7	prefer 4:10	92:22 93:2	122:19	purposes 6:21
117:21,24	preferred 66:4	103:4 137:14	proposes 65:20	12:4,20 13:2
136:13	premature	137:20 139:23	protect 23:20	18:3 152:14
portion 11:5	141:2	probably 36:12	25:6	put 7:3 21:24
14:16 30:5,6,8	prepared 66:15	42:20 60:23	protecting 57:22	25:4 28:9
33:4,23 67:22	presence 17:14	94:24 109:14	protection 2:9	44:13 77:21
75:16	21:8 28:11	problem 55:19	25:14 56:5	88:10 103:3
portions 12:10	39:15 69:13	102:10 104:23	60:9 110:19,21	115:23,24
37:21	89:15 113:14	problems 26:21	115:14 148:22	125:11 130:8
position 27:3	present 4:9 5:2	procedure 36:13	protective 52:22	puts 120:3
positive 23:12	6:1 13:13 15:2	proceeding	protocol 20:15	putting 19:4
28:10 29:23,24	19:11,15 24:4	61:22 62:1	protocols 20:2,9	22:20 51:5
30:23 31:4,21	26:18 27:19	63:21 66:9,17	protozoa 123:1	57:23 92:7
31:24 32:11	39:15 42:17,17	proceedings	provide 38:17	120:6 123:9
33:5,6 34:9	59:8,14 80:16	1:14 88:8	60:5 86:14	p.m 1:16
35:22 37:2	84:19 93:10,16	155:7,12	89:20 116:4	
possible 5:24	93:20 94:4	process 6:23 9:3	136:10 144:23	Q
28:18 145:9	108:21 113:17	12:4,6 31:3	provided 21:5	QAC's 34:8
possibly 59:8	133:12,18	37:12 86:6,7	60:19 62:23	QRAM 65:22
120:16	143:19 150:18	124:3 131:8,9	67:13 91:20	qualitatively
postulate 122:21	presented 27:2	131:14,23	113:9	82:7
potency 101:23	56:8 63:10,14	processed 11:4	provides 40:6	quality 1:5
potent 101:24	113:10	processes	province 55:2	46:21 59:3
102:3	Press 87:3	110:14,24	proximity 72:15	quantifications
potential 19:10	pressure 98:22	127:14 128:4	72:18 73:6	66:10
81:16	98:23	129:12,20	prudent 36:23	quantifying
potentially	presumably	133:3,3 134:17	public 5:3 15:13	66:5 112:14,17
121:19	123:24	produce 84:12	17:13 19:3	quantitative
practicality	Presuming	84:21	22:24 23:13,19	41:2 65:21
97:22	43:13	produced 7:8	23:20 24:12	66:18 112:17
practice 19:4	pretty 43:10	producing 84:1	25:14,17,19	116:5 121:5,7
54:10 55:10	122:7 153:15	professional	51:7 52:15	121:12
108:4	previous 120:10	96:23	53:7 57:22,24	quāntitatively
practiced 55:6	primarily 57:13	professor 13:22	66:23 70:23	127:3
108:3	60:23 95:7	projections	71:14,15 78:12	quantities 151:8
practices 111:12	primary 56:19	144:13	93:1 122:6,8	question 4:4 6:4
Prairie 2:18	56:22 66:9	promoting 25:8	122:12,13	15:23 20:14
precise 47:11	68:16 82:20	promulgated	126:14	25:8 28:2
precise +/.11	*****	*		34:13,18 36:6

38:6 40:20	113:24	57:11 70:24	reduce 19:16	63:9 64:16
43:17 49:16	rates 102:14	recommendati	22:21,24 25:19	65:4 74:17
51:16,20 53:3	rather 74:6	71:9	41:5 43:3	112:22,24
54:3,4,7,13,17	134:15	recommended	51:15,22 52:1	138:14 145:5
56:16 58:20	ratio 115:7	57:6,9,14	52:14 53:7,18	refers 12:1
61:3,3,17 67:8	raw 85:4	record 22:6 38:8	53:19 58:1,15	reflects 80:7
68:8 71:23	read 16:21	62:22,24 63:5	78:11,17,18	regarding 43:22
72:22 79:4	50:16 52:2	63:8 64:7	94:10 124:12	64:7 67:3
81:3 83:1	57:2,2 65:8	71:10 88:10	124:13,24	91:15 113:11
89:14 90:1	89:9 107:20	153:18	125:7,8 126:16	114:7
91:17 92:9	138:4 139:17	records 35:23	128:14 132:14	regardless 31:19
101:1 102:13	140:21 152:5	recreate 24:7,21	132:16 134:19	Region 56:6
104:20 112:1	readily 103:17	71:1 77:13	135:1	88:18
113:21 122:16	105:11	107:6	reduced 41:13	regrowth 38:19
126:8 129:16	reading 147:23	recreated	52:7 63:6	39:23 40:8,14
130:11 131:6	ready 89:12	146:13	105:9,16 125:3	50:1,3
132:22 135:17	Realize 94:16	recreating 4:20	129:19 130:16	regulate 58:2
135:22 136:3	really 44:8 45:9	25:3 51:6	139:20	regulation 71:13
143:11 144:4	48:7 49:21	73:17,20 75:12	reduces 41:15	regulatory
148:13,16	71:20 74:6	75:14 76:10	41:16,16	53:11,14 57:12
152:24	76:2 77:18	77:3,17,20,24	reducing 23:12	reinfected 70:1
questioning	80:9 94:19	78:19 112:5	58:7 61:12	reiterate 96:7
136:15 153:10	96:19 101:8	113:4 118:11	78:11 111:13	relate 81:21
questions 6:5	103:2 105:15	118:15 119:2	111:16 123:14	related 18:14
19:20 20:4	114:6 127:5	120:17 122:5	125:19,20	138:12
36:20 50:6	128:21 129:5	124:5 145:17	126:7,11,15,19	relates 80:12
53:23 54:1	129:13 130:13	146:18 148:8	126:20 130:11	129:16
80:2 142:1	140:3	148:15	135:21	relation 135:20
quick 55:16	reason 7:18 45:2	recreation 19:6	reduction 23:17	relative 17:8
quite 91:23	60:1 113:15	57:21 73:11	24:18 25:23	50:22 90:9
101:20 103:19	122:10 132:21	74:15,20 95:12	52:11 123:16	122:6
151:12	140:15	95:20 114:2	125:6 129:23	relatively 89:17
quote 60:6 127:8	reasonable	116:8 117:18	131:19,24	90:5,9 110:13
134:8	62:14	recreational	134:14,16	110:17
quoted 131:2	reasons 12:15	48:21 52:23	138:17	relevant 21:20
quotes 54:8	65:5 105:19	53:1 59:2,10	reference 92:15	66:22
	recall 48:1 87:11	59:24 72:2	133:24 134:1,7	relying 133:6
<u>R</u>	100:17 101:8	73:4 76:14,18	134:9 137:12	remainder 10:1
R 2:1	116:12 147:23	76:23 82:20	141:14 149:16	11:3
Rachel 60:10	receive 139:7	95:23 96:18	referenced	remaining 52:18
racking 63:16	receiving 108:7	106:9 107:11	64:19 87:1	52:21 125:15
rain 21:23 76:8	recklessly 25:5	118:7 119:9	141:12,15,17	remains 7:5
76:14 77:3	reclaimed 99:2	145:13 147:13	references	remember 9:21
raining 76:19	132:18	151:14 152:1,6	116:11 130:21	10:23 48:22
rains 21:17	recognition	152:10,12,14	134:11	51:20 68:22
rainy 76:7	132:24	152:24	referred 132:22	74:7,18 75:6
raised 28:4 80:3	recognize 97:11	recreator 78:5	referring 9:6	87:7,12 103:10
range 17:4	recollect 122:3	recreators 24:20	10:5 60:22	104:11 138:8
RAO 2:6	recommendati	27:12 113:20	61:4 62:5,6	149:11,12,23
rate 39:10 68:2				
	I	1	l	ı

	I			I
remind 72:23	represent 49:12	101:4 121:3,11	10:4,7 16:11	152:18
removal 85:12	75:22 88:16	140:23 141:3	16:19 17:13	risks 19:10
85:16,21,24	representative	responses 81:3	19:3 20:8 21:7	26:18 34:21
87:9,13 88:2	8:19	responsibility	21:20,21 22:24	44:8 61:12
94:9 110:13	represents 9:17	23:3	23:6,7,13,17	66:6 75:7
111:3 123:20	75:17	responsible	24:12,16,17	77:20 82:17
123:22,24	reproduce 39:2	23:15 25:21	25:18,19,23	115:19 122:5,6
129:8,10	reproduction	result 24:11	26:4,6,9,15,17	122:8,14,15
131:12,15,16	39:5	33:7 52:11	26:18 27:12,24	133:14 147:4
135:5,14	require 27:5	70:16 95:14	28:24 32:11	148:2
removals 128:19	55:14	103:7 104:10	34:15 44:6	river 1:9 44:15
remove 87:21	required 52:4	104:15 105:8	50:16 51:8	44:19,20,23
94:3,6 97:24	55:1	116:7 118:6	52:15 53:7,17	45:3,5,12,12
110:24 111:10	requirement	119:6,8,8	53:20,20 57:24	45:14,15,18
removed 7:7	123:6	121:9 123:17	58:1,7,13,15	49:16 72:9
85:8	requirements	127:14 131:13	61:20,24 62:3	88:24,24
removing	62:4 133:10	145:23,24	62:11,17 63:1	rivers 2:18
132:12	requires 110:23	resulted 32:4	65:21 66:19,20	44:11,21 60:3
renders 17:9	136:11	resulting 59:8	67:10,18,19,22	60:3
repair 39:23	research 98:16	63:1 146:9	73:14,16,19,23	role 53:16,21
40:8 49:24	reserve 141:23	results 8:16 10:4	74:3,4,8,19	58:11,12
repeat 47:2	reservoir 152:21	17:24 24:16	75:3,5 76:1,13	room 104:9
repeated 70:13	residual 42:16	28:7 29:10,14	77:1,12,19,23	Room-9-40 1:17
rephrase 40:23	43:5,12,24	30:23 32:3	78:3,3,12 79:7	Rose 114:9
122:17	resistant 97:16	34:9 35:7	79:8,10,12	116:20
replicate 84:16	110:13,17	37:17,18 42:4	80:18 81:6	rotavirus 101:14
report 1:14 4:13	124:10 126:23	56:3 145:9,11	82:6,8 83:15	102:18 103:8
4:15 9:11 15:4	126:24 129:10	146:11	85:3 91:13	103:13 104:6
21:4 28:3,6	respect 26:17	review 5:12	93:2 108:16	104:11,15
50:17 58:24	54:19 55:22	63:24 65:1	112:4,9,10,14	141:4
59:6,13 60:17	102:16 103:9	148:6	112:16,17,18	rotaviruses
61:18 65:10,17	118:16 127:13	reviewed 40:4	112:21 113:3,8	101:11,22
97:2 117:12	respiratory 80:5	62:21 64:6,8	113:9,19 114:7	route 80:19 82:1
135:5 144:9	80:11,15,19	64:12,23	115:19 116:6	82:15 83:14
147:6 148:3	81:7,16,20	revised 59:2	116:18,23	routine 69:18
152:4,5	82:3,14 83:7	right 29:7 31:15	118:1,5,14,18	rowers 142:12
reportable	83:11 84:9,12	32:5,15 34:2,2	119:1,10 120:4	151:9
146:3	84:15,20,21	39:24 42:9	120:14,19,23	rule 56:23 64:2
reported 10:3	85:4 146:1	46:17 47:22	121:5,7,12	110:23 127:11
11:24 14:20	responded 81:2	50:1,14 51:11	124:4,14 125:8	rulemaking 24:2
15:11 79:12	response 67:11	57:5 65:17	125:15,18,20	61:22 63:20,23
92:6 96:2,4,7	67:13,22 68:4	69:10 72:8	125:22 126:2,5	65:3
145:15 155:7	68:9,12,18	73:2 85:11	126:11 132:19	running 146:4,6
reporter 103:21	79:4,6,11,15	88:14,22 92:24	142:16,18,23	R08-9 1:9
155:5	79:16,18,20,22	94:6 102:6	143:22 144:10	
reports 30:19	79:24 80:4,5,7	109:19 138:3	144:14,22	S S S S S S S S S S S S S S S S S S S
40:6 107:20,21	80:10,13 81:4	141:8,24 144:7	145:3 146:21	S 2:1
117:17 141:23	81:8,19,22	risk 6:9,10,14,16	146:22 147:1,2	safe 24:6,21
142:2	83:20 92:15	6:21 7:1 9:2	147:3,6 151:13	25:13 53:1,3,9
				53:11
	•		*	•

	I	 I	 	1
safer 126:14	49:2,5,11 75:2	section 139:18	seven 45:3	82:3,8 83:2
safety 53:4,6	75:21 92:3	security 24:20	several 26:23	85:16 86:1
59:10	sampling 6:8	see 48:13 60:11	51:3 78:7	87:9,12,13,16
Sag 47:5	7:23,24 18:4	131:3 133:24	108:17,18,22	87:18 88:2
sake 13:18	20:1 22:19	147:9	127:7	93:1 109:24
salmonella	42:5 50:2 56:2	seeing 21:3	severe 103:15	122:9 126:8
79:16 91:8	56:3,17 72:12	60:13 116:22	104:1 121:24	significantly
105:1,5,17	72:13 77:9	117:3 126:8	136:11 146:6	46:23 127:21
same 33:4 35:8	88:17 90:18	seem 54:21 81:9	severity 67:24	signs 146:14
37:20 61:18,21	92:8	seemed 135:6,10	103:11 115:2	similar 83:20
62:8 76:23	sanitary 47:5	seems 19:7 23:2	138:9,11	141:3
86:6 101:4	119:14	118:18	sewage 22:2	simple 19:18
136:12 137:15	saying 8:22 17:6	seen 5:16,20	80:17 84:19	23:21
137:16,21	17:11 34:22	42:4 63:5,13	85:3,5,6 94:14	simply 30:15
139:3 140:11	37:9 46:22	63:22 64:5	108:7,22	97:4 102:8
140:12 150:11	47:3 48:19	91:14 128:3,22	sewer 21:10	107:6
sample 7:3,8,10	52:16 53:17	141:23 145:8	22:1	since 5:8,8,15
7:11,13,14,19	54:8 74:1 78:4	145:10	sewers 23:7,24	6:13,16 21:21
7:21 8:2,3,7,10	78:6 81:12	selected 67:12	24:1,22 25:11	50:20 73:13
8:12,13,14,16	95:4 117:23	send 13:5 36:8	shed 84:18	81:17 105:24
8:17,18,20,23	122:24 141:10	37:7	shigella 106:4,7	108:3 141:22
9:4,14,16,17	says 20:15 31:16	Senior 2:6	107:11,16	143:24
9:18,19,20	34:10 94:12	sense 24:19 41:2	Ship 47:6	single 15:6,12
10:10,16,20,22	134:4 139:20	71:15 96:22	Shistosoma 6:1	41:13 92:21
11:8,13,22	140:1	99:22 113:7	Shivan 151:3,4	102:18,23
12:5,11,14,21	scenario 127:12	126:14 127:2	Shore 47:10	103:5,7 137:2
13:7,9,13,20	scenarios 120:15	sensitive 67:17	55:23	144:21,22
14:6,16 15:16	schistosoma	67:20 68:1,3,5	shortcomings	sir 7:17 11:16,16
15:22 16:1,16	4:22 5:9,18,21	68:10,15 69:21	73:23	20:10 33:9
16:17,23 17:1	school 101:20	113:24 114:5,7	shorthand 155:5	59:4 81:15
17:7,23 20:5,6	Science 65:20	114:16,19,22	155:7,10	82:24
20:13,16 28:19	117:1,7	115:4,8 116:17	show 9:1 35:24	site 97:5 152:22
29:13,18,23	scientific 58:24	117:24 121:17	98:5	sitting 56:24
30:1,6,7,8,16	89:20	121:20,24	showed 33:13	situation 45:1
30:18,21 31:4	Scientist 2:6	136:13	118:4	102:9 115:10
31:7,11,24	season 48:21	sensitivity 69:6	showing 42:2	145:22
32:2,16,17,20	seat 25:4,6	69:13 117:20	98:12	situations 40:7
33:4,14,15,20	second 66:1	sent 9:15,20	shown 7:4 27:14	69:22 115:1
33:23 35:14,21	135:16 139:19	10:22 13:16	30:19 32:9	133:16
48:19	secondary 22:3	30:7,21 32:21	33:5,16 89:17	size 7:13 44:23
samples 6:20	23:8 57:6,15	sentence 86:22	95:12 119:21	sizes 6:20
10:18 14:10	57:20 85:3,5	133:23 134:7	SHUNDAR 2:7	skip 50:5 53:22
15:24 16:10	85:13,17,22,24	139:17,20,24	Sierra 2:19	56:15 67:7
17:1,6,15,19	86:5,7 87:10	separate 32:17	significance	skipping 58:19
17:21 20:18	117:18 129:20	81:1 121:3	= 92:1	small 6:20 8:6
26:23 28:8	130:6,9 134:16	service 110:23	significant	8:12,14,17,17
32:7 37:1,21	135:6 148:2	session 4:3	14:13,19 15:3	8:22 9:7 10:15
37:23 48:3,10	secretions	set 73:3,20 99:17	25:10 45:8	11:3 16:5,5
48:14,20,24	138:18 139:21	sets 33:8	49:20 52:5	17:7,20 23:16

1				
24:18 25:22	somewhere	107:17,22	staff 64:20,23	statistics 104:7
26:22 32:19	10:13 11:2	110:24 113:11	stages 115:21	status 69:7
smaller 7:10,13	SOP 34:5,13	113:12 114:6	120:3	119:24
33:22 54:11	sorry 14:24	124:16 127:8	stagnation 42:24	Stefanie 2:10
sneeze 93:7	19:23 47:2,24	130:1,2,17	stand 38:9 66:12	step 24:13
some 4:7,8 6:8	48:7,12 58:21	131:6 133:23	standard 20:18	111:13 134:17
8:5 20:19	64:18 65:11	135:23 136:24	36:13 52:8,13	134:24
26:15 27:1,18	66:2 76:3 93:8	139:15	54:9 56:20,22	steps 134:20
30:8 32:19	112:7 131:3	specifically 9:7	57:20 86:18,24	still 17:7 23:9
39:22 40:1,6	134:2 136:4	10:6 26:13	97:17 98:5	24:22 25:9
40:24 41:23	148:12,19	34:16,20 36:10	105:13 112:15	51:12 82:4
43:1 44:17	sorts 77:14	38:1 40:6	122:19 124:17	87:21 115:21
49:4 58:19	sound 38:3	52:10 63:9	125:5 132:13	120:3 128:5
68:23 73:22	sounds 5:6	85:9,12 92:5	133:7	136:11 148:12
74:3 84:10,20	73:24 101:23	94:22 98:11	standards 1:5	stomach 138:17
84:22 85:19,20	124:10 129:7	104:10 106:8	119:15	139:21 141:18
85:23 91:21	source 21:15	106:14 110:3	start 4:5 88:9	stop 78:15
92:15,17	25:17,20 55:21	114:5 116:3	113:7 154:2	137:23 150:4
108:20 111:19	61:15 88:11	118:3 128:7	started 65:3	stopped 133:6
112:2 115:18	96:11 100:3,5	129:4,21	starting 65:12	store 152:22
122:24 123:24	117:8 130:20	130:18 134:18	state 43:21 62:9	stories 105:8
125:12 126:15	146:15,17	134:18,24	129:17 155:1	storms 77:3
131:18 132:11	152:23	140:20 141:18	stated 51:3	stream 38:19,22
132:24 133:2	sources 21:9	150:7	53:10,15 56:16	127:22
134:14 135:21	25:12 42:9,20	specifics 35:11	61:18 81:5,19	strongly 122:8
139:8,9 140:12	43:8 49:20,22	86:20	90:18 102:20	studied 127:1
140:13,16,18	50:12,16,24	specify 102:4	107:10 114:17	studies 65:22,24
142:1,17,24	52:22 59:23	speculate 25:1	122:7 124:9	66:7,8,12,12
143:23 144:2	60:18 61:4,8	45:6 71:21	statement 54:17	68:13,21,23
144:13,15	71:19 94:22	80:10 82:16	60:1,16 64:1	69:1,17 70:6,9
145:2 149:16	95:1,13,20,21	83:21	65:2,5,19 77:7	83:22 85:15
150:21	123:10	speculation	89:14 90:11	98:3,5,10
somebody 58:11	sourcing 133:23	140:4	130:19 134:10	116:4,10 118:4
146:3	so-called 83:16	spending 83:2	136:6 138:1,5	118:19 124:18
somehow 28:6	speak 44:8 52:9	125:10	138:16 140:22	127:7 128:18
someone 19:1	53:5 54:24	spent 91:23	statements	128:20,22
26:3 53:16,21	110:3 128:21	153:3	65:10 67:9,16	131:10,20
125:21 144:4	153:19	spike 131:10	states 4:18 5:13	132:7,11,21,22
149:9	speaking 53:13	spiked 131:20	31:14 48:12,14	133:17 136:21
something 13:13	65:13 68:21	split 12:8 32:20	48:24 55:6	138:5 139:1,1
24:10 25:18	70:8 88:20	35:15 37:23	56:4 60:8	139:5 140:1,10
57:23 58:1,10	98:20,24	splitting 33:21	100:13,14	140:24 142:15
78:6 82:5	110:14	spoke 130:10	105:9 107:24	144:11,17
86:13 87:23	speaks 62:16	132:10	114:15 115:13	146:24 148:6
97:6 112:10	special 39:3	spoken 129 <u>-</u> 17	115:15 120:1	148:14,24
125:14 146:2	specific 36:1	142:9	station 46:21	149:2,8,10,13
sometimes 59:7	71:9 73:10	spread 84:22	47:12	149:15,16
somewhat 45:16	76:9,21 86:5	SS 155:1	stations 46:15	150:6 151:8,20
77:1,2	96:20 106:1	SS-34 4:16	72:12,14	study 15:11
				Language of the common and the common of the

	1		i	I
20:20 21:4	sure 10:17 32:21	T 60:11	140:20	testimony 26:13
42:6 68:19	40:3 43:10	table 6:22 10:6,8	talks 117:17	26:24 27:11
82:13 85:10	48:18 51:18	28:3,8 30:20	147:6 152:5	35:2 36:1
108:24 109:3	61:16 64:15,17	31:16 89:22	technical 56:21	37:24 38:8
119:12 127:6	65:7,16 69:1	90:13,20 94:11	technique 32:9	40:5,18 44:10
135:5 138:22	74:16 76:2	97:15 108:19	135:24	52:2 62:16
142:18 143:2,2	86:16 90:8	108:23 124:9	technologies	64:2,5,9,13,23
143:23 144:12	102:2 112:2,23	124:16 147:12	123:13 124:20	67:9 87:1,4
144:21 145:6	130:23 134:1	147:15	technology 41:3	97:21 114:17
149:20 150:10	148:12 153:23	tables 147:9,17	124:17	124:11 126:20
150:21,24	surface 110:19	take 4:2 8:4,6	tell 6:23 52:13	130:21 132:10
151:2	127:11	12:6,14,18	71:16 85:10	134:3 149:15
stuff 12:12	Surveillance	14:2 18:2	90:14 100:14	151:3
sub 8:13	4:17	33:20 36:24	100:16 105:14	testing 31:7
subject 17:18	survey 55:12	58:12 67:20	127:18	32:15
subjects 139:2	surveyed 60:3	76:13,17 83:15	telling 34:3,11	tests 35:8
141:2	susceptibilities	88:4 111:6	105:16	textbook 86:24
subparagraph	139:13	130:19 135:4	temperature	textbooks 86:18
122:17	susceptible	151:7	39:13	thank 48:8 65:6
subparts 54:7	136:17,19	taken 7:3,14 8:2	ten 9:13,17,22	87:17 108:9
subpopulations	swallow 148:15	9:19 17:8	10:1,19,20,21	117:10 154:1,4
67:21 68:1,3,5	swallowed	24:13 47:18	11:4 78:24	their 9:15 55:2
115:4,8,10	142:11,21	48:5,10,14,20	102:11	56:3 64:19
121:18,20	148:8 151:9	49:1,12 67:17	tend 73:15 75:5	87:8 107:7
122:1	sweeping 41:11	75:3,7,21 88:6	76:1,24 91:13	115:24,24
subsample	swim 21:21	92:8 138:17	tends 103:18	116:1 119:24
13:16	swimming 18:6	155:11	tens 104:14	120:1,7,7
subsection 134:4	18:12 60:5	takes 132:5	tenth 8:9 16:6	128:16 134:6
subsequently	106:16,18,24	taking 7:11	term 136:15	141:18,19
4:10 5:4	117:16 118:8	12:10 16:8	terms 18:5 25:10	146:5
substantial 22:7	118:21,22	17:2,4 71:5,16	25:11 40:9,18	themselves
104:22	119:3,6,16,17	134:20	40:20 44:21	113:19
success 105:7	120:6 148:9	talk 13:23	48:4,4 51:9	thing 12:20
successful	149:2,6 150:14	118:19 135:9	61:11 70:21	23:15 25:21
105:23	150:15,18	talked 6:5,19	91:10 97:22	36:23 74:5
suffer 115:17	151:22	18:5 26:16,21	101:23,24	83:10 84:14
Suffice 107:23	symptomatic	27:13,15 73:22	106:4 118:17	137:21 144:4,5
sufficient 92:21	99:20	133:15	129:8,9 144:10	things 26:23
99:13 102:18	symptoms 96:13	talking 11:18	test 28:10 31:20	33:23 59:12
102:23	146:24	14:8,11,12	32:14 35:4	67:6 75:11
suggest 140:6	system 1:8 73:8	33:19 43:16	36:1,3,7,9	83:9 103:18
suggested	75:18 105:20	44:24 46:1,3,4	41:20	111:1 115:24
119:15 Suite 2:14 2:2	128:16 130:3	47:6 57:10,11 75:11 77:16	tested 30:15,18 37:10	117:19 120:6
Suite 2:14 3:3	130:15	82: <u>1</u> 1 95:7	testified 35:13	134:20,22 144:8 145:24
sunlight 39:13 supply 107:7	systems 97:21 108:3 111:6	102:10 103:11	37:9,13 71:8	think 6:7 10:16
support 22:7	115:21 120:2	107:9,13	149:10	22:9 25:12
97:13	128:7 129:7	120:18 122:18	testify 36:19	26:14 38:9,10
supposed 79:1	120./127./	130:5 135:11	126:18	38:11 50:6
supposeu /3.1		150.5 155.11	120.10	30.11 30.0
	l	1	1	

55:18 61:5	27:14,14 91:24	83:17 101:16	22:8,10 33:8	under-reported
62:13 75:9,10	101:20,21	101:17 118:2	35:8,10,16	96:10,17
76:4 78:1	127:1 146:7	treat 121:4	70:10 72:8,18	145:20
80:24 90:6	times 51:3 56:19	128:1,1,6	74:24 81:1	unequal 74:24
91:23 109:17	56:21 57:3	treated 22:3	84:4 94:24	unexposure
112:1 113:3,22	59:13 60:18	28:17 89:16	105:3,6 116:4	70:13
126:19 129:16	61:13 78:7	90:3 95:24	116:10 118:4	unfortunately
132:23 135:13	94:8 113:14	96:5,17 97:3	144:8	153:11
144:9 147:6	133:11	97:11 100:15	type 36:11 41:7	uninfectivity
thinking 25:1	timing 97:22	100:18 101:7	41:13 43:1	81:7
57:1	tiny 15:15 16:9,9	108:7 120:23	86:5,6 141:7	United 5:13 55:5
third 104:3	16:15 17:21	121:18	151:14	56:4 60:8
THOMAS 2:5	TIPSORD 2:4	treating 99:11	types 35:5 83:5	100:13 105:9
Thompson 1:16	4:1,11 5:5 6:12	treatment 21:14	98:11,13,19	107:24 114:15
THORNBURG	20:11,21 38:7	22:3,4 23:1,13	99:1,4,15	115:13
3:2	54:4 60:7	42:3,11,12	125:24 133:9	University 13:6
thorough 19:9	78:23 88:3	46:12,17,19,24	134:22 141:1	13:17 30:21
though 13:10	89:11 116:14	47:7,9 49:18	147:13	31:11
24:22 28:13,17	116:16 117:10	50:20,23 55:13	typhoid 105:2,7	unless 36:10
110:10 119:14	153:8,19 154:1	60:20 61:14	105:10,19	37:5 128:17
124:8 125:2	title 152:7	72:3,24 73:6	typical 105:12	146:5
thought 36:5,6	today 90:7	74:12,23 77:22	typically 79:7	unrepresentat
88:18	together 143:23	85:2,3,13,22	U	7:20
thousand	144:11	86:1,5,7 87:10		unrivaled
104:13	tomorrow 154:2	110:23,24	ultraviolet	153:15
thousands	top 47:24 100:8	111:6,11 123:9	111:15	unsafe 59:24
104:14	100:17	124:22 125:5	uncommon 128:5	60:18
three 47:13	topic 90:6	127:11,13	under 43:10	untreated 22:2
72:24 101:13	torrents 5:17	128:4,20 129:3	65:18 67:10	100:18 101:7
threshold 92:17	total 10:17 11:8	129:12,13,20	114:2 115:17	108:7
through 6:23	11:13 21:21	130:6 132:18	134:4	upstream 42:2
7:3 12:6 22:23	28:8 74:21	132:21 133:2,9	underestimated	42:23 43:2,13
23:13 28:9	133:7 147:10	134:5,16	17:13	73:9,12,18
32:19 42:24	totally 48:6	treatments	understand 28:7	74:9,20 75:14
57:1 69:9	touched 40:24	126:1 tribunal 5:2	55:18 69:5	75:20 urban 44:11
82:14 83:14,18 83:18 84:22	Tounes 14:20 toward 65:11	true 28:14 59:5	74:13 88:1	use 13:23 37:23
89:7 90:12	toward 63.11 toxicologist 44:7	59:16 62:9	understanding	38:2 41:22
97:14 101:16	tract 84:17	68:11 70:2	6:24 7:16	43:12 53:8
101:17 107:2,3	93:12 141:19	84:23 110:20	28:20 29:2	54:22 74:9
111:10 118:2,8	traditional	135:18 155:9	30:6 43:19	76:15,18,23
118:10,15	37:23 110:13	truly 44:22	45:19,22 54:20	79:23 81:12
119:3,5 120:6	110:17 111:11	try 30:13 88:4	55:21 56:1	99:5,8,15
121:6 122:20	transcript 6:13	trying 38:4 47:7	57:18 74:11	128:8 131:17
124:2 126:10	155:10	53:8	75:15 102:17	132:5 136:15
132:13 135:6	transmission	twice 37:10	120:13 143:4	144:10 145:13
144:14	81:16,18	two 13:4,15 14:9	under-predict	used 6:9 18:3
thumb 56:23	transmitted	14:12 15:21	133:14	31:13 36:16,17
time 12:18 17:5	81:20 83:12,13	18:14 20:3	under-recogni	36:18 37:12,15
			145:20	
	I	l	[I

41:8 68:9	variable 39:21	viruses 16:10	19:17 21:14	121:22 124:22
73:13 75:22	86:11	28:9 29:5,9,15	45:17,18 46:12	127:11,17,20
76:22 79:7	variant 111:21	29:18,18 30:3	53:18 54:14	127:22 128:1,2
99:1,10 116:13	varies 39:17,18	31:1 34:12	55:2,4,13	128:3,6,7,9,10
127:11 128:6,9	86:4,6,7 127:5	35:6,18,18,20	61:14 87:1	129:3 131:1,8
141:2 144:18	variety 120:14	38:21 39:1,11	89:16 90:4	131:8,11
149:1 150:11	124:1	86:10 90:24	99:6,8 124:22	132:18 133:5
150:18 151:15	various 90:12	91:2,12 94:13	127:20 128:1,8	134:5 142:10
152:21,22	108:10,11	94:15 98:8	128:10 129:2	142:21 143:17
user 147:13	132:20	99:18,18	131:7 134:5	143:20 148:7
users 52:23 53:1	varying 8:3	100:11 101:3	Wastewater	148:14 149:8
118:19	vastly 96:10	108:12 111:19	131:1	149:20,22
uses 60:4 148:10	145:19	123:1,2,4	water 1:5 17:14	150:1,7,13
using 9:23 14:14	venue 97:3,4,12	137:16 138:12	19:5,11,16,17	151:8 152:18
16:12 30:4	venues 96:17,18	141:3	21:14 22:21	152:19,22,23
31:3,24 34:5,6	100:15	visits 104:8,9,13	23:23 24:6,21	waterborne 4:17
35:4,13,16	verified 70:10	void 105:18	25:9 37:20	5:12 96:2
36:1 81:8 94:9	verify 88:19	volume 4:15 9:8	39:6,15,16,24	100:10 101:6
96:22 97:17	versus 95:20	10:9,10,12	40:3 41:6,24	waters 59:24
98:1,5 123:20	96:8 140:21	11:13,24 12:22	42:19 43:2,5	73:4 106:11,12
124:17 125:1	very 8:11,12	32:20 82:13	43:11 45:17,18	118:7 120:17
126:7,22,23	11:9 14:4,5	103:20,22	46:12,13,21	waterway 1:7
128:13	15:15,15 16:5	116:7 120:5	52:21 53:18	105:20
usually 101:12	16:5,5 17:12	149:8,23 150:7	54:15 55:2,4	waterways 56:1
utilities 99:5,7	19:9,9 36:22	151:15,16	55:13 59:3,17	74:22 88:23
UV 97:17,20	39:20 41:11	volumes 119:23	59:17 61:14	wavelengths
98:3,5,11,13	45:9 59:16,16	121:22 149:24	66:7 68:17,19	98:6
98:19,22,22,23	81:8 91:24	150:13	69:19 71:18	way 5:2 7:22
99:4,15 122:21	93:1,3,3 96:10	vomiting 96:13	72:9 73:20	19:7,24 20:4,5
124:10,12,17	96:11 102:21	vulnerable	77:12,17,22	46:3 51:20
124:19 125:1,5	103:13,13,16	136:8	78:10,20 82:13	65:23 66:9
125:11 126:7	103:13,13,16		87:1,20 89:17	81:12 101:1
126:10,23	124:12,13	W	90:4 93:17,24	108:10 109:3
127:13,15,24	127:23 132:15	Wachuku	94:1,4,14,23	111:16 123:3,5
128:2,4,8,13	139:4 145:18	115:13 116:24	95:1,3,5,7,10	139:1 146:7
129:1	146:5,19,19	117:12 137:9	95:23,24 96:5	ways 59:20,21
U.S 5:10,18 20:9	154:4	Wacker 2:14 3:3	96:17,18 97:3	111:10 112:13
49:9 54:11	via 5:1	want 6:4,18,22	97:12 99:2,5,6	122:23 124:24
62:7 94:13	via 5.1 viable 28:23	11:7 19:8	99:7,8 100:15	wearing 149:18
95:16 99:21	29:1	22:10,15 27:3	100:21 101:16	weather 17:5
114:21 128:5	Virology 14:23	50:7 54:1 61:1	106:9 107:1,2	21:9,13 22:9
117.21 120.3	21:1	78:21 82:5	100.9 107.1,2	50:13,18,19,21
V	virus 15:11	114:23 133:22	107:15 108:2,6	50:24 60:22
vague 53:3	29:24 35:9	143:7 144:16	110:7,20,22	61:4,8,13 72:1
vagueness 72:6	37:17 6 8:24	148:11 154:1	111:11 116:7	75:4 76:24
72:18 90:8	80:8 90:23	wanted 4:6 37:5	118:9,15,21	77:4,10,14,18
validating 145:2	91:1 100:5	65:16 88:10	119:2,4,6,8,9	77:19 78:2,3
value 79:22 81:9	116:6 136:21	wasn't 34:15,23	119:19,20,22	78:10 89:19
values 43:3	140:24 141:4	142:6	119:19,20,22	Web 150:9
48:17 79:11,19	1 TO. 2 T 1 T 1 . T	waste 19:11,15	117.23 120.3	1700130.7
	<u> </u>			

	I	I		:
week 22:8	118:10 120:22	54:6 63:19	29:21 30:5,13	93:11,20 94:1
Weekly 4:15	121:14,18	65:4	30:17 31:10	94:7,16 95:5,9
weeks 21:19	122:9,13	willing 26:4,5	32:6,16 33:9	95:18 96:1,19
22:8 70:10	129:12 133:17	58:14,15	34:3 35:1,9,11	97:19 98:1,18
well 8:21 54:12	133:18 135:11	wireless 5:1	36:12,17,19	98:21,24 99:3
59:16 73:21	136:15 141:2	word 41:18	37:11 38:11,16	99:13,24 100:2
79:21 91:8,20	143:19 147:17	87:12	38:20 39:10	100:5,8,13,16
92:19 93:11	148:3 150:13	words 15:8 63:4	40:1,11,21	100:23 101:4
99:8 105:14	152:1,13	131:2	41:7 42:4,10	101:14 102:2,6
109:1 110:20	weren't 10:17	work 58:24	42:12 43:4,20	102:16 104:19
111:19 112:13	29:15 35:19	101:21 111:18	44:3,7,17,22	104:24 105:5
114:4 125:16	67:17 91:11	workshop 59:1	45:4,11 46:9	105:21 106:1,7
130:17 132:8	Western 54:14	59:13 66:4	46:11,18 47:2	106:11,13,15
132:23 139:4	55:9,13	world 54:14	47:9,19,23	106:17,22
143:15,18	wet 17:5 21:9	55:7 104:3	48:6,9,22 49:8	107:2,5,8,19
144:16 150:24	22:9 50:14,18	worst 127:12	49:21 50:2,10	108:5,17 109:5
153:6	50:21,24 60:22	wouldn't 22:12	50:15 51:2,14	109:10,19,22
well-known	61:4,8 71:24	22:14 45:5	51:19 52:9	110:2,16 111:8
96:9 145:19	75:4 76:6,23	73:15 75:24	53:10,15 54:18	111:20 112:21
went 26:20	77:10,19 78:2	95:9 120:11	55:12,20,24	113:5 114:4
32:18	we'll 58:5 60:12	129:5 132:15	56:24 57:17	117:5,22
were 4:4 6:20	88:4 89:13	137:5 144:16	58:10,21 59:4	118:24 119:21
10:18 11:11	153:17 154:2,3	144:22	59:11,21 60:10	120:18,21
13:4,9,15	we're 75:9	written 11:7	60:21 61:1,7	121:6,16
15:21 16:7	122:18 126:15	87:2 115:11	61:23 62:5,10	122:11 123:11
20:9,19 23:6	134:1	wrong 26:10	62:13,19 63:3	124:15 125:16
23:18,22 24:14	we've 6:5 7:9	34:12 41:1	63:12,16,22	126:24 127:5
27:3 28:9 29:5	19:13 20:17	52:17 112:3	64:4,8,12,22	127:23 128:17
29:9,11,14,16	26:15,21 27:13	wrote 11:2	65:7,13,16	129:2,11 130:4
29:16,19 30:2	27:15 32:1	W-A-C-H-U	66:13 67:1,15	130:18 131:5
30:23,24 31:18	40:24 50:6	116:24	68:11,18,22	132:23 133:19
32:7,8,10	58:19 61:9		69:11,16,22	134:2,13 135:8
33:10,11,13	73:21 78:23	X .	70:4,7,15 71:3	135:12 136:1
34:12 35:19	97:21 105:14	X 135:13	71:20 72:22	136:14 137:24
36:3,10,22	105:16 109:17		73:2,10,21	138:2,7,13,21
37:1,19,20	112:7 113:13	Y	74:18 75:9	139:16 140:1,5
40:14 42:16,16	129:17 141:23	Yates 4:13 5:11	76:2,9,16,20	141:8,10,15
42:17 43:5	142:9	5:20 6:2,19	77:5,15 78:4	142:6,14 143:4
48:10,14,19	while 120:16	7:17,24 8:24	78:16 79:9	143:9,15
49:1,11 65:10	123:19 129:18	9:5 10:21	80:9 81:14	144:16 145:5,8
67:11,16 75:7	138:9	11:16,20,23	82:10,23 83:4	145:14 147:3,8
75:12,13,14,22	whole 12:19	12:13 14:14	83:21 84:3	147:22 148:4
79:6 85:15	16:22 20:13	15:1 16:3,14	85:9,20,23	148:11,18
88:8,17 89:6	⁻ 31:9 38:3 74:5	17:11 18:8,13	86:4,16,24	149:14 150:4
91:16 92:9	<i>-</i> 75:23	18:24 19:22	87:11,15,19	150:16,22
96:24 106:19	widths 75:21	20:3,12 21:12	88:14,22 89:4	151:1,11,23
109:11,12,13	wild 109:24	22:18 23:11,18	89:7 90:10,17	152:8,20 153:5
109:13,21	Williams 2:11	24:8,24 25:15	91:14,20 92:11	153:11,14
116:10 118:8	42:22 53:24	26:1,12 27:13	92:19 93:6,8	year 105:4,7
		28:4,20 29:2,8		
	I	l	I	1

106:6	1997 4:18,19	114:18,21	795-3707 2:16	
years 5:8 19:14	1998 4:18	127:4 151:4,15		
19:14,14 89:6		300 7:3,10,12,13	8	
young 101:19	2	11:10,19,21	8 44:9 60:16	
102:5 103:14	2 10:13 11:12	12:2,19,24	134:3	
103:14,16,19	15:17 16:4	15:17 16:4	8.3 9:21 10:23	
120:15	18:16 27:10	17:8,8 18:2,15	13:21 14:2,4	
	119:11	18:23	80 86:12	
Z	2-3 6:10	300,000 106:5		
zero 16:16 51:16	2.4 12:2	300-ish 10:10	9	
51:23 92:13	20 13:10 86:12	301 1:12	9 54:3,4,5,6,17	
Zivan 149:21	114:14,20	302 1:12	60:16	
Zorus 135:7	127:3	303 1:12	90 86:13	
	20,000 46:1 47:1	304 1:12	99 87:13,18,21	
0	47:6	312 2:16 3:5	88:2 135:14	
0.56789 15:7	200 23:24	33 2:14		
02 18:10	200-ish 10:10	35 1:11		
084-0003437	2000 4:15	357-1313 3:5		
155:17	2002 89:8	357 1313 3.5		
	2003 130:23	4		
1	134:7	40 60:2 84:7		
1 11:13 89:22	2004 115:15	400 5:24 41:4		
90:13,20 97:15	117:2 137:8,10	52:8,12 122:19		
1:15 1:16	2006 148:23	123:6 132:13		
10 10:24 13:5,8	151:5	41 84:7		
13:16,19,21,24	2008 21:1 35:4	4400 3:3		
14:1,4 86:12	2009 1:16	49 4:15		
89:14 99:19	23 10:13 94:12			
112:1 122:17	95:16	5		
10,000 27:20	24 141:15	5 1:15 56:6		
47:14 87:22	25 65:12 67:8	61:19 65:18		
100 17:15 94:9	114:17	88:18		
11 129:16,18	255 21:2,3	5:00 153:11		
133:22 134:10	256 60:13,14	50 15:10 21:7		
11A 135:17	257 116:21,22	87:24 92:22		
11B 136:5	258 117:2,4	93:2 139:12		
12 5:8 53:23	26 4:15 71:23	147:20		
136:3 141:4	29 79:4	500 150:10		
142:8	299.8 18:18,19	511 147:12,15		
125 17:6,15,19	277.0 10.10,17	5500 46:4		
1300 2:14	3	59 147:10		
14 56:16	3 16:8 28:3			
15 99:20	44:10 55:17	6		
18 10:13 –	88:12	60601 2:15		
18B 58:21	3.5 30:20	60606-2833 3:4		
18D 58:20 -	3.7 10:6	Washington Control of the Control of		
19 115:17	3:00 79:1,2	7		
19,538 47:10	3:30 88:5	7.52 28:15,17		
1996 114:13,24	30 13:1,3,5,6,14	70 45:1,21		
116:19	18:6,18 86:12	71 6:14 10:7		
	10.0,10 00.12	[· ·	